# The Asbestos Institute

# Regulations Master

- Asbestos OSHA 29 CFR 1926.1101
- **Respirator** 29 CFR 1910.134
- **NESHAP** 40 CFR 61
- AHERA 40 CFR 763

8102 North 23rd Avenue Suite 'A' Phoenix AZ. 85021 (602) 864-6564 Fax (602) 864-6670 Web-site www.taiinfo.com

		(





U.S. Department of Labor

in the 21st Century www.dol.gov

# **OSHA** Ashestos 29 CFR 1926.1101

# OSHA 29 CFR 1926.1101 Asbestos

- (a) Scope and application p. 2
- (b) Definitions p. 2
- (c) Permissible exposure limits (PELS) p. 4
- (d) Multi-employer worksites p. 4
- (e) Regulated areas p. 4
- (f) Exposure assessments and monitoring p. 5
- (g) Methods of compliance p. 6
- (h) Respiratory protection p. 12
- (i) Protective clothing p. 13
- (j) Hygiene facilities and practices for employees p. 13
- (k) Communication of hazards p. 14
- (l) Housekeeping p. 17
- (m) Medical surveillance p. 17
- (n) Recordkeeping p. 18
- (o) Competent person p. 20
- (p) Appendices p. 20
- (q) Dates p. 20

# (a) Scope and application.

- (a) Scope and application. This section regulates asbestos exposure in all work as defined in 29 CFR 1910.12(b), including but not limited to the following:
  - (a)(1) Demolition or salvage of structures where asbestos is present;
  - (a)(2) Removal or encapsulation of materials containing asbestos;
- (a)(3) Construction, alteration, repair, maintenance, or renovation of structures, substrates, or portions thereof, that contain asbestos;
  - (a)(4) Installation of products containing asbestos;
  - (a)(5) Asbestos spill/emergency cleanup; and
- (a)(6) Transportation, disposal, storage, containment of and housekeeping activities involving asbestos or products containing asbestos, on the site or location at which construction activities are performed.
  - (a)(7) Coverage under this standard shall be based on the nature of the work operation involving asbestos exposure.
- (a)(8) This section does not apply to asbestos-containing asphalt roof coatings, cements and mastics.

#### (b) Definitions.

- "Aggressive method" means removal or disturbance of building material by sanding, abrading, grinding or other method that breaks, crumbles, or disintegrates intact ACM.
- "Amended water" means water to which surfactant (wetting agent) has been added to increase the ability of the liquid to penetrate ACM.
- "Asbestos" includes chrysotile, amosite, crocidolite, tremolite asbestos, anthophyllite asbestos, actinolite asbestos, and any of these minerals that has been chemically treated and/or altered. For purposes of this standard, "asbestos" includes PACM, as defined below.
  - "Asbestos-containing material (ACM)", means any material containing more than one percent asbestos.
- "Assistant Secretary" means the Assistant Secretary of Labor for Occupational Safety and Health, U.S. Department of Labor, or designee.
- "Authorized person" means any person authorized by the employer and required by work duties to be present in regulated areas.
- "Building/facility owner" is the legal entity, including a lessee, which exercises control over management and record keeping functions relating to a building and/or facility in which activities covered by this standard take place.
- "Certified Industrial Hygienist (CIH)" means one certified in the practice of industrial hygiene by the American Board of Industrial Hygiene.
  - "Class I asbestos work" means activities involving the removal of TSI and surfacing ACM and PACM.

"Class II asbestos work" means activities involving the removal of ACM which is not thermal system insulation or rfacing material. This includes, but is not limited to, the removal of asbestos-containing wallboard, floor tile and sheeting, noting and siding shingles, and construction mastics.

"Class III asbestos work" means repair and maintenance operations, where "ACM", including TSI and surfacing ACM and PACM, is likely to be disturbed.

"Class IV asbestos work" means maintenance and custodial activities during which employees contact but do not disturb ACM or PACM and activities to clean up dust, waste and debris resulting from Class I, II, and III activities.

"Clean room" means an uncontaminated room having facilities for the storage of employees' street clothing and uncontaminated materials and equipment.

"Closely resemble" means that the major workplace conditions which have contributed to the levels of historic asbestos exposure, are no more protective than conditions of the current workplace.

"Competent person" means, in addition to the definition in 29 CFR 1926.32 (f), one who is capable of identifying existing asbestos hazards in the workplace and selecting the appropriate control strategy for asbestos exposure, who has the authority to take prompt corrective measures to eliminate them, as specified in 29 CFR 1926.32(f): in addition, for Class I and Class II work who is specially trained in a training course which meets the criteria of EPA's Model Accreditation Plan (40 CFR 763) for supervisor, or its equivalent and, for Class III and Class IV work, who is trained in a manner consistent with EPA requirements for training of local education agency maintenance and custodial staff as set forth at 40 CFR 763.92 (a)(2).

"Critical barrier" means one or more layers of plastic sealed over all openings into a work area or any other similarly placed physical barrier sufficient to prevent airborne asbestos in a work area from migrating to an adjacent area.

"Decontamination area" means an enclosed area adjacent and connected to the regulated area and consisting of an equipment room, shower area, and clean room, which is used for the decontamination of workers, materials, and equipment that are contaminated with asbestos.

"Demolition" means the wrecking or taking out of any load-supporting structural member and any related razing, removing, or stripping of asbestos products.

"Director" means the Director, National Institute for Occupational Safety and Health, U.S. Department of Health and Human Services, or designee.

"Disturbance" means activities that disrupt the matrix of ACM or PACM, crumble or pulverize ACM or PACM, or enerate visible debris from ACM or PACM. Disturbance includes cutting away small amounts of ACM and PACM, no greater than the amount which can be contained in one standard sized glove bag or waste bag in order to access a building component. In no event shall the amount of ACM or PACM so disturbed exceed that which can be contained in one glove bag or waste bag which shall not exceed 60 inches in length and width.

"Employee exposure" means that exposure to airborne asbestos that would occur if the employee were not using respiratory protective equipment.

"Equipment room (change room)" means a contaminated room located within the decontamination area that is supplied with impermeable bags or containers for the disposal of contaminated protective clothing and equipment.

"Fiber" means a particulate form of asbestos, 5 micrometers or longer, with a length-to-diameter ratio of at least 3 to 1.

"Glovebag" means not more than a 60 x 60 inch impervious plastic bag-like enclosure affixed around an asbestoscontaining material, with glove-like appendages through which material and tools may be handled.

"High-efficiency particulate air (HEPA) filter" means a filter capable of trapping and retaining at least 99.97 percent of all mono-dispersed particles of 0.3 micrometers in diameter.

"Homogeneous area" means an area of surfacing material or thermal system insulation that is uniform in color and texture.

"Industrial hygienist" means a professional qualified by education, training, and experience to anticipate, recognize, evaluate and develop controls for occupational health hazards.

"Intact" means that the ACM has not crumbled, been pulverized, or otherwise deteriorated so that the asbestos is no longer likely to be bound with its matrix.

"Modification for purposes of paragraph (g)(6)(ii)," means a changed or altered procedure, material or component of a control system, which replaces a procedure, material or component of a required system. Omitting a procedure or component, or reducing or diminishing the stringency or strength of a material or component of the control system is not a "modification" for purposes of paragraph (g)(6) of this section.

"Negative Initial Exposure Assessment" means a demonstration by the employer, which complies with the iteria in paragraph (f)(2)(iii) of this section, that employee exposure during an operation is expected to be consistently below the PELs.

"PACM" means "presumed asbestos containing material".

"Presumed Asbestos Containing Material" means thermal system insulation and surfacing material found in buildings constructed no later than 1980. The designation of a material as "PACM" may be rebutted pursuant to paragraph (k)(5) of this section.

"Project Designer" means a person who has successfully completed the training requirements for an abatement project designer established by 40 U.S.C. Sec. 763.90(g).

"Regulated area" means: an area established by the employer to demarcate areas where Class I, II, and III asbestos work is conducted, and any adjoining area where debris and waste from such asbestos work accumulate; and a work area within which airborne concentrations of asbestos, exceed or there is a reasonable possibility they may exceed the permissible exposure limit. Requirements for regulated areas are set out in paragraph (e) of this section.

"Removal" means all operations where ACM and/or PACM is taken out or stripped from structures or substrates, and includes demolition operations.

"Renovation" means the modifying of any existing structure, or portion thereof.

"Repair" means overhauling, rebuilding, reconstructing, or reconditioning of structures or substrates, including encapsulation or other repair of ACM or PACM attached to structures or substrates.

"Surfacing material" means material that is sprayed, troweled-on or otherwise applied to surfaces (such as acoustical plaster on ceilings and fireproofing materials on structural members, or other materials on surfaces for acoustical, fireproofing, and other purposes).

"Surfacing ACM" means surfacing material which contains more than 1% asbestos.

"Thermal system insulation (TSI)" means ACM applied to pipes, fittings, boilers, breeching, tanks, ducts or other structural components to prevent heat loss or gain.

"Thermal system insulation ACM" is thermal system insulation which contains more than 1% asbestos.

# (c) Permissible exposure limits (PELs)

(c)(1) Time-weighted average limit (TWA). The employer shall ensure that no employee is exposed to an airborne concentration of asbestos in excess of 0.1 fiber per cubic centimeter of air as an eight (8) hour time-weighted average (TWA), as determined by the method prescribed in Appendix A to this section, or by an equivalent method. 0.1 f/cc 8hr TWA

(c)(2) Excursion limit. The employer shall ensure that no employee is exposed to an airborne concentration of asbestos in excess of 1.0 fiber per cubic centimeter of air (1 f/cc) as averaged over a sampling period of thirty (30) minutes, as determined by the method prescribed in Appendix A to this section, or by an equivalent method. 1.0 f/cc 30 min. EL

# (d) Multi-employer worksites.

- (d)(1) On multi-employer worksites, an employer performing work requiring the establishment of a regulated area shall inform other employers on the site of the nature of the employer's work with asbestos and/or PACM, of the existence of and requirements pertaining to regulated areas, and the measures taken to ensure that employees of such other employers are not exposed to asbestos.
- (d)(2) Asbestos hazards at a multi-employer work site shall be abated by the contractor who created or controls the source of asbestos contamination. For example, if there is a significant breach of an enclosure containing Class I work, the employer responsible for erecting the enclosure shall repair the breach immediately
- (d)(3) In addition, all employers of employees exposed to asbestos hazards shall comply with applicable protective provisions to protect their employees. For example, if employees working immediately adjacent to a Class I asbestos job are exposed to asbestos due to the inadequate containment of such job, their employer shall either remove the employees from the area until the enclosure breach is repaired; or perform an initial exposure assessment pursuant to (f) of this section.
- (d)(4) All employers of employees working adjacent to regulated areas established by another employer on a multi-employer work-site, shall take steps on a daily basis to ascertain the integrity of the enclosure and/or the effectiveness of the control method relied on by the primary asbestos contractor to assure that asbestos fibers do not migrate to such adjacent areas.
- (d)(5) All general contractors on a construction project which includes work covered by this standard shall be deemed to exercise general supervisory authority over the work covered by this standard, even though the general contractor is not qualified to serve as the asbestos "competent person" as defined by paragraph (b) of this section. As supervisor of the entire project, the general contractor shall ascertain whether the asbestos contractor is in compliance with this standard, and shall require such contractor to come into compliance with this standard when necessary.

# (e) Regulated areas.

- (e)(1) All Class I, II and III asbestos work shall be conducted within regulated areas. All other operations covered by this standard shall be conducted within a regulated area where airborne concentrations of asbestos exceed, or there is a reasonable possibility they may exceed a PEL. Regulated areas shall comply with the requirements of paragraphs (2), (3),(4) and (5) of this section.
- (e)(2) Demarcation. The regulated area shall be demarcated in any manner that minimizes the number of persons within the area and protects persons outside the area from exposure to airborne asbestos. Where critical barriers or negative pressure

enclosures are used, they may demarcate the regulated area. Signs shall be provided and displayed pursuant to the juirements of paragraph (k)(7) of this section. A-34

- (e)(3) Access. Access to regulated areas shall be limited to authorized persons and to persons authorized by the Act or regulations issued pursuant thereto.
- (e)(4) Respirators. All persons entering a regulated area where employees are required pursuant to paragraph (h)(1) of this section to wear respirators shall be supplied with a respirator selected in accordance with paragraph (h)(3) of this section.
- (e)(5) Prohibited activities. The employer shall ensure that employees do not eat, drink, smoke, chew tobacco or gum, or apply cosmetics in the regulated area.
- (e)(6) Competent Persons. The employer shall ensure that all asbestos work performed within regulated areas is supervised by a competent person, as defined in paragraph (b) of this section. The duties of the competent person are set out in paragraph (o) of this section.

# (f) Exposure assessments and monitoring.

(f)(1) General monitoring criteria.

(f)(1)(i) Each employer who has a workplace or work operation where exposure monitoring is required under this section shall perform monitoring to determine accurately the airborne concentrations of asbestos to which employees may be exposed.

(f)(1)(ii) Determinations of employee exposure shall be made from breathing zone air samples that are representative of the 8-hour TWA and 30-minute short-term exposures of each employee.

(f)(1)(iii) Representative 8-hour TWA employee exposure shall be determined on the basis of one or more samples representing full-shift exposure for employees in each work area. Representative 30-minute short-term employee exposures shall be determined on the basis of one or more samples representing 30 minute exposures associated with operations that are most likely to produce exposures above the excursion limit for employees in each work area.

(f)(2) Initial Exposure Assessment.

(f)(2)(i) Each employer who has a workplace or work operation covered by this standard shall ensure that a "competent person" conducts an exposure assessment immediately before or at the initiation of the operation to ascertain expected exposures during that operation or workplace. The assessment must be completed in time to comply with requirements which are triggered by exposure data or the lack of a "negative exposure assessment," and to provide information necessary to assure that all control systems planned are appropriate for that operation and will work properly.

(f)(2)(ii) Basis of Initial Exposure Assessment: Unless a negative exposure assessment has been made pursuant to paragraph (2)(iii) of this section, the initial exposure assessment shall, if feasible, be based on monitoring conducted pursuant to paragraph (f)(1)(iii) of this section. The assessment shall take into consideration both the monitoring results and all observations, information or calculations which indicate employee exposure to asbestos, including any previous monitoring conducted in the workplace, or of the operations of the employer which indicate the levels of airborne asbestos likely to be encountered on the job. For Class I asbestos work, until the employer conducts exposure monitoring and documents that employees on that job will not be exposed in excess of the PELs, or otherwise makes a negative exposure assessment pursuant to paragraph (f)(2)(iii) of this section, the employer shall presume that employees are exposed in excess of the TWA and excursion limit.

(f)(2)(iii) Negative Exposure Assessment: For any one specific asbestos job which will be performed by employees who have been trained in compliance with the standard, the employer may demonstrate that employee exposures will be below the PELs by data which conform to the following criteria;

(f)(2)(iii)(A) Objective data demonstrating that the product or material containing asbestos minerals or the activity involving such product or material cannot release airborne fibers in concentrations exceeding the TWA and excursion limit under those work conditions having the greatest potential for releasing asbestos; or

(f)(2)(iii)(B) Where the employer has monitored prior asbestos jobs for the PEL and the excursion limit within 12 months of the current or projected job, the monitoring and analysis were performed in compliance with the asbestos standard in effect; and the data were obtained during work operations conducted under workplace conditions "closely resembling" the processes, type of material, control methods, work practices, and environmental conditions used and prevailing in the employer's current operations, the operations were conducted by employees whose training and experience are no more extensive than that of employees performing the current job, and these data show that under the conditions prevailing and which will prevail in the current workplace there is a high degree of certainty that employee exposures will not exceed the TWA and excursion limit; or

(f)(2)(iii)(C) The results of initial exposure monitoring of the current job made from breathing zone air samples that are representative of the 8-hour TWA and 30-minute short-term exposures of each employee covering operations which are most likely during the performance of the entire asbestos job to result in exposures over the PELs.

(f)(3) Periodic monitoring.; (f)(3)(i) Class I and II operations. The employer shall conduct daily monitoring that is representative of the exposure of each employee who is assigned to work within a regulated area who is performing Class I or II work, unless the employer pursuant (f)(2)(iii) of this section, has made a negative exposure assessment for the entire operation.

(f)(3)(ii) All operations under the standard other than Class I and II operations. The employer shall conduct periodic monitoring of all work where exposures are expected to exceed a PEL, at intervals sufficient to document the validity of the exposure prediction.

(f)(3)(iii) Exception: When all employees required to be monitored daily are equipped with supplied-air respirators operated in the pressure demand mode, or other positive pressure mode respirator, the employer may dispense with the daily monitoring required by this paragraph. However, employees performing Class I work using a control method which is not listed in paragraph (g)(4)(i), (ii), or (iii) of this section or using a modification of a listed control method, shall continue to be monitored daily even if they are equipped with supplied-air respirators.

(f)(4) Termination of monitoring.

(f)(4)(i) If the periodic monitoring required by paragraph (f)(3) of this section reveals that employee exposures, as indicated by statistically reliable measurements, are below the permissible exposure limit and excursion limit the employer may discontinue monitoring for those employees whose exposures are represented by such monitoring.

(f)(4)(ii) Additional monitoring. Notwithstanding the provisions of paragraph (f)(2) and (3), and (f)(4) of this section, the employer shall institute the exposure monitoring required under paragraph (f)(3) of this section whenever there has been a change in process, control equipment, personnel or work practices that may result in new or additional exposures above the permissible exposure limit and/or excursion limit or when the employer has any reason to suspect that a change may result in new or additional exposures above the permissible exposure limit and/or excursion limit. Such additional monitoring is required regardless of whether a "negative exposure assessment" was previously produced for a specific job.

(f)(5) Employee notification of monitoring results.

(f)(5)(i) The employer shall notify affected employees of the monitoring results that represent that employee's exposure as soon as possible following receipt of monitoring results.

(f)(5)(ii) The employer shall notify affected employees of the results of monitoring representing the employee's exposure in writing either individually or by posting at a centrally located place that is accessible to affected employees.

(f)(6) Observation of monitoring.

(f)(6)(i) The employer shall provide affected employees and their designated representatives an opportunity to observe any monitoring of employee exposure to asbestos conducted in accordance with this section.

(f)(6)(ii) When observation of the monitoring of employee exposure to asbestos requires entry into an area where the use of protective clothing or equipment is required, the observer shall be provided with and be required to use such clothing and equipment and shall comply with all other applicable safety and health procedures.

# (g) Methods of compliance.

(g)(1) Engineering controls and work practices for all operations covered by this section. The employer shall use the following engineering controls and work practices in all operations covered by this section, regardless of the levels of exposure: (g)(1)(i) Vacuum cleaners equipped with HEPA filters to collect all debris and dust containing ACM and PACM, except as provided in paragraph (g)(8)(ii) of this section in the case of roofing material.

(g)(1)(ii) Wet methods, or wetting agents, to control employee exposures during asbestos handling, mixing, removal, cutting, application, and cleanup, except where employers demonstrate that the use of wet methods is infeasible due to for example, the creation of electrical hazards, equipment malfunction, and, in roofing, except as provide in paragraph (g)(8)(ii) of this section; and

(g)(1)(iii) Prompt clean-up and disposal of wastes and debris contaminated with asbestos in leak-tight containers except in roofing operations, where the procedures specified in paragraph (g)(8)(ii) of this section apply.

(g)(2) In addition to the requirements of paragraph (g)(1) of this section, the employer shall use the following control methods to achieve compliance with the TWA permissible exposure limit and excursion limit prescribed by paragraph (c) of this section;

(g)(2)(i) Local exhaust ventilation equipped with HEPA filter dust collection systems;

(g)(2)(ii) Enclosure or isolation of processes producing asbestos dust; (g) (5)

(g)(2)(iii) Ventilation of the regulated area to move contaminated air away from the breathing zone of employees and toward a filtration or collection device equipped with a HEPA filter;

(g)(2)(iv) Use of other work practices and engineering controls that the Assistant Secretary can show to be feasible.

(g)(2)(v) Wherever the feasible engineering and work practice controls described above are not sufficient to reduce employee exposure to or below the permissible exposure limit and/or excursion limit prescribed in paragraph (c) of this section, the employer shall use them to reduce employee exposure to the lowest levels attainable by these controls and shall supplement them by the use of respiratory protection that complies with the requirements of paragraph (h) of this section.

(g)(3) Prohibitions. The following work practices and engineering controls shall not be used for work related to asbestos or for work which disturbs ACM or PACM, regardless of measured levels of asbestos exposure or the results of initial exposure assessments:

(g)(3)(i) High-speed abrasive disc saws that are not equipped with point of cut ventilator or enclosures with HEPA filtered exhaust air.

- (g)(3)(ii) Compressed air used to remove asbestos, or materials containing asbestos, unless the compressed air is used in njunction with an enclosed ventilation system designed to capture the dust cloud created by the compressed air.
- (g)(3)(iii) Dry sweeping, shoveling or other dry clean-up of dust and debris containing ACM and PACM.
- (g)(3)(iv) Employee rotation as a means of reducing employee exposure to asbestos.
- (g)(4) Class I Requirements. In addition to the provisions of paragraphs (g)(1) and (2) of this section, the following engineering controls and work practices and procedures shall be used.
- (g)(4)(i) All Class I work, including the installation and operation of the control system shall be supervised by a competent person as defined in paragraph (b) of this section;
- (g)(4)(ii) For all Class I jobs involving the removal of more than 25 linear or 10 square feet of thermal system insulation or surfacing material; for all other Class I jobs, where the employer cannot produce a negative exposure assessment pursuant to paragraph (f)(2)(iii) of this section, or where employees are working in areas adjacent to the regulated area, while the Class I work is being performed, the employer shall use one of the following methods to ensure that airborne asbestos does not migrate from the regulated area:
- (g)(4)(ii)(A) Critical barriers shall be placed over all the openings to the regulated area, except where activities are performed outdoors; or
- (g)(4)(ii)(B) The employer shall use another barrier or isolation method which prevents the migration of airborne asbestos from the regulated area, as verified by perimeter area surveillance during each work shift at each boundary of the regulated area, showing no visible asbestos dust; and perimeter area monitoring showing that clearance levels contained in 40 CFR Part 763, Subpt. E, of the EPA Asbestos in Schools Rule are met, or that perimeter area levels, measured by Phase Contrast Microscopy (PCM) are no more than background levels representing the same area before the asbestos work began. The results of such monitoring shall be made known to the employer no later than 24 hours from the end of the work shift .01f/cc represented by such monitoring. Exception: For work completed outdoors where employees are not working in areas adjacent to the regulated areas, this paragraph (g)(4)(ii) is satisfied when the specific control methods in paragraph (g)(5) of this section are used.
- (g)(4)(iii) For all Class I jobs, HVAC systems shall be isolated in the regulated area by sealing with a double layer of 6 mil plastic or the equivalent;
- (g)(4)(iv) For all Class I jobs, impermeable dropcloths shall be placed on surfaces beneath all removal activity;
- (g)(4)(v) For all Class I jobs, all objects within the regulated area shall be covered with impermeable dropcloths or plastic eeting which is secured by duct tape or an equivalent.
- (g)(4)(vi) For all Class I jobs where the employer cannot produce a negative exposure assessment, or where exposure monitoring shows that a PEL is exceeded, the employer shall ventilate the regulated area to move contaminated air away from the breathing zone of employees toward a HEPA filtration or collection device.
- (g)(5) Specific control methods for work. In addition, Class I asbestos work shall be performed using one or more of the following control methods pursuant to the limitations stated below:
- (g)(5)(i) Negative Pressure Enclosure (NPE) systems: NPE systems may be used where the configuration of the work area does not make the erection of the enclosure infeasible, with the following specifications and work practices.
  - (g)(5)(i)(A) Specifications:
  - (g)(5)(i)(A)(1) The negative pressure enclosure (NPE) may be of any configuration,
  - (g)(5)(i)(A)(2) At least 4 air changes per hour shall be maintained in the NPE,
- (g)(5)(i)(A)(3) A minimum of -0.02 column inches of water pressure differential, relative to outside pressure, shall be maintained within the NPE as evidenced by manometric measurements,
- (g)(5)(i)(A)(4) The NPE shall be kept under negative pressure throughout the period of its use, and
- (g)(5)(i)(A)(5) Air movement shall be directed away from employees performing asbestos work within the enclosure, and toward a HEPA filtration or a collection device.
  - (g)(5)(i)(B) Work Practices:
- (g)(5)(i)(B)(1) Before beginning work within the enclosure and at the beginning of each shift, the NPE shall be inspected for breaches and smoke-tested for leaks, and any leaks sealed.
- (g)(5)(i)(B)(2) Electrical circuits in the enclosure shall be deactivated, unless equipped with ground-fault circuit interrupters.
- (g)(5)(ii) Glove bag systems may be used to remove PACM and/or ACM from straight runs of piping and elbows and other connections with the following specifications and work practices:
  - (g)(5)(ii)(A) Specifications:
  - (g)(5)(ii)(A)(1) Glovebags shall be made of 6 mil thick plastic and shall be seamless at the bottom.
- (g)(5)(ii)(A)(2) Glovebags used on elbows and other connections must be designed for that purpose and used without modifications.
  - (g)(5)(ii)(B) Work Practices:
  - (g)(5)(ii)(B)(1) Each glovebag shall be installed so that it completely covers the circumference of pipe or other structure aere the work is to be done.
  - (g)(5)(ii)(B)(2) Glovebags shall be smoke-tested for leaks and any leaks sealed prior to use.
  - (g)(5)(ii)(B)(3) Glovebags may be used only once and may not be moved.



7

(g)(5)(ii)(B)(4) Glovebags shall not be used on surfaces whose temperature exceeds 150 deg. F.

(g)(5)(ii)(B)(5) Prior to disposal, glovebags shall be collapsed by removing air within them using a HEPA vacuum.

(g)(5)(ii)(B)(6) Before beginning the operation, loose and friable material adjacent to the glovebag/box operation shall be wrapped and sealed in two layers of six mil plastic or otherwise rendered intact,

(g)(5)(ii)(B)(7) Where system uses attached waste bag, such bag shall be connected to collection bag using hose or other material which shall withstand pressure of ACM waste and water without losing its integrity:

(g)(5)(ii)(B)(8) Sliding valve or other device shall separate waste bag from hose to ensure no exposure when waste bag is disconnected:

(g)(5)(ii)(B)(9) At least two persons shall perform Class I glovebag removal operations.

(g)(5)(iii) Negative Pressure Glove Bag Systems. Negative pressure glove bag systems may be used to remove ACM or PACM from piping.

(g)(5)(iii)(A) Specifications: In addition to specifications for glove bag systems above, negative pressure glove bag systems shall attach HEPA vacuum systems or other devices to bag to prevent collapse during removal.

(g)(5)(iii)(B) Work Practices:

(g)(5)(iii)(B)(1) The employer shall comply with the work practices for glove bag systems in paragraph (g)(5)(ii)(B)(4) of this section.

(g)(5)(iii)(B)(2) The HEPA vacuum cleaner or other device used to prevent collapse of bag during removal shall run continually during the operation until it is completed at which time the bag shall be collapsed prior to removal of the bag from the pipe.

(g)(5)(iii)(B)(3) Where a separate waste bag is used along with a collection bag and discarded after one use, the collection bag may be reused if rinsed clean with amended water before reuse.

(g)(5)(iv) Negative Pressure Glove Box Systems: Negative pressure glove boxes may be used to remove ACM or PACM from pipe runs with the following specifications and work practices.

(g)(5)(iv)(A) Specifications:

(g)(5)(iv)(A)(1) Glove boxes shall be constructed with rigid sides and made from metal or other material which can withstand the weight of the ACM and PACM and water used during removal:

(g)(5)(iv)(A)(2) A negative pressure generator shall be used to create negative pressure in the system:

(g)(5)(iv)(A)(3) An air filtration unit shall be attached to the box:

(g)(5)(iv)(A)(4) The box shall be fitted with gloved apertures:

(g)(5)(iv)(A)(5) An aperture at the base of the box shall serve as a bagging outlet for waste ACM and water:

(g)(5)(iv)(A)(6) A back-up generator shall be present on site:

(g)(5)(iv)(A)(7) Waste bags shall consist of 6 mil thick plastic double-bagged before they are filled or plastic thicker than 6 mil.

(g)(5)(iv)(B) Work practices:

(g)(5)(iv)(B)(1) At least two persons shall perform the removal:

(g)(5)(iv)(B)(2) The box shall be smoke-tested for leaks and any leaks sealed prior to each use:

(g)(5)(iv)(B)(3) Loose or damaged ACM adjacent to the box shall be wrapped and sealed in two layers of 6 mil plastic prior to the job, or otherwise made intact prior to the job.

(g)(5)(iv)(B)(4) A HEPA filtration system shall be used to maintain pressure barrier in box.

(g)(5)(v) Water Spray Process System. A water spray process system may be used for removal of ACM and PACM from cold line piping if, employees carrying out such process have completed a 40-hour separate training course in its use, in addition to training required for employees performing Class I work. The system shall meet the following specifications and shall be performed by employees using the following work practices.

(g)(5)(v)(A) Specifications:

(g)(5)(v)(A)(1) Piping shall be surrounded on 3 sides by rigid framing,

(g)(5)(v)(A)(2) A 360 degree water spray, delivered through nozzles supplied by a high pressure separate water line, shall be formed around the piping.

(g)(5)(v)(A)(3) The spray shall collide to form a fine aerosol which provides a liquid barrier between workers and the ACM and PACM.

(g)(5)(v)(B) Work Practices:

(g)(5)(v)(B)(1) The system shall be run for at least 10 minutes before removal begins.

(g)(5)(v)(B)(2) All removal shall take place within the water barrier.

(g)(5)(v)(B)(3) The system shall be operated by at least three persons, one of whom shall not perform removal, but shall check equipment, and ensure proper operation of the system.

(g)(5)(v)(B)(4) After removal, the ACM and PACM shall be bagged while still inside the water barrier.

(g)(5)(vi) A small walk-in enclosure which accommodates no more than two persons (mini-enclosure) may be used if the disturbance or removal can be completely contained by the enclosure with the following specifications and work practices. (g)(5)(vi)(A) Specifications:

(g)(5)(vi)(A)(1) The fabricated or job-made enclosure shall be constructed of 6 mil plastic or equivalent:

- (g)(5)(vi)(A)(2) The enclosure shall similar ventilation unit:
- g)(5)(vi)(B) be placed under negative pressure by means of a HEPA filtered vacuum or

Work practices:

- (g)(5)(vi)(B)(1) Before use, the mini-enclosure shall be inspected for leaks and smoke-tested to detect breaches, and breaches
- (g)(5)(vi)(B)(2) Before reuse, the interior shall be completely washed with amended water and HEPA-vacuumed.
- (g)(5)(vi)(B)(3) During use, air movement shall be directed away from the employee's breathing zone within the minienclosure.
- (g)(6) Alternative control methods for Class I work. Class I work may be performed using a control method which is not referenced in paragraph (g)(5) of this section, or which modifies a control method referenced in paragraph (g)(5) of this section, if the following provisions are complied with:
- (g)(6)(i) The control method shall enclose, contain or isolate the processes or source of airborne asbestos dust, or otherwise capture or redirect such dust before it enters the breathing zone of employees.
- (g)(6)(ii) A certified industrial hygienist (CIH) or licensed professional engineer (PE) who is also qualified as a project designer as defined in paragraph (b) of this section, shall evaluate the work area, the projected work practices and the engineering controls and shall certify in writing that the planned control method is adequate to reduce direct and indirect employee exposure to below the PELs under worst-case conditions of use, and that the planned control method will prevent asbestos contamination outside the regulated area, as measured by clearance sampling which meets the requirements of EPA's Asbestos in Schools rule issued under AHERA, or perimeter monitoring which meets the criteria in paragraph (g)(4)(ii)(B) of this section.
- (g)(6)(ii)(A) Where the TSI or surfacing material to be removed is 25 linear or 10 square feet or less, the evaluation required in paragraph (g)(6) of this section may be performed by a "competent person", and may omit consideration of perimeter or clearance monitoring otherwise required.
- (g)(6)(ii)(B) The evaluation of employee exposure required in paragraph (g)(6) of this section, shall include and be based on sampling and analytical data representing employee exposure during the use of such method under worst-case conditions and by employees whose training and experience are equivalent to employees who are to perform the current job.
- (g)(6)(iii) Before work which involves the removal of more than 25 linear or 10 square feet of thermal system insulation or surfacing material is begun using an alternative method which has been the subject of a paragraph (g)(6) of this section quired evaluation and certification, the employer shall send a copy of such evaluation and certification to the national office . OSHA, Office of Technical Support, Room N3653, 200 Constitution Avenue, NW, Washington, DC 20210. The submission shall not constitute approval by OSHA.
  - (g)(7) Work Practices and Engineering Controls for Class II work.
  - (g)(7)(i) All Class II work shall be supervised by a competent person as defined in paragraph (b) of this section.
- (g)(7)(ii) For all indoor Class II jobs, where the employer has not produced a negative exposure assessment pursuant to paragraph (f)(2)(iii) of this section, or where during the job, changed conditions indicate there may be exposure above the PEL or where the employer does not remove the ACM in a substantially intact state, the employer shall use one of the following methods to ensure that airborne asbestos does not migrate from the regulated area;
  - (g)(7)(ii)(A) Critical barriers shall be placed over all openings to the regulated area; or,
- (g)(7)(ii)(B) The employer shall use another barrier or isolation method which prevents the migration of airborne asbestos from the regulated area, as verified by perimeter area monitoring or clearance monitoring which meets the criteria set out in paragraph (g)(4)(ii)(B) of this section.
  - (g)(7)(ii)(C) Impermeable dropcloths shall be placed on surfaces beneath all removal activity;
  - (g)(7)(iii) [Reserved]
- (g)(7)(iv) All Class II asbestos work shall be performed using the work practices and requirements set out above in paragraph (g)(1)(i) through (g)(1)(iii) of this section.
- (g)(8) Additional Controls for Class II work. Class II asbestos work shall also be performed by complying with the work practices and controls designated for each type of asbestos work to be performed, set out in this paragraph. Where more than one control method may be used for a type of asbestos work, the employer may choose one or a combination of designated control methods. Class II work also may be performed using a method allowed for Class I work, except that glove bags and glove boxes are allowed if they fully enclose the Class II material to be removed.
- (g)(8)(i) For removing vinyl and asphalt flooring materials which contain ACM or for which in buildings constructed no later than 1980, the employer has not verified the absence of ACM pursuant to paragraph (g)(8)(i)(I) of this section. The employer shall ensure that employees comply with the following work practices and that employees are trained in these practices pursuant to paragraph (k)(9) of this section:
  - (g)(8)(i)(A) Flooring or its backing shall not be sanded.
  - (g)(8)(i)(B) Vacuums equipped with HEPA filter, disposable dust bag, and metal floor tool (no brush) shall be used to clean
- (g)(8)(i)(C) Resilient sheeting shall be removed by cutting with wetting of the snip point and wetting during delamination. Rip-up of resilient sheet floor material is prohibited.



(g)(8)(i)(D) All scraping of residual adhesive and/or backing shall be performed using wet methods.

(g)(8)(i)(E) Dry sweeping is prohibited.

(g)(8)(i)(F) Mechanical chipping is prohibited unless performed in a negative pressure enclosure which meets the requirements of paragraph (g)(5)(i) of this section.

(g)(8)(i)(G) Tiles shall be removed intact, unless the employer demonstrates that intact removal is not possible.

(g)(8)(i)(H) When tiles are heated and can be removed intact, wetting may be omitted.

(g)(8)(i)(I) Resilient flooring material including associated mastic and backing shall be assumed to be asbestos-containing unless an industrial hygienist determines that it is asbestos-free using recognized analytical techniques.

(g)(8)(ii) For removing roofing material which contains ACM the employer shall ensure that the following work practices are followed:

(g)(8)(ii)(A) Roofing material shall be removed in an intact state to the extent feasible.

(g)(8)(ii)(B) Wet methods shall be used to remove roofing materials that are not intact, or that will be rendered not intact during removal, unless such wet methods are not feasible or will create safety hazards.

(g)(8)(ii)(C) Cutting machines shall be continuously misted during use, unless a competent person determines that misting substantially decreases worker safety.

(g)(8)(ii)(D) When removing built-up roofs with asbestos-containing roofing felts and an aggregate surface using a power roof cutter, all dust resulting from the cutting operation shall be collected by a HEPA dust collector, or shall be HEPA vacuumed by vacuuming along the cut line. When removing built-up roofs with asbestos-containing roofing felts and a smooth surface using a power roof cutter, the dust resulting from the cutting operation shall be collected either by a HEPA dust collector or HEPA vacuuming along the cut line, or by gently sweeping and then carefully and completely wiping up the stillwet dust and debris left along the cut line.

(g)(8)(ii)(E) Asbestos-containing material that has been removed from a roof shall not be dropped or thrown to the ground. Unless the material is carried or passed to the ground by hand, it shall be lowered to the ground via covered, dust-tight chute, crane or hoist:

(g)(8)(ii)(E)(1) Any ACM that is not intact shall be lowered to the ground as soon as is practicable, but in any event no later than the end of the work shift. While the material remains on the roof it shall either be kept wet, placed in an impermeable waste bag, or wrapped in plastic sheeting.

(g)(8)(ii)(E)(2) Intact ACM shall be lowered to the ground as soon as is practicable, but in any event no later than the end of the work shift.

(g)(8)(ii)(F) Upon being lowered, unwrapped material shall be transferred to a closed receptacle in such manner so as to preclude the dispersion of dust.

(g)(8)(ii)(G) Roof level heating and ventilation air intake sources shall be isolated or the ventilation system shall be shut down.

(g)(8)(ii)(H) Notwithstanding any other provision of this section, removal or repair of sections of intact roofing less than 25 square feet in area does not require use of wet methods or HEPA vacuuming as long as manual methods which do not render the material non-intact are used to remove the material and no visible dust is created by the removal method used. In determining whether a job involves less than 25 square feet, the employer shall include all removal and repair work performed on the same roof on the same day.

(g)(8)(iii) When removing cementitious asbestos-containing siding and shingles or transite panels containing ACM on building exteriors (other than roofs, where paragraph (g)(8)(ii) of this section applies) the employer shall ensure that the following work practices are followed:

(g)(8)(iii)(A) Cutting, abrading or breaking siding, shingles, or transite panels, shall be prohibited unless the employer can demonstrate that methods less likely to result in asbestos fiber release cannot be used.

(g)(8)(iii)(B) Each panel or shingle shall be sprayed with amended water prior to removal.

(g)(8)(iii)(C) Unwrapped or unbagged panels or shingles shall be immediately lowered to the ground via covered dust-tight chute, crane or hoist, or placed in an impervious waste bag or wrapped in plastic sheeting and lowered to the ground no later than the end of the work shift.

(g)(8)(iii)(D) Nails shall be cut with flat, sharp instruments.

(g)(8)(iv) When removing gaskets containing ACM, the employer shall ensure that the following work practices are followed:

(g)(8)(iv)(A) If a gasket is visibly deteriorated and unlikely to be removed intact, removal shall be undertaken within a glovebag as described in paragraph (g)(5)(ii) of this section.

(g)(8)(iv)(B) [Reserved]

(g)(8)(iv)(C) The gasket shall be immediately placed in a disposal container.

(g)(8)(iv)(D) Any scraping to remove residue must be performed wet.

(g)(8)(v) When performing any other Class II removal of asbestos containing material for which specific controls have not been listed in paragraph (g)(8)(iv)(A) through (D) of this section, the employer shall ensure that the following work practices are complied with.

(g)(8)(v)(A) The material shall be thoroughly wetted with amended water prior to and during its removal.

- (g)(8)(v)(B) The material shall be removed in an intact state unless the employer demonstrates that intact removal is not saible.
- (g)(8)(v)(C) Cutting, abrading or breaking the material shall be prohibited unless the employer can demonstrate that methods less likely to result in asbestos fiber release are not feasible.
- (g)(8)(v)(D) Asbestos-containing material removed, shall be immediately bagged or wrapped, or kept wetted until transferred to a closed receptacle, no later than the end of the work shift.
- (g)(8)(vi) Alternative Work Practices and Controls. Instead of the work practices and controls listed in paragraph (g)(8)(i) through (v) of this section, the employer may use different or modified engineering and work practice controls if the following provisions are complied with.
- (g)(8)(vi)(A) The employer shall demonstrate by data representing employee exposure during the use of such method under conditions which closely resemble the conditions under which the method is to be used, that employee exposure will not exceed the PELs under any anticipated circumstances.
- (g)(8)(vi)(B) A competent person shall evaluate the work area, the projected work practices and the engineering controls, and shall certify in writing, that the different or modified controls are adequate to reduce direct and indirect employee exposure to below the PELs under all expected conditions of use and that the method meets the requirements of this standard. The evaluation shall include and be based on data representing employee exposure during the use of such method under conditions which closely resemble the conditions under which the method is to be used for the current job, and by employees whose training and experience are equivalent to employees who are to perform the current job.
- (g)(9) Work Practices and Engineering Controls for Class III asbestos work. Class III asbestos work shall be conducted using engineering and work practice controls which minimize the exposure to employees performing the asbestos work and to bystander employees.
  - (g)(9)(i) The work shall be performed using wet methods.
  - (g)(9)(ii) To the extent feasible, the work shall be performed using local exhaust ventilation.
- (g)(9)(iii) Where the disturbance involves drilling, cutting, abrading, sanding, chipping, breaking, or sawing of thermal system insulation or surfacing material, the employer shall use impermeable dropcloths, and shall isolate the operation using mini-enclosures or glove bag systems pursuant to paragraph (g)(5) of this section or another isolation method.
- (g)(9)(iv) Where the employer does not produce a "negative exposure assessment" for a job, or where monitoring results show the PEL has been exceeded, the employer shall contain the area using impermeable dropcloths and plastic barriers or eir equivalent, or shall isolate the operation using a control system listed in and in compliance with paragraph (g)(5) of this ection.
- (g)(9)(v) Employees performing Class III jobs, which involve the disturbance of thermal system insulation or surfacing material, or where the employer does not produce a "negative exposure assessment" or where monitoring results show a PEL has been exceeded, shall wear respirators which are selected, used and fitted pursuant to provisions of paragraph (h) of this section.
- (g)(10) Class IV asbestos work. Class IV asbestos jobs shall be conducted by employees trained pursuant to the asbestos awareness training program set out in paragraph (k)(9) of this section. In addition, all Class IV jobs shall be conducted in conformity with the requirements set out in paragraph (g)(1) of this section, mandating wet methods, HEPA vacuums, and prompt clean up of debris containing ACM or PACM.
- (g)(10)(i) Employees cleaning up debris and waste in a regulated area where respirators are required shall wear respirators which are selected, used and fitted pursuant to provisions of paragraph (h) of this section.
- (g)(10)(ii) Employers of employees who clean up waste and debris in, and employers in control of, areas where friable thermal system insulation or surfacing material is accessible, shall assume that such waste and debris contain asbestos.
- (g)(11) Alternative methods of compliance for installation, removal, repair, and maintenance of certain roofing and pipeline coating materials. Notwithstanding any other provision of this section, an employer who complies with all provisions of this paragraph (g)(11) when installing, removing, repairing, or maintaining intact pipeline asphaltic wrap, or roof flashings which contain asbestos fibers encapsulated or coated by bituminous or resinous compounds shall be deemed to be in compliance with this section. If an employer does not comply with all provisions of this paragraph (g)(11) or if during the course of the job the material does not remain intact, the provisions of paragraph (g)(8) of this section apply instead of this paragraph (g)(11).
- (g)(11)(i) Before work begins and as needed during the job, a competent person who is capable of identifying asbestos hazards in the workplace and selecting the appropriate control strategy for asbestos exposure, and who has the authority to take prompt corrective measures to eliminate such hazards, shall conduct an inspection of the worksite and determine that the roofing material is intact and will likely remain intact.
- (g)(11)(ii) All employees performing work covered by this paragraph (g)(11) shall be trained in a training program that meets the requirements of paragraph (k)(9)(viii) of this section.
- (g)(11)(iii) The material shall not be sanded, abraded, or ground. Manual methods which do not render the material non-tact shall be used.
- (g)(11)(iv) Material that has been removed from a roof shall not be dropped or thrown to the ground. Unless the material is carried or passed to the ground by hand, it shall be lowered to the ground via covered, dust-tight chute, crane or hoist. All such material shall be removed from the roof as soon as is practicable, but in any event no later than the end of the work shift.

(g)(11)(v) Where roofing products which have been labeled as containing asbestos pursuant to paragraph (k)(8) of this section are installed on non-residential roofs during operations covered by this paragraph (g)(11), the employer shall notify the building owner of the presence and location of such materials no later than the end of the job.

(g)(11)(vi) All removal or disturbance of pipeline asphaltic wrap shall be performed using wet methods.

# (h) Respiratory protection.

(h)(1) General. For employees who use respirators required by this section, the employer must provide respirators that comply with the requirements of this paragraph. Respirators must be used during:

(h)(1)(i) Class I asbestos work.

(h)(1)(ii) Class II asbestos work when ACM is not removed in a substantially intact state.

(h)(1)(iii) Class II and III asbestos work that is not performed using wet methods, except for removal of ACM from sloped roofs when a negative-exposure assessment has been conducted and ACM is removed in an intact state.

(h)(1)(iv) Class II and III asbestos work for which a negative-exposure assessment has not been conducted.

(h)(v) Class III asbestos work when TSI or surfacing ACM or PACM is being disturbed.

(h)(1)(vi) Class IV asbestos work performed within regulated areas where employees who are performing other work are required to use respirators.

(h)(1)(vii) Work operations covered by this section for which employees are exposed above the TWA or excursion limit.

(h)(1)(viii) Emergencies.

(h)(2) Respirator program.

(h)(2)(i) The employer must implement a respiratory protection program in accordance with 29 CFR 1910.134 (b) (c) through (d) (except (d)(1)(iii), and (f) through (m).

(h)(2)(ii) No employee shall be assigned to asbestos work that requires respirator use if, based on their most recent medical examination, the examining physician determines that the employee will be unable to function normally while using a respirator, or that the safety or health of the employee or other employees will be impaired by the employee's respirator use. Such employees must be assigned to another job or given the opportunity to transfer to a different position that they can perform. If such a transfer position is available, it must be with the same employer, in the same geographical area, and with the same seniority, status, rate of pay, and other job benefits the employee had just prior to such transfer.

(h)(3) Respirator selection.

(h)(3)(i) The employer must select the appropriate respirator from Table 1 of this section.

TABLE 1.—RESPIRATORY PROTECTION FOR ASBESTOS FIBERS

Arbome concentrations of esbeetce or condi-	1.44 to plant of the state of t
	Required respirator
and to paragraph (h)(2)(iv) of this soction	last-mask air purliying respirator other than a disposable respirator, equipped with high effi- ciency filters
lot in excess of 5 foc (50 X PEL) Flot in excess of 10 ffcc (100 X PEL) A	Full facepiace air purifying respirator equipped with high efficiency filters.  Try powered air-pusifying respirator equipped with high efficiency filter or any supplied air res- pirator operated in continuous flow mode.
lof in oxcess of 100 f/cc (1,000 X PEL) or un- F known concentration.	ull facebace supplied air respirator operated in pressure demand mode
known concentration.	uli facepsee supplied air respirator operated in pressure demand mode, equipped with an auxiliary positive pressure self-contained breathing apparatus.

(h)(3)(ii) The employer must provide an employee with a tight-fitting, powered air-purifying respirator instead of a negative-pressure respirator from Table 1 when the employee chooses to use this type of respirator and such a respirator will provide adequate protection to the employee.

(h)(3)(iii) The employer must provide a half-mask air-purifying respirator, other than a disposable respirator, that is equipped with high-efficiency filters when the employee performs:

(h)(3)(iii)(A) Class II and III asbestos work and a negative exposure assessment has not been conducted by the employer.

(h)(3)(iii)(B) Class III asbestos work when TSI or surfacing ACM or PACM is being disturbed.

(h)(3)(iv) In addition to the above selection criteria, when employees are in a regulated area where Class I work is being performed, a negative exposure assessment of the area has not been produced, and the exposure assessment of the area indicates the exposure level will not exceed 1 f/cc as an 8-hour time weighted average, employers must provide the employees with one of the following respirators:

(h)(3)(iv)(A) A tight-fitting powered air-purifying respirator equipped with high efficiency filters;

(h)(3)(iv)(B) A full facepiece supplied-air respirator operated in the pressure-demand mode equipped with HEPA egress cartridges; or

12

(h)(3)(iv)(C) A full facepiece supplied-air respirator operated in the pressure-demand mode equipped with an auxiliary sitive pressure self-contained breathing apparatus. A full facepiece supplied-air respirator operated in the pressure-demand ...ode equipped with an auxiliary positive pressure self-contained breathing apparatus must be provided under such conditions when the exposure assessment indicates exposure levels above 1 f/cc as an 8-hour time weighted average.

# (i) Protective clothing.

- (i)(1) General. The employer shall provide and require the use of protective clothing, such as coveralls or similar whole-body clothing, head coverings, gloves, and foot coverings for any employee exposed to airborne concentrations of asbestos that exceed the TWA and/or excursion limit prescribed in paragraph (c) of this section, or for which a required negative exposure assessment is not produced, or for any employee performing Class I operations which involve the removal of over 25 linear or 10 square feet of TSI or surfacing ACM and PACM.
  - (i)(2) Laundering.
- (i)(2)(i) The employer shall ensure that laundering of contaminated clothing is done so as to prevent the release of airborne asbestos in excess of the TWA or excursion limit prescribed in paragraph (c) of this section.
- (i)(2)(ii) Any employer who gives contaminated clothing to another person for laundering shall inform such person of the requirement in paragraph (i)(2)(i) of this section to effectively prevent the release of airborne asbestos in excess of the TWA and excursion limit prescribed in paragraph (c) of this section.
- (i)(3) Contaminated clothing. Contaminated clothing shall be transported in sealed impermeable bags, or other closed, impermeable containers, and be labeled in accordance with paragraph (k) of this section.
  - (i)(4) Inspection of protective clothing.
- (i)(4)(i) The competent person shall examine worksuits worn by employees at least once per workshift for rips or tears that may occur during performance of work.
- (i)(4)(ii) When rips or tears are detected while an employee is working, rips and tears shall be immediately mended, or the worksuit shall be immediately replaced.

# (j) Hygiene facilities and practices for employees.

- (j)(1) Requirements for employees performing Class I asbestos jobs involving over 25 linear or 10 square feet of TSI or surfacing ACM and PACM.
- (j)(1)(i) Decontamination areas: the employer shall establish a decontamination area that is adjacent and connected to the gulated area for the decontamination of such employees. The decontamination area shall consist of an equipment room, shower area, and clean room in series. The employer shall ensure that employees enter and exit the regulated area through the decontamination area.
- (j)(1)(i)(A) Equipment room. The equipment room shall be supplied with impermeable, labeled bags and containers for the containment and disposal of contaminated protective equipment.
- (j)(1)(i)(B) Shower area. Shower facilities shall be provided which comply with 29 CFR 1910.141(d)(3), unless the employer can demonstrate that they are not feasible. The showers shall be adjacent both to the equipment room and the clean room, unless the employer can demonstrate that this location is not feasible. Where the employer can demonstrate that it is not feasible to locate the shower between the equipment room and the clean room, or where the work is performed outdoors, the employers shall ensure that employees:
- (j)(1)(i)(B)(1) Remove asbestos contamination from their worksuits in the equipment room using a HEPA vacuum before proceeding to a shower that is not adjacent to the work area; or
- (j)(1)(i)(B)(2) Remove their contaminated worksuits in the equipment room, then don clean worksuits, and proceed to a shower that is not adjacent to the work area.
- (j)(1)(i)(C) Clean change room. The clean room shall be equipped with a locker or appropriate storage container for each employee's use. When the employer can demonstrate that it is not feasible to provide a clean change area adjacent to the work area or where the work is performed outdoors, the employer may permit employees engaged in Class I asbestos jobs to clean their protective clothing with a portable HEPA-equipped vacuum before such employees leave the regulated area. Following showering, such employees however must then change into street clothing in clean change areas provided by the employer which otherwise meet the requirements of this section.
  - (i)(1)(ii) Decontamination area entry procedures. The employer shall ensure that employees:
  - (j)(1)(ii)(A) Enter the decontamination area through the clean room;
  - (j)(1)(ii)(B) Remove and deposit street clothing within a locker provided for their use; and
  - (j)(1)(ii)(C) Put on protective clothing and respiratory protection before leaving the clean room.
  - (j)(1)(ii)(D) Before entering the regulated area, the employer shall ensure that employees pass through the equipment room.
  - (j)(1)(iii) Decontamination area exit procedures. The employer shall ensure that:
  - (j)(1)(iii)(A) Before leaving the regulated area, employees shall remove all gross contamination and debris from their otective clothing.
- (j)(1)(iii)(B) Employees shall remove their protective clothing in the equipment room and deposit the clothing in labeled impermeable bags or containers.
  - (j)(1)(iii)(C) Employees shall not remove their respirators in the equipment room.



- (j)(1)(iii)(D) Employees shall shower prior to entering the clean room.
- (j)(1)(iii)(E) After showering, employees shall enter the clean room before changing into street clothes.
- (j)(1)(iv) Lunch Areas. Whenever food or beverages are consumed at the worksite where employees are performing Class I asbestos work, the employer shall provide lunch areas in which the airborne concentrations of asbestos are below the permissible exposure limit and/or excursion limit.
- (j)(2) Requirements for Class I work involving less than 25 linear or 10 square feet of TSI or surfacing ACM and PACM, and for Class II and Class III asbestos work operations where exposures exceed a PEL or where there is no negative exposure assessment produced before the operation.
- (j)(2)(i) The employer shall establish an equipment room or area that is adjacent to the regulated area for the decontamination of employees and their equipment which is contaminated with asbestos which shall consist of an area covered by a impermeable drop cloth on the floor or horizontal working surface.
- (j)(2)(ii) The area must be of sufficient size as to accommodate cleaning of equipment and removing personal protective equipment without spreading contamination beyond the area (as determined by visible accumulations).
- (j)(2)(iii) Work clothing must be cleaned with a HEPA vacuum before it is removed.
- (j)(2)(iv) All equipment and surfaces of containers filled with ACM must be cleaned prior to removing them from the equipment room or area.
  - (j)(2)(v) The employer shall ensure that employees enter and exit the regulated area through the equipment room or area.
- (j)(3) Requirements for Class IV work. Employers shall ensure that employees performing Class IV work within a regulated area comply with the hygiene practice required of employees performing work which has a higher classification within that regulated area. Otherwise employers of employees cleaning up debris and material which is TSI or surfacing ACM or identified as PACM shall provide decontamination facilities for such employees which are required by paragraph (j)(2) of this section.
- (j)(4) Smoking in work areas. The employer shall ensure that employees do not smoke in work areas where they are occupationally exposed to asbestos because of activities in that work area.

## (k) Communication of hazards.

- (k)(1) This section applies to the communication of information concerning asbestos hazards in construction activities to facilitate compliance with this standard. Most asbestos-related construction activities involve previously installed building materials. Building owners often are the only and/or best sources of information concerning them. Therefore, they, along with employers of potentially exposed employees, are assigned specific information conveying and retention duties under this section. Installed Asbestos Containing Building Material. Employers and building owners shall identify TSI and sprayed or troweled on surfacing materials in buildings as asbestos-containing, unless they determine in compliance with paragraph (k)(5) of this section that the material is not asbestos-containing. Asphalt and vinyl flooring material installed no later than 1980 must also be considered as asbestos containing unless the employer, pursuant to paragraph (g)(8)(i)(I) of this section determines that it is not asbestos-containing. If the employer/building owner has actual knowledge, or should have known through the exercise of due diligence, that other materials are asbestos-containing, they too must be treated as such. When communicating information to employees pursuant to this standard, owners and employers shall identify "PACM" as ACM. Additional requirements relating to communication of asbestos work on multi-employer worksites are set out in paragraph (d) of this section.
  - (k)(2) Duties of building and facility owners.
- (k)(2)(i) Before work subject to this standard is begun, building and facility owners shall determine the presence, location, and quantity of ACM and/or PACM at the work site pursuant to paragraph (k)(1) of this section.
- (k)(2)(ii) Building and/or facility owners shall notify the following persons of the presence, location and quantity of ACM or PACM, at the work sites in their buildings and facilities. Notification either shall be in writing, or shall consist of a personal communication between the owner and the person to whom notification must be given or their authorized representatives:
- (k)(2)(ii)(A) Prospective employers applying or bidding for work whose employees reasonably can be expected to work in or adjacent to areas containing such material;
  - (k)(2)(ii)(B) Employees of the owner who will work in or adjacent to areas containing such material:
- (k)(2)(ii)(C) On multi-employer worksites, all employers of employees who will be performing work within or adjacent to areas containing such materials;
  - (k)(2)(ii)(D) Tenants who will occupy areas containing such material.
- (k)(3) Duties of employers whose employees perform work subject to this standard in or adjacent to areas containing ACM and PACM. Building/facility owners whose employees perform such work shall comply with these provisions to the extent applicable.
- (k)(3)(i) Before work in areas containing ACM and PACM is begun; employers shall identify the presence, location, and quantity of ACM, and/or PACM therein pursuant to paragraph (k)(1) of this section.
- (k)(3)(ii) Before work under this standard is performed employers of employees who will perform such work shall inform the following persons of the location and quantity of ACM and/or PACM present in the area and the precautions to be taken to insure that airborne asbestos is confined to the area.

(k)(3)(ii)(A) Owners of the building/facility;

(k)(3)(ii)(B) Employees who will perform such work and employers of employees who work and/or will be working in adjacent areas.

(k)(3)(iii) Within 10 days of the completion of such work, the employer whose employees have performed work subject to this standard, shall inform the building/facility owner and employers of employees who will be working in the area of the current location and quantity of PACM and/or ACM remaining in the area and final monitoring results, if any.

(k)(4) In addition to the above requirements, all employers who discover ACM and/or PACM on a worksite shall convey information concerning the presence, location and quantity of such newly discovered ACM and/or PACM to the owner and to other employers of employees working at the work site, within 24 hours of the discovery.

(k)(5) Criteria to rebut the designation of installed material as PACM.

(k)(5)(i) At any time, an employer and/or building owner may demonstrate, for purposes of this standard, that PACM does not contain asbestos. Building owners and/or employers are not required to communicate information about the presence of building material for which such a demonstration pursuant to the requirements of paragraph (k)(5)(ii) of this section has been made. However, in all such cases, the information, data and analysis supporting the determination that PACM does not contain asbestos, shall be retained pursuant to paragraph (n) of this section.

(k)(5)(ii) An employer or owner may demonstrate that PACM does not contain more than 1 percent asbestos by the following:

(k)(5)(ii)(A) Having a completed inspection conducted pursuant to the requirements of AHERA (40 CFR Part 763, Subpart E) which demonstrates that the material is not ACM; or

(k)(5)(ii)(B) Performing tests of the material containing PACM which demonstrate that no ACM is present in the material. Such tests shall include analysis of bulk samples collected in the manner described in 40 CFR 763.86. The tests, evaluation and sample collection shall be conducted by an accredited inspector or by a CIH. Analysis of samples shall be performed by persons or laboratories with proficiency demonstrated by current successful participation in a nationally recognized testing program such as the National Voluntary Laboratory Accreditation Program (NVLAP) or the National Institute for Standards and Technology (NIST) or the Round Robin for bulk samples administered by the American Industrial Hygiene Association (AIHA) or an equivalent nationally-recognized round robin testing program.

(k)(5)(iii) The employer and/or building owner may demonstrate that flooring material including associated mastic and acking does not contain asbestos, by a determination of an industrial hygienist based upon recognized analytical techniques owing that the material is not ACM.

(k)(6) At the entrance to mechanical rooms/areas in which employees reasonably can be expected to enter and which contain ACM and/or PACM, the building owner shall post signs which identify the material which is present, its location, and appropriate work practices which, if followed, will ensure that ACM and/or PACM will not be disturbed. The employer shall ensure, to the extent feasible, that employees who come in contact with these signs can comprehend them. Means to ensure employee comprehension may include the use of foreign languages, pictographs, graphics, and awareness training.

(k)(7) Signs.

(k)(7)(i) Warning signs that demarcate the regulated area shall be provided and displayed at each location where a regulated area is required to be established by paragraph (e) of this section. Signs shall be posted at such a distance from such a location that an employee may read the signs and take necessary protective steps before entering the area marked by the signs.

(k)(7)(ii)(A) The warning signs required by paragraph (k)(7) of this section shall bear the following information.

#### **DANGER ASBESTOS**

#### CANCER AND LUNG DISEASE HAZARD AUTHORIZED PERSONNEL ONLY

(k)(7)(ii)(B) In addition, where the use of respirators and protective clothing is required in the regulated area under this section, the warning signs shall include the following:

#### RESPIRATORS AND PROTECTION CLOTHING ARE REQUIRED IN THIS AREA

(k)(7)(iii) The employer shall ensure that employees working in and contiguous to regulated areas comprehend the warning signs required to be posted by paragraph (k)(7)(i) of this section. Means to ensure employee comprehension may include the use of foreign languages, pictographs and graphics.

(k)(8) Labels.

(k)(8)(i) Labels shall be affixed to all products containing asbestos and to all containers containing such products, including waste containers. Where feasible, installed asbestos products shall contain a visible label.

(k)(8)(ii)

Labels shall be printed in large, bold letters on a contrasting background.

(k)(8)(iii)

Labels shall be used in accordance with the requirements of 29 CFR 1910.1200(f) of OSHA's Hazard Communication standard, and shall contain the following information:

# DANGER CONTAINS ASBESTOS FIBERS AVOID CREATING DUST CANCER AND LUNG DISEASE HAZARD

(k)(8)(iv) [Reserved]

(k)(8)(v) Labels shall contain a warning statement against breathing asbestos fibers.

(k)(8)(vi) The provisions for labels required by paragraphs (k)(8)(i) through (k)(8)(iii) of this section do not apply where: (k)(8)(vi)(A) Asbestos fibers have been modified by a bonding agent, coating, binder, or other material, provided that the manufacturer can demonstrate that, during any reasonably foreseeable use, handling, storage, disposal, processing, or transportation, no airborne concentrations of asbestos fibers in excess of the permissible exposure limit and/or excursion limit will be released, or

(k)(8)(vi)(B) Asbestos is present in a product in concentrations less than 1.0 percent.

(k)(8)(vii) When a building owner or employer identifies previously installed PACM and/or ACM, labels or signs shall be affixed or posted so that employees will be notified of what materials contain PACM and/or ACM. The employer shall attach such labels in areas where they will clearly be noticed by employees who are likely to be exposed, such as at the entrance to mechanical room/areas. Signs required by paragraph (k)(6) of this section may be posted in lieu of labels so long as they contain information required for labeling. The employer shall ensure, to the extent feasible, that employees who come in contact with these signs or labels can comprehend them. Means to ensure employee comprehension may include the use of foreign languages, pictographs, graphics, and awareness training.

(k)(9) Employee Information and Training.

(k)(9)(i) The employer shall, at no cost to the employee, institute a training program for all employees who are likely to be exposed in excess of a PEL and for all employees who perform Class I through IV asbestos operations, and shall ensure their participation in the program.

(k)(9)(ii) Training shall be provided prior to or at the time of initial assignment and at least annually thereafter.

(k)(9)(iii) Training for Class I operations and for Class II operations that require the use of critical barriers (or equivalent isolation methods) and/or negative pressure enclosures under this section shall be the equivalent in curriculum, training method and length to the EPA Model Accreditation Plan (MAP) asbestos abatement workers training (40 CFR Part 763, subpart E, appendix C).

(k)(9)(iv) Training for other Class II work.

(k)(9)(iv)(A) For work with asbestos containing roofing materials, flooring materials, siding materials, ceiling tiles, or transite panels, training shall include at a minimum all the elements included in paragraph (k)(9)(viii) of this section and in addition, the specific work practices and engineering controls set forth in paragraph (g) of this section which specifically relate to that category. Such course shall include "hands-on" training and shall take at least 8 hours.

(k)(9)(iv)(B) An employee who works with more than one of the categories of material specified in paragraph (k)(9)(iv)(A) of this section shall receive training in the work practices applicable to each category of material that the employee removes and each removal method that the employee uses.

(k)(9)(iv)(C) For Class II operations not involving the categories of material specified in paragraph (k)(9)(iv)(A) of this section, training shall be provided which shall include at a minimum all the elements included in paragraph (k)(9)(viii) of this section and in addition, the specific work practices and engineering controls set forth in paragraph (g) of this section which specifically relate to the category of material being removed, and shall include "hands-on" training in the work practices applicable to each category of material that the employee removes and each removal method that the employee uses.

(k)(9)(v) Training for Class III employees shall be consistent with EPA requirements for training of local education agency maintenance and custodial staff as set forth at 40 CFR 763.92(a)(2). Such a course shall also include "hands-on" training and shall take at least 16 hours. Exception: For Class III operations for which the competent person determines that the EPA curriculum does not adequately cover the training needed to perform that activity, training shall include as a minimum all the elements included in paragraph (k)(9)(viii) of this section and in addition, the specific work practices and engineering controls set forth in paragraph (g) of this section which specifically relate to that activity, and shall include "hands-on" training in the work practices applicable to each category of material that the employee disturbs.

(k)(9)(vi) Training for employees performing Class IV operations shall be consistent with EPA requirements for training of local education agency maintenance and custodial staff as set forth at 40 CFR 763.92(a)(1). Such a course shall include available information concerning the locations of thermal system insulation and surfacing ACM/PACM, and asbestos-containing flooring material, or flooring material where the absence of asbestos has not yet been certified; and instruction in recognition of damage, deterioration, and delamination of asbestos containing building materials. Such course shall take at least 2 hours.

(k)(9)(vii) Training for employees who are likely to be exposed in excess of the PEL and who are not otherwise required to be trained under paragraph (k)(9)(iii) through (vi) of this section, shall meet the requirements of paragraph (k)(9)(viii) of this section.

(k)(9)(viii) The training program shall be conducted in a manner that the employee is able to understand. In addition to the ntent required by provisions in paragraphs (k)(9)(iii) through (vi) of this section, the employer shall ensure that each such employee is informed of the following:

(k)(9)(viii)(A) Methods of recognizing asbestos, including the requirement in paragraph (k)(1) of this section to presume that certain building materials contain asbestos;

(k)(9)(viii)(B) The health effects associated with asbestos exposure;

(k)(9)(viii)(C) The relationship between smoking and asbestos in producing lung cancer;

(k)(9)(viii)(D) The nature of operations that could result in exposure to asbestos, the importance of necessary protective controls to minimize exposure including, as applicable, engineering controls, work practices, respirators, housekeeping procedures, hygiene facilities, protective clothing, decontamination procedures, emergency procedures, and waste disposal procedures, and any necessary instruction in the use of these controls and procedures; where Class III and IV work will be or is performed, the contents of EPA 20T-2003, "Managing Asbestos In-Place" July 1990 or its equivalent in content;

(k)(9)(viii)(E) The purpose, proper use, fitting instructions, and limitations of respirators as required by 29 CFR 1910.134;

(k)(9)(viii)(F) The appropriate work practices for performing the asbestos job;

(k)(9)(viii)(G) Medical surveillance program requirements;

(k)(9)(viii)(H) The content of this standard including appendices;

(k)(9)(viii)(I) The names, addresses and phone numbers of public health organizations which provide information, materials and/or conduct programs concerning smoking cessation. The employer may distribute the list of such organizations contained in Appendix J to this section, to comply with this requirement; and

(k)(9)(viii)(J) The requirements for posting signs and affixing labels and the meaning of the required legends for such signs and labels.

(k)(10) Access to training materials.

(k)(10)(i) The employer shall make readily available to affected employees without cost, written materials relating to the employee training program, including a copy of this regulation.

(k)(10)(ii) The employer shall provide to the Assistant Secretary and the Director, upon request, all information and training materials relating to the employee information and training program.

(k)(10)(iii) The employer shall inform all employees concerning the availability of self-help smoking cessation program material. Upon employee request, the employer shall distribute such material, consisting of NIH Publication No. 89-1647, or juivalent self-help material, which is approved or published by a public health organization listed in Appendix J to this

# (l) Housekeeping.

(1)(1) Vacuuming. Where vacuuming methods are selected, HEPA filtered vacuuming equipment must be used. The equipment shall be used and emptied in a manner that minimizes the reentry of asbestos into the workplace.

(1)(2) Waste disposal. Asbestos waste, scrap, debris, bags, containers, equipment, and contaminated clothing consigned for disposal shall be collected and disposed of in sealed, labeled, impermeable bags or other closed, labeled, impermeable containers except in roofing operations where the procedures specified in paragraph (g)(8)(ii) of this section apply.

(1)(3) Care of asbestos-containing flooring material.

(l)(3)(i) All vinyl and asphalt flooring material shall be maintained in accordance with this paragraph unless the building/facility owner demonstrates, pursuant to paragraph (g)(8)(i)(I) of this section that the flooring does not contain asbestos.

(I)(3)(ii) Sanding of flooring material is prohibited.

(1)(3)(iii) Stripping of finishes shall be conducted using low abrasion pads at speeds lower than 300 rpm and wet methods.

(1)(3)(iv) Burnishing or dry buffing may be performed only on flooring which has sufficient finish so that the pad cannot contact the flooring material.

(I)(4) Waste and debris and accompanying dust in an area containing accessible thermal system insulation or surfacing ACM/PACM or visibly deteriorated ACM:

(l)(4)(i) shall not be dusted or swept dry, or vacuumed without using a HEPA filter;

(1)(4)(ii) shall be promptly cleaned up and disposed of in leak tight containers.

# (m) Medical surveillance..

(m)(1) General...

(m)(1)(i) Employees covered.

(m)(1)(i)(A) The employer shall institute a medical surveillance program for all employees who for a combined total of 30 or more days per year are engaged in Class I, II and III work or are exposed at or above a permissible exposure limit. For purposes of this paragraph, any day in which a worker engages in Class II or Class III operations or a combination thereof on tact material for one hour or less (taking into account the entire time spent on the removal operation, including cleanup) and, while doing so, adheres fully to the work practices specified in this standard, shall not be counted.



(m)(1)(i)(B) For employees otherwise required by this standard to wear a negative pressure respirator, employers shall ensure employees are physically able to perform the work and use the equipment. This determination shall be made under the supervision of a physician.

(m)(1)(ii) Examination.

(m)(1)(ii)(A) The employer shall ensure that all medical examinations and procedures are performed by or under the supervision of a licensed physician, and are provided at no cost to the employee and at a reasonable time and place.

(m)(1)(ii)(B) Persons other than such licensed physicians who administer the pulmonary function testing required by this section shall complete a training course in spirometry sponsored by an appropriate academic or professional institution.

(m)(2) Medical examinations and consultations..

(m)(2)(i) Frequency. The employer shall make available medical examinations and consultations to each employee covered under paragraph (m)(1)(i) of this section on the following schedules:

(m)(2)(i)(A) Prior to assignment of the employee to an area where negative-pressure respirators are worn;

(m)(2)(i)(B) When the employee is assigned to an area where exposure to asbestos may be at or above the permissible exposure limit for 30 or more days per year, or engage in Class I, II, or III work for a combined total of 30 or more days per year, a medical examination must be given within 10 working days following the thirtieth day of exposure;

(m)(2)(i)(C) And at least annually thereafter.

(m)(2)(i)(D) If the examining physician determines that any of the examinations should be provided more frequently than specified, the employer shall provide such examinations to affected employees at the frequencies specified by the physician.

(m)(2)(i)(E) Exception: No medical examination is required of any employee if adequate records show that the employee has been examined in accordance with this paragraph within the past 1-year period.

(m)(2)(ii) Content. Medical examinations made available pursuant to paragraphs (m)(2)(i)(A) through (m)(2)(i)(C) of this section shall include:

(m)(2)(ii)(A) A medical and work history with special emphasis directed to the pulmonary, cardiovascular, and gastrointestinal systems.

(m)(2)(ii)(B) On initial examination, the standardized questionnaire contained in Part 1 of Appendix D to this section, and, on annual examination, the abbreviated standardized questionnaire contained in Part 2 of Appendix D to this section.

(m)(2)(ii)(C) A physical examination directed to the pulmonary and gastrointestinal systems, including a chest roentgenogram to be administered at the discretion of the physician, and pulmonary function tests of forced vital capacity (FVC) and forced expiratory volume at one second (FEV(1)). Interpretation and classification of chest shall be conducted in accordance with Appendix E to this section.

(m)(2)(ii)(D) Any other examinations or tests deemed necessary by the examining physician.

(m)(3) Information provided to the physician. The employer shall provide the following information to the examining physician:

(m)(3)(i) A copy of this standard and Appendices D, E, and I to this section;

(m)(3)(ii) A description of the affected employee's duties as they relate to the employee's exposure;

(m)(3)(iii) The employee's representative exposure level or anticipated exposure level;

(m)(3)(iv) A description of any personal protective and respiratory equipment used or to be used; and

(m)(3)(v) Information from previous medical examinations of the affected employee that is not otherwise available to the examining physician.

(m)(4) Physician's written opinion.

(m)(4)(i) The employer shall obtain a written opinion from the examining physician. This written opinion shall contain the results of the medical examination and shall include:

(m)(4)(i)(A) The physician's opinion as to whether the employee has any detected medical conditions that would place the employee at an increased risk of material health impairment from exposure to asbestos;

(m)(4)(i)(B) Any recommended limitations on the employee or on the use of personal protective equipment such as respirators; and

(m)(4)(i)(C) A statement that the employee has been informed by the physician of the results of the medical examination and of any medical conditions that may result from asbestos exposure.

(m)(4)(i)(D) A statement that the employee has been informed by the physician of the increased risk of lung cancer attributable to the combined effect of smoking and asbestos exposure.

(m)(4)(ii) The employer shall instruct the physician not to reveal in the written opinion given to the employer specific findings or diagnoses unrelated to occupational exposure to asbestos.

(m)(4)(iii) The employer shall provide a copy of the physician's written opinion to the affected employee within 30 days from its receipt.

## (n) Recordkeeping.

(n)(1) Objective data relied on pursuant to paragraph (f) to this section.

(n)(1)(i) Where the employer has relied on objective data that demonstrates that products made from or containing asbestos or the activity involving such products or material are not capable of releasing fibers of asbestos in concentrations at or above

( 433 the permissible exposure limit and/or excursion limit under the expected conditions of processing, use, or handling to satisfy a requirements of paragraph (f), the employer shall establish and maintain an accurate record of objective data reasonably relied upon in support of the exemption.

(n)(1)(ii) The record shall include at least the following information:

(n)(1)(ii)(A) The product qualifying for exemption;

(n)(1)(ii)(B) The source of the objective data;

(n)(1)(ii)(C) The testing protocol, results of testing, and/or analysis of the material for the release of asbestos;

(n)(1)(ii)(D) A description of the operation exempted and how the data support the exemption; and

(n)(1)(ii)(E) Other data relevant to the operations, materials, processing, or employee exposures covered by the exemption.

(n)(1)(iii) The employer shall maintain this record for the duration of the employer's reliance upon such objective data.

(n)(2) Exposure measurements.

(n)(2)(i) The employer shall keep an accurate record of all measurements taken to monitor employee exposure to asbestos as prescribed in paragraph (f) of this section.

NOTE: The employer may utilize the services of competent organizations such as industry trade associations and employee associations to maintain the records required by this section.

(n)(2)(ii) This record shall include at least the following information:

(n)(2)(ii)(A) The date of measurement;

(n)(2)(ii)(B) The operation involving exposure to asbestos that is being monitored;

(n)(2)(ii)(C) Sampling and analytical methods used and evidence of their accuracy;

(n)(2)(ii)(D) Number, duration, and results of samples taken;

(n)(2)(ii)(E) Type of protective devices worn, if any; and

(n)(2)(ii)(F) Name, social security number, and exposure of the employees whose exposures are represented.

(n)(2)(iii) The employer shall maintain this record for at least thirty (30) years, in accordance with 29 CFR 1910.20.

(n)(3) Medical surveillance.

(n)(3)(i) The employer shall establish and maintain an accurate record for each employee subject to medical surveillance by paragraph (m) of this section, in accordance with 29 CFR 1910.20.

(n)(3)(ii) The record shall include at least the following information:

(n)(3)(ii)(A) The name and social security number of the employee;

'n)(3)(ii)(B) A copy of the employee's medical examination results, including the medical history, questionnaire responses, results of any tests, and physician's recommendations.

(n)(3)(ii)(C) Physician's written opinions;

(n)(3)(ii)(D) Any employee medical complaints related to exposure to asbestos; and

(n)(3)(ii)(E) A copy of the information provided to the physician as required by paragraph (m) of this section.

(n)(3)(iii) The employer shall ensure that this record is maintained for the duration of employment plus thirty (30) years, in accordance with 29 CFR 1910.20.

(n)(4) Training records. The employer shall maintain all employee training records for one (1) year beyond the last date of employment by that employer.

(n)(5) Data to Rebut PACM. Where the building owner and employer have relied on data to demonstrate that PACM is not asbestos-containing, such data shall be maintained for as long as they are relied upon to rebut the presumption.

(n)(6) Records of Required Notifications. Where the building owner has communicated and received information concerning the identification, location and quantity of ACM and PACM, written records of such notifications and their content shall be maintained by the building owner for the duration of ownership and shall be transferred to successive owners of such buildings/facilities.

(n)(7) Availability.

(n)(7)(i) The employer, upon written request, shall make all records required to be maintained by this section available to the Assistant Secretary and the Director for examination and copying.

(n)(7)(ii) The employer, upon request, shall make any exposure records required by paragraphs (f) and (n) of this section available for examination and copying to affected employees, former employees, designated representatives, and the Assistant Secretary, in accordance with 29 CFR 1910.20(a) through (e) and (g) through (i).

(n)(7)(iii) The employer, upon request, shall make employee medical records required by paragraphs (m) and (n) of this section available for examination and copying to the subject employee, anyone having the specific written consent of the subject employee, and the Assistant Secretary, in accordance with 29 CFR 1910.20.

(n)(8) Transfer of records.

(n)(8)(i) The employer shall comply with the requirements concerning transfer of records set forth in 29 CFR 1910.20(h).

(n)(8)(ii) Whenever the employer ceases to do business and there is no successor employer to receive and retain the records r the prescribed period, the employer shall notify the Director at least 90 days prior to disposal and, upon request, transmit tem to the Director.

# (o) Competent person.

- (o)(1) General. On all construction worksites covered by this standard, the employer shall designate a competent person, having the qualifications and authorities for ensuring worker safety and health required by Subpart C, General Safety and Health Provisions for Construction (29 CFR 1926.20 through 1926.32).
- (o)(2) Required Inspections by the Competent Person. Section 1926.20(b)(2) which requires health and safety prevention programs to provide for frequent and regular inspections of the job sites, materials, and equipment to be made by competent persons, is incorporated.
- (0)(3) Additional Inspections. In addition, the competent person shall make frequent and regular inspections of the job sites, in order to perform the duties set out below in paragraph (0)(3)(i) and (ii) of this section. For Class I jobs, on-site inspections shall be made at least once during each work shift, and at any time at employee request. For Class II, III, and IV jobs, on-site inspections shall be made at intervals sufficient to assess whether conditions have changed, and at any reasonable time at employee request.
- (0)(3)(i) On all worksites where employees are engaged in Class I or II asbestos work, the competent person designated in accordance with paragraph (e)(6) of this section shall perform or supervise the following duties, as applicable:
  - (o)(3)(i)(A) Set up the regulated area, enclosure, or other containment;
  - (0)(3)(i)(B) Ensure (by on-site inspection) the integrity of the enclosure or containment;
  - (0)(3)(i)(C) Set up procedures to control entry to and exit from the enclosure and/or area;
- (0)(3)(i)(D) Supervise all employee exposure monitoring required by this section and ensure that it is conducted as required by paragraph (f) of this section;
- (0)(3)(i)(E) Ensure that employees working within the enclosure and/or using glove bags wear respirators and protective clothing as required by paragraphs (h) and (i) of this section;
- (o)(3)(i)(F) Ensure through on-site supervision, that employees set up, use and remove engineering controls, use work practices and personal protective equipment in compliance with all requirements;
- (0)(3)(i)(G) Ensure that employees use the hygiene facilities and observe the decontamination procedures specified in paragraph (j) of this section;
- (0)(3)(i)(H) Ensure that through on-site inspection, engineering controls are functioning properly and employees are using proper work practices; and,
  - (0)(3)(i)(I) Ensure that notification requirement in paragraph (k) of this section are met.
  - (o)(3)(ii) [Reserved]
  - (o)(4) Training for the competent person.
- (o)(4)(i) For Class I and II asbestos work the competent person shall be trained in all aspects of asbestos removal and handling, including: abatement, installation, removal and handling; the contents of this standard; the identification of asbestos; removal procedures, where appropriate; and other practices for reducing the hazard. Such training shall be obtained in a comprehensive course for supervisors that meets the criteria of EPA's Model Accredited Plan (40 CFR part 763, subpart E, Appendix C), such as a course conducted by an EPA-approved or state-approved training provider, certified by EPA or a state, or a course equivalent in stringency, content, and length.
- (o)(4)(ii) For Class III and IV asbestos work, the competent person shall be trained in aspects of asbestos handling appropriate for the nature of the work, to include procedures for setting up glove bags and mini-enclosures, practices for reducing asbestos exposures, use of wet methods, the contents of this standard, and the identification of asbestos. Such training shall include successful completion of a course that is consistent with EPA requirements for training of local education agency maintenance and custodial staff as set forth at 40 CFR 763.92(a)(2), or its equivalent in stringency, content, and length. Competent persons for Class III and IV work, may also be trained pursuant to the requirements of paragraph (o)(4)(i) of this section

## (p) Appendices.

- (p)(1) Appendices A, C, D, and E to this section are incorporated as part of this section and the contents of these appendices are mandatory.
- (p)(2) Appendices B, F, H, I, J, and K to this section are informational and are not intended to create any additional obligations not otherwise imposed or to detract from any existing obligations.

## (q) Dates.

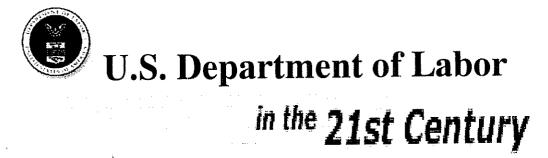
- (q)(1) This standard shall become effective October 11, 1994.
- (q)(2) The provisions of 29 CFR 1926.58 remain in effect until the start-up dates of the equivalent provisions of this standard.
  - (q)(3) Start-up dates. All obligations of this standard commence on the effective date except as follows:
- (q)(3)(i) Methods of compliance. The engineering and work practice controls required by paragraph (g) of this section shall be implemented by October 1, 1995.
- (q)(3)(ii) Respiratory protection. Respiratory protection required by paragraph (h) of this section shall be provided by October 1, 1995.



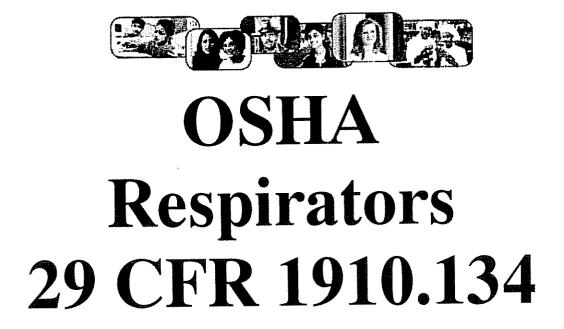
- (q)(3)(iii) Hygiene facilities and practices for employees. Hygiene facilities and practices required by paragraph (j) of this action shall be provided by October 1, 1995.
- (q)(3)(iv) Communication of hazards. Identification, notification, labeling and sign posting, and training required by paragraph (k) of this section shall be provided by October 1, 1995.
- (q)(3)(v) Housekeeping. Housekeeping practices and controls required by paragraph (1) of this section shall be provided by October 1, 1995.
- (q)(3)(vi) Medical surveillance required by paragraph (m) of this section shall be provided by October 1, 1995.
- (q)(3)(vii) The designation and training of competent persons required by paragraph (o) of this section shall be completed by October 1, 1995.







www.dol.gov



1910.134
Respiratory protection
(a) Permissible practice
(b) Definitions
(c) Respiratory protection program
(d) Selection of respiratorsp. 4
(e) Medical evaluation
(f) Fit testingp. 7
(g) Use of respirators
(h) Maintenance and care of respiratorsp. 8
(i) Breathing air quality and use
(j) Identification of filters, cartridges, and canisters p. 10
(k) Training and information
(I) Program evaluationp. 11
(m) Recordkeeping
(n) Dates p. 11
(o) Appendices
(All Mandatory)
Appendix A Fit Testing Proceduresp. 12
Appendix B. Qualitative Fit Test (QLFT) Protocols p. 13
Appendix C. Quantitative Fit Test (QNFT) Protocols p. 18
Appendix B-1 User Seal Check Proceduresp. 22
Appendix B-2 Respirator Cleaning Proceduresp. 22
Appendix C OSHA Respirator Medical Evaluation Questionnaire
Appendix D Information for Employees Using Respirators When Not Required Under the Standard p. 29

#### (a) Permissible practice.

- (1) In the control of those occupational diseases caused by breathing air contaminated with harmful dusts, fogs, fumes, mists, gases, smokes, sprays, or vapors, the primary objective shall be to prevent atmospheric contamination. This shall be accomplished as far as feasible by accepted engineering control measures (for example, enclosure or confinement of the operation, general and local ventilation, and substitution of less toxic materials). When effective engineering controls are not feasible, or while they are being instituted, appropriate respirators shall be used pursuant to this section.
- (2) Respirators shall be provided by the employer when such equipment is necessary to protect the health of the employee. The employer shall provide the respirators which are applicable and suitable for the purpose intended. The employer shall be responsible for the establishment and maintenance of a respiratory protection program which shall include the requirements outlined in paragraph (c) of this section.
- (b) Definitions. The following definitions are important terms used in the respiratory protection standard in this section.

Air-purifying respirator means a respirator with an air-purifying filter, cartridge, or canister that removes specific air contaminants by passing ambient air through the air-purifying element.

Assigned protection factor (APF) [Reserved]

Atmosphere-supplying respirator means a respirator that supplies the respirator user with breathing air from a source independent of the ambient atmosphere, and includes supplied-air respirators (SARs) and selfcontained breathing apparatus (SCBA) units.

Canister or cartridge means a container with a filter, sorbent, or catalyst, or combination of these items, which removes specific contaminants from the air passed through the container.

Demand respirator means an atmosphere-supplying respirator that admits breathing air to the facepiece only when a negative pressure is created inside the facepiece by inhalation.

Emergency situation means any occurrence such as, but not limited to, equipment failure, rupture of containers, or failure of control equipment that may or does result in an uncontrolled significant release of an airborne contaminant.

Employee exposure means exposure to a concentration of an airborne contaminant that would occur if the employee were not using respiratory protection.

End-of-service-life indicator (ESLI) means a system that warns the respirator user of the approach of the end of adequate respiratory protection, for example, that the sorbent is approaching saturation or is no longer effective.

Escape-only respirator means a respirator intended to be used only for emergency exit.

Filter or air purifying element means a component used in respirators to remove solid or liquid aerosols from the inspired air.

Filtering facepiece (dust mask) means a negative pressure particulate respirator with a filter as an integral part of the facepiece or with the entire facepiece composed of the filtering medium.

Fit factor means a quantitative estimate of the fit of a particular respirator to a specific individual, and typically estimates the ratio of the concentration of a substance in ambient air to its concentration inside the respirator when worn.

Fit test means the use of a protocol to qualitatively or quantitatively evaluate the fit of a respirator on an individual. (See also Qualitative fit test QLFT and Quantitative fit test QNFT.)

Helmet means a rigid respiratory inlet covering that also provides head protection against impact and penetration.

High efficiency particulate air (HEPA) filter means a filter that is at least 99.97% efficient in removing monodisperse particles of 0.3 micrometers in diameter. The equivalent NIOSH 42 CFR 84 particulate filters are the N100, R100, and P100 filters.

Hood means a respiratory inlet covering that completely covers the head and neck and may also cover portions of the shoulders and torso.

Immediately dangerous to life or health (IDLH) means an atmosphere that poses an immediate threat to life, would cause irreversible adverse health effects, or would impair an individual's ability to escape from a dangerous atmosphere.

Interior structural firefighting means the physical activity of fire suppression, rescue or both, inside of buildings or enclosed structures which are involved in a fire situation beyond the incipient stage. (See 29 CFR 1910.155)

Loose-fitting facepiece means a respiratory inlet covering that is designed to form a partial seal with the face.

Maximum use concentration (MUC) [Reserved].

Negative pressure respirator (tight fitting) means a respirator in which the air pressure inside the facepiece is negative during inhalation with respect to the ambient air pressure outside the respirator.

Oxygen deficient atmosphere means an atmosphere with an oxygen content below 19.5% by volume.

Physician or other licensed health care professional (PLHCP) means an individual whose legally permitted scope of practice (i.e., license, registration, or certification) allows him or her to independently provide, or be delegated the responsibility to provide, some or all of the health care services required by paragraph (e) of this section.

Positive pressure respirator means a respirator in which the pressure inside the respiratory inlet covering exceeds the ambient air pressure outside the respirator.

Powered air-purifying respirator (PAPR) means an air-purifying respirator that uses a blower to force the ambient air through air-purifying elements to the inlet covering.

Pressure demand respirator means a positive pressure atmosphere-supplying respirator that admits breathing air to the facepiece when the positive pressure is reduced inside the facepiece by inhalation.

Qualitative fit test (QLFT) means a pass/fail fit test to assess the adequacy of respirator fit that relies on the individual's response to the test agent.

Quantitative fit test (QNFT) means an assessment of the adequacy of respirator fit by numerically measuring the amount of leakage into the respirator.

Respiratory inlet covering means that portion of a respirator that forms the protective barrier between the user's respiratory tract and an air-purifying device or breathing air source, or both. It may be a facepiece, helmet, hood, suit, or a mouthpiece respirator with nose clamp.

Self-contained breathing apparatus (SCBA) means an atmosphere-supplying respirator for which the breathing air source is designed to be carried by the user.

Service life means the period of time that a respirator, filter or sorbent, or other respiratory equipment provides adequate protection to the wearer.

Supplied-air respirator (SAR) or airline respirator means an atmosphere-supplying respirator for which the source of breathing air is not designed to be carried by the user.

This section means this respiratory protection standard.

Tight-fitting facepiece means a respiratory inlet covering that forms a complete seal with the face.

User seal check means an action conducted by the respirator user to determine if the respirator is properly seated to the face.

(c) Respiratory protection program. This paragraph requires the employer to develop and implement a written respiratory protection program with required worksite-specific procedures and elements for required respirator use. The program must be administered by a suitably trained program administrator. In addition, certain program elements may be required for voluntary use to prevent potential hazards associated with the use of the respirator. The Small Entity Compliance Guide contains criteria for the selection of a



program administrator and a sample program that meets the requirements of this paragraph. Copies of the Small Entity Compliance Guide will be available on or about April 8, 1998 from the Occupational Safety and Health Administration's Office of Publications, Room N 3101, 200 Constitution Avenue, NW, Washington, DC, 20210 (202-219-4667).

(c)(1) In any workplace where respirators are necessary to protect the health of the employee or whenever respirators are required by the employer, the employer shall establish and implement a written respiratory protection program with worksite-specific procedures. The program shall be updated as necessary to reflect those changes in workplace conditions that affect respirator use.

The employer shall include in the program the following provisions of this section, as applicable:

- (c)(1)(i) Procedures for selecting respirators for use in the workplace; h 3
- (c)(1)(ii) Medical evaluations of employees required to use respirators; m
- (c)(1)(iii) Fit testing procedures for tight-fitting respirators; h
- (c)(1)(iv) Procedures for proper use of respirators in routine and reasonably foreseeable emergency situations;
- (c)(1)(v) Procedures and schedules for cleaning, disinfecting, storing, inspecting, repairing, discarding, and otherwise maintaining respirators;
- (c)(1)(vi) Procedures to ensure adequate air quality, quantity, and flow of breathing air for atmosphere-supplying respirators;
- (c)(1)(vii) Training of employees in the respiratory hazards to which they are potentially exposed during routine and emergency situations;
- (c)(1)(viii) Training of employees in the proper use of respirators, including putting on and removing them, any limitations on their use, and their maintenance; and
  - (c)(1)(ix) Procedures for regularly evaluating the effectiveness of the program.
  - (c)(2) Where respirator use is not required:
- (c)(2)(i) An employer may provide respirators at the request of employees or permit employees to use their own respirators, if the employer determines that such respirator use will not in itself create a hazard. If the employer determines that any voluntary respirator use is permissible, the employer shall provide the respirator users with the information contained in Appendix D to this section ("Information for Employees Using Respirators When Not Required Under the Standard"); and
- (c)(2)(ii) In addition, the employer must establish and implement those elements of a written respiratory protection program necessary to ensure that any employee using a respirator voluntarily is medically able to use that respirator, and that the respirator is cleaned, stored, and maintained so that its use does not present a health hazard to the user. Exception: Employers are not required to include in a written respiratory protection program those employees whose only use of respirators involves the voluntary use of filtering facepieces (dust masks).
- (c)(3) The employer shall designate a program administrator who is qualified by appropriate training or experience that is commensurate with the complexity of the program to administer or oversee the respiratory protection program and conduct the required evaluations of program effectiveness.
- (c)(4) The employer shall provide respirators, training, and medical evaluations at no cost to the employee.
- (d) Selection of respirators. This paragraph requires the employer to evaluate respiratory hazard(s) in the workplace, identify relevant workplace and user factors, and base respirator selection on these factors. The paragraph also specifies appropriately protective respirators for use in IDLH atmospheres, and limits the selection and use of air-purifying respirators.
  - (d)(1) General requirements.
- (d)(1)(i) The employer shall select and provide an appropriate respirator based on the respiratory hazard(s) to which the worker is exposed and workplace and user factors that affect respirator performance and reliability.
- (d)(1)(ii) The employer shall select a NIOSH-certified respirator. The respirator shall be used in compliance with the conditions of its certification.
- (d)(1)(iii) The employer shall identify and evaluate the respiratory hazard(s) in the workplace; this evaluation shall include a reasonable estimate of employee exposures to respiratory hazard(s) and an identification of the contaminant's chemical state and physical form. Where the employer cannot identify or reasonably estimate the employee exposure, the employer shall consider the atmosphere to be IDLH.
- (d)(1)(iv) The employer shall select respirators from a sufficient number of respirator models and sizes so that the respirator is acceptable to, and correctly fits, the user.
- (d)(2) Respirators for IDLH atmospheres. (i) The employer shall provide the following respirators for employee use in IDLH atmospheres:
- (d)(2)(A) A full facepiece pressure demand SCBA certified by NIOSH for a minimum service life of thirty minutes, or

- (d)(2)(B) A combination full facepiece pressure demand supplied-air respirator (SAR) with auxiliary self-contained air supply.
- (d)(2)(B)(ii) Respirators provided only for escape from IDLH atmospheres shall be NIOSH-certified for escape from the atmosphere in which they will be used.
- (d)(2)(B)(iii) All oxygen-deficient atmospheres shall be considered IDLH. Exception: If the employer demonstrates that, under all foreseeable conditions, the oxygen concentration can be maintained within the ranges specified in Table II of this section (i.e., for the altitudes set out in the table), then any atmosphere-supplying respirator may be used.
  - (d)(3) Respirators for atmospheres that are not IDLH.
- (d)(3)(i) The employer shall provide a respirator that is adequate to protect the health of the employee and ensure compliance with all other OSHA statutory and regulatory requirements, under routine and reasonably foreseeable emergency situations.
  - (d)(3)(i)(A) Assigned Protection Factors (APFs) [Reserved]
  - (d)(3)(i)(B) Maximum Use Concentration (MUC) [Reserved]
- (d)(3)(ii) The respirator selected shall be appropriate for the chemical state and physical form of the contaminant.
  - (d)(3)(iii) For protection against gases and vapors, the employer shall provide:
  - (d)(3)(iii)(A) An atmosphere-supplying respirator, or
  - (d)(3)(iii)(B) An air-purifying respirator, provided that:
- (d)(3)(iii)(B)(1) The respirator is equipped with an end-of-service-life indicator (ESLI) certified by NIOSH for the contaminant; or
- (d)(3)(iii)(B)(2) If there is no ESLI appropriate for conditions in the employer's workplace, the employer implements a change schedule for canisters and cartridges that is based on objective information or data that will ensure that canisters and cartridges are changed before the end of their service life. The employer shall describe in the respirator program the information and data relied upon and the basis for the canister and cartridge change schedule and the basis for reliance on the data.
  - (d)(3)(iv) For protection against particulates, the employer shall provide:
  - (d)(3)(iv)(A) An atmosphere-supplying respirator; or
- (d)(3)(iv)(B) An air-purifying respirator equipped with a filter certified by NIOSH under 30 CFR part 11 as a high efficiency particulate air (HEPA) filter, or an air-purifying respirator equipped with a filter certified for particulates by NIOSH under 42 CFR part 84; or
- (d)(3)(iv)(C) For contaminants consisting primarily of particles with mass median aerodynamic diameters (MMAD) of at least 2 micrometers, an air-purifying respirator equipped with any filter certified for particulates by NIOSH.

Table I.--Assigned Protection Factors [Reserved]
Table II

1 adie 11		
Altitude (ft.)	Oxygen deficient Atmospheres (% 02) for which the employer may rely on atmosphere- supplying respirators	
Less than 3,001		
5,001-6,000 6,001-7,0007,001-8,000\1\		

\1\ Above 8,000 feet the exception does not apply. Oxygen-enriched breathing air must be supplied above 14,000 feet.

(e) Medical evaluation. Using a respirator may place a physiological burden on employees that varies with the type of respirator worn, the job and workplace conditions in which the respirator is used, and the



medical status of the employee. Accordingly, this paragraph specifies the minimum requirements for medical evaluation that employers must implement to determine the employee's ability to use a respirator.

- (e)(1) General. The employer shall provide a medical evaluation to determine the employee's ability to use a respirator, before the employee is fit tested or required to use the respirator in the workplace. The employer may discontinue an employee's medical evaluations when the employee is no longer required to use a respirator.
  - (e)(2) Medical evaluation procedures.
- (e)(2)(i) The employer shall identify a physician or other licensed health care professional (PLHCP) to perform medical evaluations using a medical questionnaire or an initial medical examination that obtains the same information as the medical questionnaire.
- (e)(2)(ii) The medical evaluation shall obtain the information requested by the questionnaire in Sections 1 and 2, Part A of Appendix C of this section.
  - (e)(3).Follow-up medical examination.
- (e)(3)(i) The employer shall ensure that a follow-up medical examination is provided for an employee who gives a positive response to any question among questions 1 through 8 in Section 2, Part A of Appendix C or whose initial medical examination demonstrates the need for a follow-up medical examination.
- (e)(3)(ii) The follow-up medical examination shall include any medical tests, consultations, or diagnostic procedures that the PLHCP deems necessary to make a final determination.
  - (e)(4) Administration of the medical questionnaire and examinations.
- (e)(4)(i) The medical questionnaire and examinations shall be administered confidentially during the employee's normal working hours or at a time and place convenient to the employee. The medical questionnaire shall be administered in a manner that ensures that the employee understands its content.
- (e)(4)(ii) The employer shall provide the employee with an opportunity to discuss the questionnaire and examination results with the PLHCP.
  - (e)(5) Supplemental information for the PLHCP.
- (e)(5)(i) The following information must be provided to the PLHCP before the PLHCP makes a recommendation concerning an employee's ability to use a respirator:
  - (e)(5)(i)(A) The type and weight of the respirator to be used by the employee;
  - (e)(5) (i) (B) The duration and frequency of respirator use (including use for rescue and escape);
  - (e)(5)(i)(C) The expected physical work effort;
  - (e)(5)(i)(D) Additional protective clothing and equipment to be worn; and
  - (e)(5)(i)(E) Temperature and humidity extremes that may be encountered.
- (e)(5)(ii) Any supplemental information provided previously to the PLHCP regarding an employee need not be provided for a subsequent medical evaluation if the information and the PLHCP remain the same.
- (e)(5)(iii) The employer shall provide the PLHCP with a copy of the written respiratory protection program and a copy of this section.

Note to Paragraph (e)(5)(iii): When the employer replaces a PLHCP, the employer must ensure that the new PLHCP obtains this information, either by providing the documents directly to the PLHCP or having the documents transferred from the former PLHCP to the new PLHCP. However, OSHA does not expect employers to have employees medically reevaluated solely because a new PLHCP has been selected.

- (e)(6) Medical determination. In determining the employee's ability to use a respirator, the employer shall:
- (e)(6)(i) Obtain a written recommendation regarding the employee's ability to use the respirator from the PLHCP. The recommendation shall provide only the following information:
- (e)(6)(i)(A) Any limitations on respirator use related to the medical condition of the employee, or relating to the workplace conditions in which the respirator will be used, including whether or not the employee is medically able to use the respirator;
  - (e)(6)(i)(B) The need, if any, for follow-up medical evaluations; and
- (e)(6)(i)(C) A statement that the PLHCP has provided the employee with a copy of the PLHCP's written recommendation.
- (e)(6)(ii) If the respirator is a negative pressure respirator and the PLHCP finds a medical condition that may place the employee's health at increased risk if the respirator is used, the employer shall provide a PAPR if the PLHCP's medical evaluation finds that the employee can use such a respirator; if a subsequent medical evaluation finds that the employee is medically able to use a negative pressure respirator, then the employer is no longer required to provide a PAPR.
- (e)(7) Additional medical evaluations. At a minimum, the employer shall provide additional medical evaluations that comply with the requirements of this section if:
  - (e)(7)(i) An employee reports medical signs or symptoms that are related to ability to use a respirator;
- (e)(7)(ii) A PLHCP, supervisor, or the respirator program administrator informs the employer that an employee needs to be reevaluated;
- (e)(7)(iii) Information from the respiratory protection program, including observations made during fit testing and program evaluation, indicates a need for employee reevaluation; or

- (e)(7)(iv) A change occurs in workplace conditions (e.g., physical work effort, protective clothing, temperature) that may result in a substantial increase in the physiological burden placed on an employee.
- (f) Fit testing. This paragraph requires that, before an employee may be required to use any respirator with a negative or positive pressure tight-fitting facepiece, the employee must be fit tested with the same make, model, style, and size of respirator that will be used. This paragraph specifies the kinds of fit tests allowed, the procedures for conducting them, and how the results of the fit tests must be used.
- (f)(1) The employer shall ensure that employees using a tight-fitting facepiece respirator pass an appropriate qualitative fit test (QLFT) or quantitative fit test (QNFT) as stated In this paragraph.
- (f)(2) The employer shall ensure that an employee using a tight-fitting facepiece respirator is fit tested prior to initial use of the respirator, whenever a different respirator facepiece (size, style, model or make) is used, and at least annually thereafter.
- (f)(3) The employer shall conduct an additional fit test whenever the employee reports, or the employer, PLHCP, supervisor, or program administrator makes visual observations of, changes in the employee's physical condition that could affect respirator fit. Such conditions include, but are not limited to, facial scarring, dental changes, cosmetic surgery, or an obvious change in body weight.
- (f)(4) If after passing a QLFT or QNFT, the employee subsequently notifies the employer, program administrator, supervisor, or PLHCP that the fit of the respirator is unacceptable, the employee shall be given a reasonable opportunity to select a different respirator facepiece and to be retested.
- (f)(5) The fit test shall be administered using an OSHA-accepted QLFT or QNFT protocol. The OSHAaccepted QLFT and QNFT protocols and procedures are contained in Appendix A of this section.
- (f)(6) QLFT may only be used to fit test negative pressure air-purifying respirators that must achieve a fit factor of 100 or less.
- (f)(7) If the fit factor, as determined through an OSHA-accepted QNFT protocol, is equal to or greater than 100 for tight-fitting half facepieces, or equal to or greater than 500 for tight-fitting full facepieces, the QNFT has been passed with that respirator.
- (f)(8) Fit testing of tight-fitting atmosphere-supplying respirators and tight-fitting powered air-purifying respirators shall be accomplished by performing quantitative or qualitative fit testing in the negative pressure mode, regardless of the mode of operation (negative or positive pressure) that is used for respiratory protection.
- (f)(8)(i) Qualitative fit testing of these respirators shall be accomplished by temporarily converting the respirator user's actual facepiece into a negative pressure respirator with appropriate filters, or by using an identical negative pressure air-purifying respirator facepiece with the same sealing surfaces as a surrogate for the atmosphere-supplying or powered air-purifying respirator facepiece.
- (f)(8)(ii) Quantitative fit testing of these respirators shall be accomplished by modifying the facepiece to allow sampling inside the facepiece in the breathing zone of the user, midway between the nose and mouth. This requirement shall be accomplished by installing a permanent sampling probe onto a surrogate facepiece, or by using a sampling adapter designed to temporarily provide a means of sampling air from inside the facepiece.
- (f)(8)(iii) Any modifications to the respirator facepiece for fit testing shall be completely removed, and the facepiece restored to NIOSH-approved configuration, before that facepiece can be used in the workplace.
- (g) Use of respirators. This paragraph requires employers to establish and implement procedures for the proper use of respirators. These requirements include prohibiting conditions that may result in facepiece seal leakage, preventing employees from removing respirators in hazardous environments, taking actions to ensure continued effective respirator operation throughout the work shift, and establishing procedures for the use of respirators in IDLH atmospheres or in interior structural firefighting situations.
  - (g)(1) Facepiece seal protection.
- (g)(1)(i) The employer shall not permit respirators with tight-fitting facepieces to be worn by employees who have:
- (g)(1)(i)(A) Facial hair that comes between the sealing surface of the facepiece and the face or that interferes with valve function; or
  - (g)(1)(i)(B) Any condition that interferes with the face-to-facepiece seal or valve function.
- (g)(1)(ii) If an employee wears corrective glasses or goggles or other personal protective equipment, the employer shall ensure that such equipment is worn in a manner that does not interfere with the seal of the facepiece to the face of the user.
- (g)(1)(iii) For all tight-fitting respirators, the employer shall ensure that employees perform a user seal check each time they put on the respirator using the procedures in Appendix B-1 or procedures recommended by the respirator manufacturer that the employer demonstrates are as effective as those in Appendix B-1 of this section.
  - (g)(2) Continuing respirator effectiveness.



(g)(2)(i) Appropriate surveillance shall be maintained of work area conditions and degree of employee exposure or stress. When there is a change in work area conditions or degree of employee exposure or stress that may affect respirator effectiveness, the employer shall reevaluate the continued effectiveness of the respirator.

(g)(2)(ii) The employer shall ensure that employees leave the respirator use area:

- (g)(2)(ii)(A) To wash their faces and respirator facepieces as necessary to prevent eye or skin irritation associated with respirator use; or
- (g)(2)(ii)(B) If they detect vapor or gas breakthrough, changes in breathing resistance, or leakage of the facepiece; or

(g)(2)(ii)(C) To replace the respirator or the filter, cartridge, or canister elements.

- (g)(2)(iii) If the employee detects vapor or gas breakthrough, changes in breathing resistance, or leakage of the facepiece, the employer must replace or repair the respirator before allowing the employee to return to the work area.
  - (g)(3) Procedures for IDLH atmospheres. For all IDLH atmospheres, the employer shall ensure that:
- (g)(3)(i) One employee or, when needed, more than one employee is located outside the IDLH atmosphere;
- (g)(3)(ii) Visual, voice, or signal line communication is maintained between the employee(s) in the IDLH atmosphere and the employee(s) located outside the IDLH atmosphere;
- (g)(3)(iii) The employee(s) located outside the IDLH atmosphere are trained and equipped to provide effective emergency rescue;
- (g)(3)(iv) The employer or designee is notified before the employee(s) located outside the IDLH atmosphere enter the IDLH atmosphere to provide emergency rescue;
- (g)(3)(v) The employer or designee authorized to do so by the employer, once notified, provides necessary assistance appropriate to the situation;

(g)(3)(vi) Employee(s) located outside the IDLH atmospheres are equipped with:

- (g)(3)(vi)(A) Pressure demand or other positive pressure SCBAs, or a pressure demand or other positive pressure supplied-air respirator with auxiliary SCBA; and either
- (g)(3)(vi)(B) Appropriate retrieval equipment for removing the employee(s) who enter(s) these hazardous atmospheres where retrieval equipment would contribute to the rescue of the employee(s) and would not increase the overall risk resulting from entry; or
- (g)(3)(vi)(C) Equivalent means for rescue where retrieval equipment is not required under paragraph (g)(3)(vi)(B).
- (g)(4) Procedures for interior structural firefighting. In addition to the requirements set forth under paragraph (g)(3), in interior structural fires, the employer shall ensure that:
- (g)(4)(i) At least two employees enter the IDLH atmosphere and remain in visual or voice contact with one another at all times;
  - (g)(4)(ii) At least two employees are located outside the IDLH atmosphere; and

(g)(4)(iii) All employees engaged in interior structural firefighting use SCBAs.

Note 1 to paragraph (g): One of the two individuals located outside the IDLH atmosphere may be assigned to an additional role, such as incident commander in charge of the emergency or safety officer, so long as this individual is able to perform assistance or rescue activities without jeopardizing the safety or health of any firefighter working at the incident.

Note 2 to paragraph (g): Nothing in this section is meant to preclude firefighters from performing emergency rescue activities before an entire team has assembled.

- (h) Maintenance and care of respirators. This paragraph requires the employer to provide for the cleaning and disinfecting, storage, inspection, and repair of respirators used by employees.
- (h)(1) Cleaning and disinfecting. The employer shall provide each respirator user with a respirator that is clean, sanitary, and in good working order. The employer shall ensure that respirators are cleaned and disinfected using the procedures in Appendix B-2 of this section, or procedures recommended by the respirator manufacturer, provided that such procedures are of equivalent effectiveness. The respirators shall be cleaned and disinfected at the following intervals:
- (h)(i) Respirators issued for the exclusive use of an employee shall be cleaned and disinfected as often as necessary to be maintained in a sanitary condition;
- (h)(ii) Respirators issued to more than one employee shall be cleaned and disinfected before being worn by different individuals;
  - (h)(iii) Respirators maintained for emergency use shall be cleaned and disinfected after each use; and
  - (h)(iv) Respirators used in fit testing and training shall be cleaned and disinfected after each use.
  - (h)(2) Storage. The employer shall ensure that respirators are stored as follows:
- (h)(2)(i) All respirators shall be stored to protect them from damage, contamination, dust, sunlight, extreme temperatures, excessive moisture, and damaging chemicals, and they shall be packed or stored to prevent deformation of the facepiece and exhalation valve.

- (h)(2)(ii) In addition to the requirements of paragraph (h)(2)(i) of this section, emergency respirators shall be:
  - (h)(2)(ii)(A) Kept accessible to the work area;
- (h)(2)(ii)(B) Stored in compartments or in covers that are clearly marked as containing emergency respirators; and
  - (h)(2)(ii)(C) Stored in accordance with any applicable manufacturer instructions.
  - (h)(3) Inspection.
  - (h)(3)(i) The employer shall ensure that respirators are inspected as follows:
- (h)(3)(i)(A) All respirators used in routine situations shall be inspected before each use and during cleaning;
- (h)(3)(i)(B) All respirators maintained for use in emergency situations shall be inspected at least monthly and in accordance with the manufacturer's recommendations, and shall be checked for proper function before and after each use; and
- (h)(3)(i)(C) Emergency escape-only respirators shall be inspected before being carried into the workplace for use.
  - (h)(3)(ii) The employer shall ensure that respirator inspections include the following:
- (h)(3)(ii)(A) A check of respirator function, tightness of connections, and the condition of the various parts including, but not limited to, the facepiece, head straps, valves, connecting tube, and cartridges, canisters or filters; and
  - (h)(3)(ii)(B) A check of elastomeric parts for pliability and signs of deterioration.
- (h)(3)(iii) In addition to the requirements of paragraphs (h)(3)(i) and (ii) of this section, self-contained breathing apparatus shall be inspected monthly. Air and oxygen cylinders shall be maintained in a fully charged state and shall be recharged when the pressure falls to 90% of the manufacturer's recommended pressure level. The employer shall determine that the regulator and warning devices function properly.
  - (h)(3)(iv) For respirators maintained for emergency use, the employer shall:
- (h)(3)(iv)(A) Certify the respirator by documenting the date the inspection was performed, the name (or signature) of the person who made the inspection, the findings, required remedial action, and a serial number or other means of identifying the inspected respirator; and
- (h)(3)(iv)(B) Provide this information on a tag or label that is attached to the storage compartment for the respirator, is kept with the respirator, or is included in inspection reports stored as paper or electronic files. This information shall be maintained until replaced following a subsequent certification.
- (h)(4) Repairs. The employer shall ensure that respirators that fail an inspection or are otherwise found to be defective are removed from service, and are discarded or repaired or adjusted in accordance with the following procedures:
- (h)(4)(i) Repairs or adjustments to respirators are to be made only by persons appropriately trained to perform such operations and shall use only the respirator manufacturer's NIOSH-approved parts designed for the respirator;
- (h)(4)(ii) Repairs shall be made according to the manufacturer's recommendations and specifications for the type and extent of repairs to be performed; and
- (h)(4)(iii) Reducing and admission valves, regulators, and alarms shall be adjusted or repaired only by the manufacturer or a technician trained by the manufacturer.
- (i) Breathing air quality and use. This paragraph requires the employer to provide employees using atmosphere-supplying respirators (supplied-air and SCBA) with breathing gases of high purity.
- (i)(1) The employer shall ensure that compressed air, compressed oxygen, liquid air, and liquid oxygen used for respiration accords with the following specifications:
- (i)(1)(i) Compressed and liquid oxygen shall meet the United States Pharmacopoeia requirements for medical or breathing oxygen; and
- (i)(1)(ii) Compressed breathing air shall meet at least the requirements for Grade D breathing air described in ANSI/Compressed Gas Association Commodity Specification for Air, G-7.1-1989, to include:
  - (i)(1)(ii)(A) Oxygen content (v/v) of 19.5-23.5%;
  - (i)(1)(ii)(B) Hydrocarbon (condensed) content of 5 milligrams per cubic meter of air or less;
  - (i)(1)(ii)(C) Carbon monoxide (CO) content of 10 ppm or less;
  - (i)(1)(ii)(D) Carbon dioxide content of 1,000 ppm or less; and
  - (i)(1)(ii)(E) Lack of noticeable odor.
- (i)(2) The employer shall ensure that compressed oxygen is not used in atmosphere-supplying respirators that have previously used compressed air.
- (i)(3) The employer shall ensure that oxygen concentrations greater than 23.5% are used only in equipment designed for oxygen service or distribution.
- (i)(4) The employer shall ensure that cylinders used to supply breathing air to respirators meet the following requirements:



(i)(4)(i) Cylinders are tested and maintained as prescribed in the Shipping Container Specification Regulations of the Department of Transportation (49 CFR part 173 and part 178);

(i)(4)(ii) Cylinders of purchased breathing air have a certificate of analysis from the supplier that the breathing air meets the requirements for Grade D breathing air; and

(i)(4)(iii) The moisture content in the cylinder does not exceed a dew point of -50 deg. F (-45.6 deg. C) at I atmosphere pressure.

(i)(5) The employer shall ensure that compressors used to supply breathing air to respirators are constructed and situated so as to:

(i)(5)(i) Prevent entry of contaminated air into the air-supply system;

(i)(5)(ii) Minimize moisture content so that the dew point at 1 atmosphere pressure is 10 degrees F (5.56 deg. C) below the ambient temperature;

(i)(5)(iii) Have suitable in-line air-purifying sorbent beds and filters to further ensure breathing air quality. Sorbent beds and filters shall be maintained and replaced or refurbished periodically following the manufacturer's instructions.

(i)(5)(iv) Have a tag containing the most recent change date and the signature of the person authorized by the employer to perform the change. The tag shall be maintained at the compressor.

(i)(6) For compressors that are not oil-lubricated, the employer shall ensure that carbon monoxide levels in the breathing air do not exceed 10 ppm.

(i)(7) For oil-lubricated compressors, the employer shall use a high-temperature or carbon monoxide alarm, or both, to monitor carbon monoxide levels. If only high-temperature alarms are used, the air supply shall be monitored at intervals sufficient to prevent carbon monoxide in the breathing air from exceeding 10

(i)(8) The employer shall ensure that breathing air couplings are incompatible with outlets for nonrespirable worksite air or other gas systems. No asphyxiating substance shall be introduced into breathing

(i)(9) The employer shall use breathing gas containers marked in accordance with the NIOSH respirator certification standard, 42 CFR part 84.

(j) Identification of filters, cartridges, and canisters. The employer shall ensure that all filters, cartridges and canisters used in the workplace are labeled and color coded with the NIOSH approval label and that the label is not removed and remains legible.

(k) Training and information. This paragraph requires the employer to provide effective training to employees who are required to use respirators. The training must be comprehensive, understandable, and recur annually, and more often if necessary. This paragraph also requires the employer to provide the basic information on respirators in Appendix D of this section to employees who wear respirators when not required by this section or by the employer to do so.

(k)(1) The employer shall ensure that each employee can demonstrate knowledge of at least the following:

(k)(1)(i) Why the respirator is necessary and how improper fit, usage, or maintenance can compromise the protective effect of the respirator;

(k)(1)(ii) What the limitations and capabilities of the respirator are;

(k)(1)(iii) How to use the respirator effectively in emergency situations, including situations in which the respirator malfunctions;

(k)(1)(iv) How to inspect, put on and remove, use, and check the seals of the respirator;

(k)(1)(v) What the procedures are for maintenance and storage of the respirator;

(k)(1)(vi) How to recognize medical signs and symptoms that may limit or prevent the effective use of respirators; and

(k)(1)(vii) The general requirements of this section.

(k)(2) The training shall be conducted in a manner that is understandable to the employee.

(k)(3) The employer shall provide the training prior to requiring the employee to use a respirator in the workplace.

(k)(4) An employer who is able to demonstrate that a new employee has received training within the last 12 months that addresses the elements specified in paragraph (k)(1)(i) through (vii) is not required to repeat such training provided that, as required by paragraph (k)(1), the employee can demonstrate knowledge of those element(s). Previous training not repeated initially by the employer must be provided no later than 12 months from the date of the previous training.

(k)(5) Retraining shall be administered annually, and when the following situations occur:

(k)(5)(i) Changes in the workplace or the type of respirator render previous training obsolete;

(k)(5)(ii) Inadequacies in the employee's knowledge or use of the respirator indicate that the employee has not retained the requisite understanding or skill; or

- (k)(5)(iii) Any other situation arises in which retraining appears necessary to ensure safe respirator use. (k)(6) The basic advisory information on respirators, as presented in Appendix D of this section, shall be provided by the employer in any written or oral format, to employees who wear respirators when such use is not required by this section or by the employer.
- (1) Program evaluation. This section requires the employer to conduct evaluations of the workplace to ensure that the written respiratory protection program is being properly implemented, and to consult employees to ensure that they are using the respirators properly.
- (l)(1) The employer shall conduct evaluations of the workplace as necessary to ensure that the provisions of the current written program are being effectively implemented and that it continues to be effective.
- (l)(2) The employer shall regularly consult employees required to use respirators to assess the employees' views on program effectiveness and to identify any problems. Any problems that are identified during this assessment shall be corrected. Factors to be assessed include, but are not limited to:
- (l)(2)(i) Respirator fit (including the ability to use the respirator without interfering with effective workplace performance);
  - (l)(2)(ii) Appropriate respirator selection for the hazards to which the employee is exposed;
  - (1)(2)(iii) Proper respirator use under the workplace conditions the employee encounters; and
  - (1)(2)(iv) Proper respirator maintenance.
- (m) Recordkeeping. This section requires the employer to establish and retain written information regarding medical evaluations, fit testing, and the respirator program. This information will facilitate employee involvement in the respirator program, assist the employer in auditing the adequacy of the program, and provide a record for compliance determinations by OSHA.
- (m)(1) Medical evaluation. Records of medical evaluations required by this section must be retained and made available in accordance with 29 CFR 1910.1020.
  - (m)(2) Fit testing.
- (m)(2)(i) The employer shall establish a record of the qualitative and quantitative fit tests administered to an employee including:
  - (m)(2)(i)(A) The name or identification of the employee tested;
  - (m)(2)(i)(B) Type of fit test performed;
  - (m)(2)(i)(C) Specific make, model, style, and size of respirator tested;
  - (m)(2)(i)(D) Date of test; and
- (m)(2)(i)(E) The pass/fail results for QLFTs or the fit factor and strip chart recording or other recording of the test results for ONFTs.
  - (m)(2)(ii) Fit test records shall be retained for respirator users until the next fit test is administered.
  - (m)(3) A written copy of the current respirator program shall be retained by the employer.
- (m)(4) Written materials required to be retained under this paragraph shall be made available upon request to affected employees and to the Assistant Secretary or designee for examination and copying.
  - (n) Dates.
- (n)(1) Effective date. This section is effective April 8, 1998. The obligations imposed by this section commence on the effective date unless otherwise noted in this paragraph.

Compliance with obligations that do not commence on the effective date shall occur no later than the applicable start-up date.

- (n)(2) Compliance dates. All obligations of this section commence on the effective date except as follows:
- (n)(2)(i) The determination that respirator use is required (paragraph (a)) shall be completed no later than September 8, 1998.
- (n)(2)(ii) Compliance with provisions of this section for all other provisions shall be completed no later than October 5, 1998.
- (n)(3) The provisions of 29 CFR 1910.134 and 29 CFR 1926.103, contained in the 29 CFR parts 1900 to 1910.99 and the 29 CFR part 1926 editions, revised as of July 1, 1997, are in effect and enforceable until October 5, 1998, or during any administrative or judicial stay of the provisions of this section.
- (n)(4) Existing Respiratory Protection Programs. If, in the 12 month period preceding April 8, 1998, the employer has conducted annual respirator training, fit testing, respirator program evaluation, or medical evaluations, the employer may use the results of those activities to comply with the corresponding provisions of this section, providing that these activities were conducted in a manner that meets the requirements of this section.



(o) Appendices.

- (o)(1) Compliance with Appendix A, Appendix B-1, Appendix B-2, and Appendix C of this section is mandatory.
- (0)(2) Appendix D of this section is non-mandatory and is not intended to create any additional obligations not otherwise imposed or to detract from any existing obligations.

# Appendix A to Sec. 1910.134: Fit Testing Procedures (Mandatory) Part I. OSHA-Accepted Fit Test Protocols

A. Fit Testing Procedures-General Requirements

The employer shall conduct fit testing using the following procedures. The requirements in this appendix apply to all OSHA-accepted fit test methods, both QLFT and QNFT.

- A. 1. The test subject shall be allowed to pick the most acceptable respirator from a sufficient number of respirator models and sizes so that the respirator is acceptable to, and correctly fits, the user.
- A. 2. Prior to the selection process, the test subject shall be shown how to put on a respirator, how it should be positioned on the face, how to set strap tension and how to determine an acceptable fit. A mirror shall be available to assist the subject in evaluating the fit and positioning of the respirator. This instruction may not constitute the subject's formal training on respirator use, because it is only a review.
- A. 3. The test subject shall be informed that he/she is being asked to select the respirator that provides the most acceptable fit. Each respirator represents a different size and shape, and if fitted and used properly, will provide adequate protection.
- A. 4. The test subject shall be instructed to hold each chosen facepiece up to the face and eliminate those that obviously do not give an acceptable fit.
- A. 5. The more acceptable facepieces are noted in case the one selected proves unacceptable; the most comfortable mask is donned and worn at least five minutes to assess comfort. Assistance in assessing comfort can be given by discussing the points in the following item A.6. If the test subject is not familiar with using a particular respirator, the test subject shall be directed to don the mask several times and to adjust the straps each time to become adept at setting proper tension on the straps.
- A. 6. Assessment of comfort shall include a review of the following points with the test subject and allowing the test subject adequate time to determine the comfort of the respirator:
  - A. 6. (a) Position of the mask on the nose
  - A. 6. (b) Room for eye protection
  - A. 6. (c) Room to talk
  - A. 6. (d) Position of mask on face and cheeks
  - A. 7. The following criteria shall be used to help determine the adequacy of the respirator fit:
  - A. 7. (a) Chin properly placed;
  - A. 7. (b) Adequate strap tension, not overly tightened;
  - A. 7. (c) Fit across nose bridge;
  - A. 7. (d) Respirator of proper size to span distance from nose to chin;
  - A. 7. (e) Tendency of respirator to slip;
  - A. 7. (f) Self-observation in mirror to evaluate fit and respirator position.
- A. 8. The test subject shall conduct a user seal check, either the negative and positive pressure seal checks described in Appendix B-1 of this section or those recommended by the respirator manufacturer which provide equivalent protection to the procedures in Appendix B-1. Before conducting the negative and positive pressure checks, the subject shall be told to seat the mask on the face by moving the head from side-to-side and up and down slowly while taking in a few slow deep breaths. Another facepiece shall be selected and retested if the test subject fails the user seal check tests.
- A. 9. The test shall not be conducted if there is any hair growth between the skin and the facepiece sealing surface, such as stubble beard growth, beard, mustache or sideburns which cross the respirator sealing surface. Any type of apparel which interferes with a satisfactory fit shall be altered or removed.
- A. 10. If a test subject exhibits difficulty in breathing during the tests, she or he shall be referred to a physician or other licensed health care professional, as appropriate, to determine whether the test subject can wear a respirator while performing her or his duties.
- A. 11. If the employee finds the fit of the respirator unacceptable, the test subject shall be given the opportunity to select a different respirator and to be retested.
- A. 12. Exercise regimen. Prior to the commencement of the fit test, the test subject shall be given a description of the fit test and the test subject's responsibilities during the test procedure. The description of the process shall include a description of the test exercises that the subject will be performing. The respirator to be tested shall be worn for at least 5 minutes before the start of the fit test.
- A. 13. The fit test shall be performed while the test subject is wearing any applicable safety equipment that may be worn during actual respirator use which could interfere with respirator fit.

A. 14. Test Exercises.

- A. 14. (a) The following test exercises are to be performed for all fit testing methods prescribed in this appendix, except for the CNP method. A separate fit testing exercise regimen is contained in the CNP protocol. The test subject shall perform exercises, in the test environment, in the following manner:
- A. 14. (a)(1) Normal breathing. In a normal standing position, without talking, the subject shall breathe normally.
- A. 14. (a)(2) Deep breathing. In a normal standing position, the subject shall breathe slowly and deeply, taking caution so as not to hyperventilate.
- A. 14. (a)(3) Turning head side to side. Standing in place, the subject shall slowly turn his/her head from side to side between the extreme positions on each side. The head shall be held at each extreme momentarily so the subject can inhale at each side.
- A. 14. (a)(4) Moving head up and down. Standing in place, the subject shall slowly move his/her head up and down. The subject shall be instructed to inhale in the up position (i.e., when looking toward the ceiling).
- A. 14. (a)(5) Talking. The subject shall talk out loud slowly and loud enough so as to be heard clearly by the test conductor. The subject can read from a prepared text such as the Rainbow Passage, count backward from 100, or recite a memorized poem or song.

Rainbow Passage

When the sunlight strikes raindrops in the air, they act like a prism and form a rainbow. The rainbow is a division of white light into many beautiful colors. These take the shape of a long round arch, with its path high above, and its two ends apparently beyond the horizon. There is, according to legend, a boiling pot of gold at one end. People look, but no one ever finds it. When a man looks for something beyond reach, his friends say he is looking for the pot of gold at the end of the rainbow.

A. 14. (a)(6) Grimace. The test subject shall grimace by smiling or frowning. (This applies only to QNFT testing; it is not performed for QLFT)

A. 14. (a)(7) Bending over. The test subject shall bend at the waist as if he/she were to touch his/her toes. Jogging in place shall be substituted for this exercise in those test environments such as shroud type QNFT or OLFT units that do not permit bending over at the waist.

A. 14. (a)(8) Normal breathing. Same as exercise (1).

A. 14. (b) Each test exercise shall be performed for one minute except for the grimace exercise which shall be performed for 15 seconds. The test subject shall be questioned by the test conductor regarding the comfort of the respirator upon completion of the protocol. If it has become unacceptable, another model of respirator shall be tried. The respirator shall not be adjusted once the fit test exercises begin. Any adjustment voids the test, and the fit test must be repeated.

#### **B. Qualitative Fit Test (QLFT) Protocols**

B. 1. General

- B. 1. (a) The employer shall ensure that persons administering QLFT are able to prepare test solutions, calibrate equipment and perform tests properly, recognize invalid tests, and ensure that test equipment is in proper working order.
- B. 1. (b) The employer shall ensure that QLFT equipment is kept clean and well maintained so as to operate within the parameters for which it was designed.
  - B. 2. Isoamyl Acetate Protocol

Note: This protocol is not appropriate to use for the fit testing of particulate respirators. If used to fit test particulate respirators, the respirator must be equipped with an organic vapor filter.

B. 2. (a) Odor Threshold Screening

Odor threshold screening, performed without wearing a respirator, is intended to determine if the individual tested can detect the odor of isoamyl acetate at low levels.

B. 2. (a)(1) Three 1 liter glass jars with metal lids are required.

- B. 2. (a)(2) Odor-free water (e.g., distilled or spring water) at approximately 25 deg. C (77 deg. F) shall be used for the solutions.
- B. 2. (a)(3) The isoamyl acetate (IAA) (also known at isopentyl acetate) stock solution is prepared by adding 1 ml of pure IAA to 800 ml of odor-free water in a 1 liter jar, closing the lid and shaking for 30 seconds. A new solution shall be prepared at least weekly.
- B. 2. (a)(4) The screening test shall be conducted in a room separate from the room used for actual fit testing. The two rooms shall be well-ventilated to prevent the odor of IAA from becoming evident in the general room air where testing takes place.



- B. 2. (a)(5) The odor test solution is prepared in a second jar by placing 0.4 ml of the stock solution into 500 ml of odor-free water using a clean dropper or pipette. The solution shall be shaken for 30 seconds and allowed to stand for two to three minutes so that the IAA concentration above the liquid may reach equilibrium. This solution shall be used for only one day.
  - B. 2. (a)(6) A test blank shall be prepared in a third jar by adding 500 cc of odor-free water.
- B. 2. (a)(7) The odor test and test blank jar lids shall be labeled (e.g., 1 and 2) for jar identification. Labels shall be placed on the lids so that they can be peeled off periodically and switched to maintain the integrity of the test.
- B. 2. (a)(8) The following instruction shall be typed on a card and placed on the table in front of the two test jars (i.e., 1 and 2): "The purpose of this test is to determine if you can smell banana oil at a low concentration. The two bottles in front of you contain water. One of these bottles also contains a small amount of banana oil. Be sure the covers are on tight, then shake each bottle for two seconds. Unscrew the lid of each bottle, one at a time, and sniff at the mouth of the bottle. Indicate to the test conductor which bottle contains banana oil."
- B. 2. (a)(9) The mixtures used in the IAA odor detection test shall be prepared in an area separate from where the test is performed, in order to prevent olfactory fatigue in the subject.
- B. 2. (a)(10) If the test subject is unable to correctly identify the jar containing the odor test solution, the IAA qualitative fit test shall not be performed.
- B. 2. (a)(11) If the test subject correctly identifies the jar containing the odor test solution, the test subject may proceed to respirator selection and fit testing.
  - B. 2. (b) Isoamyl Acetate Fit Test
- B. 2. (b)(1) The fit test chamber shall be a clear 55-gallon drum liner suspended inverted over a 2-foot diameter frame so that the top of the chamber is about 6 inches above the test subject's head. If no drum liner is available, a similar chamber shall be constructed using plastic sheeting. The inside top center of the chamber shall have a small hook attached.
- B. 2. (b)(2) Each respirator used for the fitting and fit testing shall be equipped with organic vapor cartridges or offer protection against organic vapors.
- B. 2. (b)(3) After selecting, donning, and properly adjusting a respirator, the test subject shall wear it to the fit testing room. This room shall be separate from the room used for odor threshold screening and respirator selection, and shall be well-ventilated, as by an exhaust fan or lab hood, to prevent general room contamination.
- B. 2. (b)(4) A copy of the test exercises and any prepared text from which the subject is to read shall be taped to the inside of the test chamber.
- B. 2. (b)(5) Upon entering the test chamber, the test subject shall be given a 6-inch by 5-inch piece of paper towel, or other porous, absorbent, single-ply material, folded in half and wetted with 0.75 ml of pure IAA. The test subject shall hang the wet towel on the hook at the top of the chamber. An IAA test swab or ampule may be substituted for the IAA wetted paper towel provided it has been demonstrated that the alternative IAA source will generate an IAA test atmosphere with a concentration equivalent to that generated by the paper towel method.
- B. 2. (b)(6) Allow two minutes for the IAA test concentration to stabilize before starting the fit test exercises. This would be an appropriate time to talk with the test subject; to explain the fit test, the importance of his/her cooperation, and the purpose for the test exercises; or to demonstrate some of the exercises.
- B. 2. (b)(7) If at any time during the test, the subject detects the banana-like odor of IAA, the test is failed. The subject shall quickly exit from the test chamber and leave the test area to avoid olfactory fatigue.
- B. 2. (b)(8) If the test is failed, the subject shall return to the selection room and remove the respirator. The test subject shall repeat the odor sensitivity test, select and put on another respirator, return to the test area and again begin the fit test procedure described in (b) (1) through (7) above. The process continues until a respirator that fits well has been found. Should the odor sensitivity test be failed, the subject shall wait at least 5 minutes before retesting. Odor sensitivity will usually have returned by this time.
- B. 2. (b)(9) If the subject passes the test, the efficiency of the test procedure shall be demonstrated by having the subject break the respirator face seal and take a breath before exiting the chamber.
- B. 2. (b)(10) When the test subject leaves the chamber, the subject shall remove the saturated towel and return it to the person conducting the test, so that there is no significant IAA concentration buildup in the chamber during subsequent tests. The used towels shall be kept in a self-sealing plastic bag to keep the test area from being contaminated.
  - **B. 3. Saccharin Solution Aerosol Protocol**

The entire screening and testing procedure shall be explained to the test subject prior to the conduct of

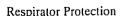
- B. 3. (a) Taste threshold screening. The saccharin taste threshold screening, performed without wearing a respirator, is intended to determine whether the individual being tested can detect the taste of saccharin.
- B. 3. (a)(1) During threshold screening as well as during fit testing, subjects shall wear an enclosure about the head and shoulders that is approximately 12 inches in diameter by 14 inches tall with at least the front

portion clear and that allows free movements of the head when a respirator is worn. An enclosure substantially similar to the 3M hood assembly, parts # FT 14 and # FT 15 combined, is adequate.

- B. 3. (a)(2) The test enclosure shall have a 3/4-inch (1.9 cm) hole in front of the test subject's nose and mouth area to accommodate the nebulizer nozzle.
- B. 3. (a)(3) The test subject shall don the test enclosure. Throughout the threshold screening test, the test subject shall breathe through his/her slightly open mouth with tongue extended. The subject is instructed to report when he/she detects a sweet taste.
- B. 3. (a)(4) Using a DeVilbiss Model 40 Inhalation Medication Nebulizer or equivalent, the test conductor shall spray the threshold check solution into the enclosure. The nozzle is directed away from the nose and mouth of the person. This nebulizer shall be clearly marked to distinguish it from the fit test solution nebulizer.
- B. 3. (a)(5) The threshold check solution is prepared by dissolving 0.83 gram of sodium saccharin USP in 100 ml of warm water. It can be prepared by putting 1 ml of the fit test solution (see (b)(5) below) in 100 ml of distilled water.
- B. 3. (a)(6) To produce the aerosol, the nebulizer bulb is firmly squeezed so that it collapses completely, then released and allowed to fully expand.
- B. 3. (a)(7) Ten squeezes are repeated rapidly and then the test subject is asked whether the saccharin can be tasted. If the test subject reports tasting the sweet taste during the ten squeezes, the screening test is completed. The taste threshold is noted as ten regardless of the number of squeezes actually completed.
- B. 3. (a)(8) If the first response is negative, ten more squeezes are repeated rapidly and the test subject is again asked whether the saccharin is tasted. If the test subject reports tasting the sweet taste during the second ten squeezes, the screening test is completed. The taste threshold is noted as twenty regardless of the number of squeezes actually completed.
- B. 3. (a)(9) If the second response is negative, ten more squeezes are repeated rapidly and the test subject is again asked whether the saccharin is tasted. If the test subject reports tasting the sweet taste during the third set of ten squeezes, the screening test is completed. The taste threshold is noted as thirty regardless of the number of squeezes actually completed.
- B. 3. (a)(10) The test conductor will take note of the number of squeezes required to solicit a taste response.
- B. 3. (a)(11) If the saccharin is not tasted after 30 squeezes (step 10), the test subject is unable to taste saccharin and may not perform the saccharin fit test.

Note to paragraph 3. (a): If the test subject eats or drinks something sweet before the screening test, he/she may be unable to taste the weak saccharin solution.

- B. 3. (a)(12) If a taste response is elicited, the test subject shall be asked to take note of the taste for reference in the fit test.
- B. 3. (a)(13) Correct use of the nebulizer means that approximately 1 ml of liquid is used at a time in the nebulizer body.
- B. 3. (a)(14) The nebulizer shall be thoroughly rinsed in water, shaken dry, and refilled at least each morning and afternoon or at least every four hours.
  - B. 3. (b) Saccharin solution aerosol fit test procedure.
- B. 3. (b)(1) The test subject may not eat, drink (except plain water), smoke, or chew gum for 15 minutes before the test.
  - B. 3. (b)(2) The fit test uses the same enclosure described in 3. (a) above.
- B. 3. (b)(3) The test subject shall don the enclosure while wearing the respirator selected in section I. A. of this appendix. The respirator shall be properly adjusted and equipped with a particulate filter(s).
- B. 3. (b)(4) A second DeVilbiss Model 40 Inhalation Medication Nebulizer or equivalent is used to spray the fit test solution into the enclosure. This nebulizer shall be clearly marked to distinguish it from the screening test solution nebulizer.
- B. 3. (b)(5) The fit test solution is prepared by adding 83 grams of sodium saccharin to 100 ml of warm water.
- B. 3. (b)(6) As before, the test subject shall breathe through the slightly open mouth with tongue extended, and report if he/she tastes the sweet taste of saccharin.
- B. 3. (b)(7) The nebulizer is inserted into the hole in the front of the enclosure and an initial concentration of saccharin fit test solution is sprayed into the enclosure using the same number of squeezes (either 10, 20 or 30 squeezes) based on the number of squeezes required to elicit a taste response as noted during the screening test. A minimum of 10 squeezes is required.
- B. 3. (b)(8) After generating the aerosol, the test subject shall be instructed to perform the exercises in section I. A. 14. of this appendix.
- B. 3. (b)(9) Every 30 seconds the aerosol concentration shall be replenished using one half the original number of squeezes used initially (e.g., 5, 10 or 15).
- B. 3. (b)(10) The test subject shall indicate to the test conductor if at any time during the fit test the taste of saccharin is detected. If the test subject does not report tasting the saccharin, the test is passed.



- B. 3. (b)(11) If the taste of saccharin is detected, the fit is deemed unsatisfactory and the test is failed. A different respirator shall be tried and the entire test procedure is repeated (taste threshold screening and fit
- B. 3. (b)(12) Since the nebulizer has a tendency to clog during use, the test operator must make periodic checks of the nebulizer to ensure that it is not clogged. If clogging is found at the end of the test session, the test is
  - B. 4. BitrexTM (Denatonium Benzoate) Solution Aerosol Qualitative Fit Test Protocol

The BitrexTM (Denatonium benzoate) solution aerosol QLFT protocol uses the published saccharin test protocol because that protocol is widely accepted. Bitrex is routinely used as a taste aversion agent in household liquids which children should not be drinking and is endorsed by the American Medical Association, the National Safety Council, and the American Association of Poison Control Centers. The entire screening and testing procedure shall be explained to the test subject prior to the conduct of the screening test.

B. 4. (a) Taste Threshold Screening.

The Bitrex taste threshold screening, performed without wearing a respirator, is intended to determine whether the individual being tested can detect the taste of Bitrex.

- B. 4. (a)(1) During threshold screening as well as during fit testing, subjects shall wear an enclosure about the head and shoulders that is approximately 12 inches (30.5 cm) in diameter by 14 inches (35.6 cm) tall. The front portion of the enclosure shall be clear from the respirator and allow free movement of the head when a respirator is worn. An enclosure substantially similar to the 3M hood assembly, parts #14 and #15 combined, is adequate.
- B. 4. (a)(2) The test enclosure shall have a \3/4\ inch (1.9 cm) hole in front of the test subject's nose and mouth area to accommodate the nebulizer nozzle.
- B. 4. (a)(3) The test subject shall don the test enclosure. Throughout the threshold screening test, the test subject shall breathe through his or her slightly open mouth with tongue extended. The subject is instructed to report when he/she detects a bitter taste.
- B. 4. (a)(4) Using a DeVilbiss Model 40 Inhalation Medication Nebulizer or equivalent, the test conductor shall spray the Threshold Check Solution into the enclosure. This Nebulizer shall be clearly marked to distinguish it from the fit test solution nebulizer.
- B. 4. (a)(5) The Threshold Check Solution is prepared by adding 13.5 milligrams of Bitrex to 100 ml of 5% salt (NaCl) solution in distilled water.
- B. 4. (a)(6) To produce the aerosol, the nebulizer bulb is firmly squeezed so that the bulb collapses completely, and is then released and allowed to fully expand.
- B. 4. (a)(7) An initial ten squeezes are repeated rapidly and then the test subject is asked whether the Bitrex can be tasted. If the test subject reports tasting the bitter taste during the ten squeezes, the screening test is completed. The taste threshold is noted as ten regardless of the number of squeezes actually completed.
- B. 4. (a)(8) If the first response is negative, ten more squeezes are repeated rapidly and the test subject is again asked whether the Bitrex is tasted. If the test subject reports tasting the bitter taste during the second ten squeezes, the screening test is completed. The taste threshold is noted as twenty regardless of the number of squeezes actually completed.
- B. 4. (a)(9) If the second response is negative, ten more squeezes are repeated rapidly and the test subject is again asked whether the Bitrex is tasted. If the test subject reports tasting the bitter taste during the third set of ten squeezes, the screening test is completed. The taste threshold is noted as thirty regardless of the number of squeezes actually completed.
- B. 4. (a)(10) The test conductor will take note of the number of squeezes required to solicit a taste response.
- B. 4. (a)(11) If the Bitrex is not tasted after 30 squeezes (step 10), the test subject is unable to taste Bitrex and may not perform the Bitrex fit test.
- B. 4. (a)(12) If a taste response is elicited, the test subject shall be asked to take note of the taste for reference in the fit test.
- B. 4. (a)(13) Correct use of the nebulizer means that approximately 1 ml of liquid is used at a time in the nebulizer body.
- B. 4. (a)(14) The nebulizer shall be thoroughly rinsed in water, shaken to dry, and refilled at least each morning and afternoon or at least every four hours.
  - B. 4. (b) Bitrex Solution Aerosol Fit Test Procedure.
- B. 4. (b)(1) The test subject may not eat, drink (except plain water), smoke, or chew gum for 15 minutes before the test.
  - B. 4. (b)(2) The fit test uses the same enclosure as that described in 4. (a) above.
- B. 4. (b)(3) The test subject shall don the enclosure while wearing the respirator selected according to section I. A. of this appendix. The respirator shall be properly adjusted and equipped with any type particulate filter(s).

- B. 4. (b)(4) A second DeVilbiss Model 40 Inhalation Medication Nebulizer or equivalent is used to spray the fit test solution into the enclosure. This nebulizer shall be clearly marked to distinguish it from the screening test solution nebulizer.
- B. 4. (b)(5) The fit test solution is prepared by adding 337.5 mg of Bitrex to 200 ml of a 5% salt (NaCl) solution in warm water.
- B. 4. (b)(6) As before, the test subject shall breathe through his or her slightly open mouth with tongue extended, and be instructed to report if he/she tastes the bitter taste of Bitrex..
- B. 4. (b)(7) The nebulizer is inserted into the hole in the front of the enclosure and an initial concentration of the fit test solution is sprayed into the enclosure using the same number of squeezes (either 10, 20 or 30 squeezes) based on the number of squeezes required to elicit a taste response as noted during the screening test.
- B. 4. (b)(8) After generating the aerosol, the test subject shall be instructed to perform the exercises in section I. A. 14. of this appendix.
- B. 4. (b)(9) Every 30 seconds the aerosol concentration shall be replenished using one half the number of squeezes used initially (e.g., 5, 10 or 15).
- B. 4. (b)(10) The test subject shall indicate to the test conductor if at any time during the fit test the taste of Bitrex is detected. If the test subject does not report tasting the Bitrex, the test is passed.
- B. 4. (b)(11) If the taste of Bitrex is detected, the fit is deemed unsatisfactory and the test is failed. A different respirator shall be tried and the entire test procedure is repeated (taste threshold screening and fit testing).
  - B. 5. Irritant Smoke (Stannic Chloride) Protocol

This qualitative fit test uses a person's response to the irritating chemicals released in the "smoke" produced by a stannic chloride ventilation smoke tube to detect leakage into the respirator.

- B. 5. (a) General Requirements and Precautions
- B. 5. (a)(1) The respirator to be tested shall be equipped with high efficiency particulate air (HEPA) or P100 series filter(s).
  - B. 5. (a)(2) Only stannic chloride smoke tubes shall be used for this protocol.
  - B. 5. (a)(3) No form of test enclosure or hood for the test subject shall be used.
- B. 5. (a)(4) The smoke can be irritating to the eyes, lungs, and nasal passages. The test conductor shall take precautions to minimize the test subject's exposure to irritant smoke. Sensitivity varies, and certain individuals may respond to a greater degree to irritant smoke. Care shall be taken when performing the sensitivity screening checks that determine whether the test subject can detect irritant smoke to use only the minimum amount of smoke necessary to elicit a response from the test subject.
- B. 5. (a)(5) The fit test shall be performed in an area with adequate ventilation to prevent exposure of the person conducting the fit test or the build-up of irritant smoke in the general atmosphere.
  - B. 5. (b) Sensitivity Screening Check

The person to be tested must demonstrate his or her ability to detect a weak concentration of the irritant smoke.

- B. 5. (b)(1) The test operator shall break both ends of a ventilation smoke tube containing stannic chloride, and attach one end of the smoke tube to a low flow air pump set to deliver 200 milliliters per minute, or an aspirator squeeze bulb. The test operator shall cover the other end of the smoke tube with a short piece of tubing to prevent potential injury from the jagged end of the smoke tube.
- B. 5. (b)(2) The test operator shall advise the test subject that the smoke can be irritating to the eyes, lungs, and nasal passages and instruct the subject to keep his/her eyes closed while the test is performed.
- B. 5. (b)(3) The test subject shall be allowed to smell a weak concentration of the irritant smoke before the respirator is donned to become familiar with its irritating properties and to determine if he/she can detect the irritating properties of the smoke. The test operator shall carefully direct a small amount of the irritant smoke in the test subject's direction to determine that he/she can detect it.
  - B. 5. (c) Irritant Smoke Fit Test Procedure
- B. 5. (c)(1) The person being fit tested shall don the respirator without assistance, and perform the required user seal check(s).
  - B. 5. (c)(2) The test subject shall be instructed to keep his/her eyes closed.
- B. 5. (c)(3) The test operator shall direct the stream of irritant smoke from the smoke tube toward the faceseal area of the test subject, using the low flow pump or the squeeze bulb. The test operator shall begin at least 12 inches from the facepiece and move the smoke stream around the whole perimeter of the mask. The operator shall gradually make two more passes around the perimeter of the mask, moving to within six inches of the respirator.
- B. 5. (c)(4) If the person being tested has not had an involuntary response and/or detected the irritant smoke, proceed with the test exercises.
- B. 5. (c)(5) The exercises identified in section I.A. 14. of this appendix shall be performed by the test subject while the respirator seal is being continually challenged by the smoke, directed around the perimeter of the respirator at a distance of six inches.



- B. 5. (c)(6) If the person being fit tested reports detecting the irritant smoke at any time, the test is failed. The person being retested must repeat the entire sensitivity check and fit test procedure.
- B. 5. (c)(7) Each test subject passing the irritant smoke test without evidence of a response (involuntary cough, irritation) shall be given a second sensitivity screening check, with the smoke from the same smoke tube used during the fit test, once the respirator has been removed, to determine whether he/she still reacts to the smoke. Failure to evoke a response shall void the fit test.
  - B. 5. (c)(8) If a response is produced during this second sensitivity check, then the fit test is passed.

#### C. Quantitative Fit Test (QNFT) Protocols

The following quantitative fit testing procedures have been demonstrated to be acceptable:
Quantitative fit testing using a non-hazardous test aerosol (such as corn oil, polyethylene glycol 400 [PEG 400], di-2-ethyl hexyl sebacate [DEHS], or sodium chloride) generated in a test chamber, and employing instrumentation to quantify the fit of the respirator; Quantitative fit testing using ambient aerosol as the test agent and appropriate instrumentation (condensation nuclei counter) to quantify the respirator fit; Quantitative fit testing using controlled negative pressure and appropriate instrumentation to measure the volumetric leak rate of a facepiece to quantify the respirator fit.

- C. 1. General
- C. 1. (a) The employer shall ensure that persons administering QNFT are able to calibrate equipment and perform tests properly, recognize invalid tests, calculate fit factors properly and ensure that test equipment is in proper working order. (b) The employer shall ensure that QNFT equipment is kept clean, and is maintained and calibrated according to the manufacturer's instructions so as to operate at the parameters for which it was designed.
  - C. 2. Generated Aerosol Quantitative Fit Testing Protocol
  - C. 2. (a) Apparatus.
- C. 2. (a)(1) Instrumentation. Aerosol generation, dilution, and measurement systems using particulates (corn oil, polyethylene glycol 400 [PEG 400], di-2-ethyl hexyl sebacate [DEHS] or sodium chloride) as test aerosols shall be used for quantitative fit testing.
- C. 2. (a)(2) Test chamber. The test chamber shall be large enough to permit all test subjects to perform freely all required exercises without disturbing the test agent concentration or the measurement apparatus. The test chamber shall be equipped and constructed so that the test agent is effectively isolated from the ambient air, yet uniform in concentration throughout the chamber.
- C. 2. (a)(3) When testing air-purifying respirators, the normal filter or cartridge element shall be replaced with a high efficiency particulate air (HEPA) or P100 series filter supplied by the same manufacturer.
- C. 2. (a)(4) The sampling instrument shall be selected so that a computer record or strip chart record may be made of the test showing the rise and fall of the test agent concentration with each inspiration and expiration at fit factors of at least 2,000.

Integrators or computers that integrate the amount of test agent penetration leakage into the respirator for each exercise may be used provided a record of the readings is made.

- C. 2. (a)(5) The combination of substitute air-purifying elements, test agent and test agent concentration shall be such that the test subject is not exposed in excess of an established exposure limit for the test agent at any time during the testing process, based upon the length of the exposure and the exposure limit duration.
- C. 2. (a)(6) The sampling port on the test specimen respirator shall be placed and constructed so that no leakage occurs around the port (e.g., where the respirator is probed), a free air flow is allowed into the sampling line at all times, and there is no interference with the fit or performance of the respirator. The in-mask sampling device (probe) shall be designed and used so that the air sample is drawn from the breathing zone of the test subject, midway between the nose and mouth and with the probe extending into the facepiece cavity at least 1/4 inch.
- C. 2. (a)(7) The test setup shall permit the person administering the test to observe the test subject inside the chamber during the test.
- C. 2. (a)(8) The equipment generating the test atmosphere shall maintain the concentration of test agent constant to within a 10 percent variation for the duration of the test.
- C. 2. (a)(9) The time lag (interval between an event and the recording of the event on the strip chart or computer or integrator) shall be kept to a minimum. There shall be a clear association between the occurrence of an event and its being recorded.
- C. 2. (a)(10) The sampling line tubing for the test chamber atmosphere and for the respirator sampling port shall be of equal diameter and of the same material. The length of the two lines shall be equal.
- C. 2. (a)(11) The exhaust flow from the test chamber shall pass through an appropriate filter (i.e., high efficiency particulate) before release.
- C. 2. (a)(12) When sodium chloride aerosol is used, the relative humidity inside the test chamber shall not exceed 50 percent.

- C. 2. (a)(13) The limitations of instrument detection shall be taken into account when determining the fit factor.
- C. 2. (a)(14) Test respirators shall be maintained in proper working order and be inspected regularly for deficiencies such as cracks or missing valves and gaskets.
  - C. 2. (b) Procedural Requirements.
- C. 2. (b)(1) When performing the initial user seal check using a positive or negative pressure check, the sampling line shall be crimped closed in order to avoid air pressure leakage during either of these pressure checks.
- C. 2. (b)(2) The use of an abbreviated screening QLFT test is optional. Such a test may be utilized in order to quickly identify poor fitting respirators that passed the positive and/or negative pressure test and reduce the amount of QNFT time. The use of the CNC QNFT instrument in the count mode is another optional method to obtain a quick estimate of fit and eliminate poor fitting respirators before going on to perform a full QNFT.
- C.  $\hat{2}$ . (b)(3) A reasonably stable test agent concentration shall be measured in the test chamber prior to testing. For canopy or shower curtain types of test units, the determination of the test agent's stability may be established after the test subject has entered the test environment.
- C. 2. (b)(4) Immediately after the subject enters the test chamber, the test agent concentration inside the respirator shall be measured to ensure that the peak penetration does not exceed 5 percent for a half mask or 1 percent for a full facepiece respirator.
  - C. 2. (b)(5) A stable test agent concentration shall be obtained prior to the actual start of testing.
- C. 2. (b)(6) Respirator restraining straps shall not be over-tightened for testing. The straps shall be adjusted by the wearer without assistance from other persons to give a reasonably comfortable fit typical of normal use. The respirator shall not be adjusted once the fit test exercises begin.
- C. 2. (b)(7) The test shall be terminated whenever any single peak penetration exceeds 5 percent for half masks and 1 percent for full facepiece respirators. The test subject shall be refitted and retested.
  - C. 2. (b)(8) Calculation of fit factors.
- C. 2. (b)(8)(i) The fit factor shall be determined for the quantitative fit test by taking the ratio of the average chamber concentration to the concentration measured inside the respirator for each test exercise except the grimace exercise.
- C. 2. (b)(8)(ii) The average test chamber concentration shall be calculated as the arithmetic average of the concentration measured before and after each test (i.e., 7 exercises) or the arithmetic average of the concentration measured before and after each exercise or the true average measured continuously during the respirator sample.
- C. 2.  $(\bar{b})(8)(iii)$  The concentration of the challenge agent inside the respirator shall be determined by one of the following methods:
- C. 2. (b)(8)(iii)(A) Average peak penetration method means the method of determining test agent penetration into the respirator utilizing a strip chart recorder, integrator, or computer. The agent penetration is determined by an average of the peak heights on the graph or by computer integration, for each exercise except the grimace exercise. Integrators or computers that calculate the actual test agent penetration into the respirator for each exercise will also be considered to meet the requirements of the average peak penetration method.
- C. 2. (b)(8)(iii)(B) Maximum peak penetration method means the method of determining test agent penetration in the respirator as determined by strip chart recordings of the test. The highest peak penetration for a given exercise is taken to be representative of average penetration into the respirator for that exercise.
- C. 2. (b)(8)(iii)(C) Integration by calculation of the area under the individual peak for each exercise except the grimace exercise. This includes computerized integration.
- C. 2. (b)(8)(iii)(D) The calculation of the overall fit factor using individual exercise fit factors involves first converting the exercise fit factors to penetration values, determining the average, and then converting that result back to a fit factor. This procedure is described in the following equation:

#### [GRAPHIC OMITTED]

Where ff1, ff2, ff3, etc. are the fit factors for exercises 1, 2, 3, etc.

- C. 2. (b)(9) The test subject shall not be permitted to wear a half mask or quarter facepiece respirator unless a minimum fit factor of 100 is obtained, or a full facepiece respirator unless a minimum fit factor of 500 is obtained.
- C. 2. (b)(10) Filters used for quantitative fit testing shall be replaced whenever increased breathing resistance is encountered, or when the test agent has altered the integrity of the filter media.
  - C. 3. Ambient aerosol condensation nuclei counter (CNC) quantitative fit testing protocol.

The ambient aerosol condensation nuclei counter (CNC) quantitative fit testing (Portacount TM) protocol quantitatively fit tests respirators with the use of a probe. The probed respirator is only used for quantitative fit tests. A probed respirator has a special sampling device, installed on the respirator, that allows the probe to sample the air from inside the mask. A probed respirator is required for each make, style, model, and size that the employer uses and can be obtained from the respirator manufacturer or distributor. The CNC instrument manufacturer, TSI Inc., also provides probe attachments (TSI sampling adapters) that permit fit testing in an employee's own respirator. A minimum fit factor pass level of at least 100 is necessary for a half-



mask respirator and a minimum fit factor pass level of at least 500 is required for a full facepiece negative pressure respirator. The entire screening and testing procedure shall be explained to the test subject prior to the conduct of the screening test.

- C. 3. (a) Portacount Fit Test Requirements.
- C. 3. (a)(1) Check the respirator to make sure the sampling probe and line are properly attached to the facepiece and that the respirator is fitted with a particulate filter capable of preventing significant penetration by the ambient particles used for the fit test (e.g., NIOSH 42 CFR 84 series 100, series 99, or series 95 particulate filter) per manufacturer's instruction.
- C. 3. (a)(2) Instruct the person to be tested to don the respirator for five minutes before the fit test starts. This purges the ambient particles trapped inside the respirator and permits the wearer to make certain the respirator is comfortable. This individual shall already have been trained on how to wear the respirator properly.
- C. 3. (a)(3) Check the following conditions for the adequacy of the respirator fit: Chin properly placed; Adequate strap tension, not overly tightened; Fit across nose bridge; Respirator of proper size to span distance from nose to chin; Tendency of the respirator to slip; Self-observation in a mirror to evaluate fit and respirator position.
- C. 3. (a)(4) Have the person wearing the respirator do a user seal check. If leakage is detected, determine the cause. If leakage is from a poorly fitting facepiece, try another size of the same model respirator, or another model of respirator.
- C. 3. (a)(5) Follow the manufacturer's instructions for operating the Portacount and proceed with the test.
- $C.\,3.\,(a)(6)$  The test subject shall be instructed to perform the exercises in section I. A. 14. of this appendix.
- C. 3. (a)(7) After the test exercises, the test subject shall be questioned by the test conductor regarding the comfort of the respirator upon completion of the protocol. If it has become unacceptable, another model of respirator shall be tried.
  - C. 3. (b) Portacount Test Instrument.
- C. 3. (b)(1) The Portacount will automatically stop and calculate the overall fit factor for the entire set of exercises. The overall fit factor is what counts. The Pass or Fail message will indicate whether or not the test was successful. If the test was a Pass, the fit test is over.
- $C.\,3.\,(b)(2)$  Since the pass or fail criterion of the Portacount is user programmable, the test operator shall ensure that the pass or fail criterion meet the requirements for minimum respirator performance in this Appendix.
- C. 3. (b)(3) A record of the test needs to be kept on file, assuming the fit test was successful. The record must contain the test subject's name; overall fit factor; make, model, style, and size of respirator used; and date tested.
  - C. 4. Controlled negative pressure (CNP) quantitative fit testing protocol.

The CNP protocol provides an alternative to aerosol fit test methods. The CNP fit test method technology is based on exhausting air from a temporarily sealed respirator facepiece to generate and then maintain a constant negative pressure inside the facepiece. The rate of air exhaust is controlled so that a constant negative pressure is maintained in the respirator during the fit test. The level of pressure is selected to replicate the mean inspiratory pressure that causes leakage into the respirator under normal use conditions. With pressure held constant, air flow out of the respirator is equal to air flow into the respirator. Therefore, measurement of the exhaust stream that is required to hold the pressure in the temporarily sealed respirator constant yields a direct measure of leakage air flow into the respirator. The CNP fit test method measures leak rates through the facepiece as a method for determining the facepiece fit for negative pressure respirators. The CNP instrument manufacturer Dynatech Nevada also provides attachments (sampling manifolds) that replace the filter cartridges to permit fit testing in an employee's own respirator. To perform the test, the test subject closes his or her mouth and holds his/her breath, after which an air pump removes air from the respirator facepiece at a pre-selected constant pressure. The facepiece fit is expressed as the leak rate through the facepiece, expressed as milliliters per minute. The quality and validity of the CNP fit tests are determined by the degree to which the in-mask pressure tracks the test pressure during the system measurement time of approximately five seconds. Instantaneous feedback in the form of a real-time pressure trace of the in-mask pressure is provided and used to determine test validity and quality. A minimum fit factor pass level of 100 is necessary for a halfmask respirator and a minimum fit factor of at least 500 is required for a full facepiece respirator. The entire screening and testing procedure shall be explained to the test subject prior to the conduct of the screening test.

- C. 4. (a) CNP Fit Test Requirements.
- C. 4. (a)(1) The instrument shall have a non-adjustable test pressure of 15.0 mm water pressure.
- C. 4. (a)(2) The CNP system defaults selected for test pressure shall be set at--15 mm of water (-0.58 inches of water) and the modeled inspiratory flow rate shall be 53.8 liters per minute for performing fit tests.

(Note: CNP systems have built-in capability to conduct fit testing that is specific to unique work rate, mask, and gender situations that might apply in a specific workplace. Use of system default values, which were

selected to represent respirator wear with medium cartridge resistance at a low-moderate work rate, will allow inter-test comparison of the respirator fit.)

C. 4. (a)(3) The individual who conducts the CNP fit testing shall be thoroughly trained to perform the test.

C. 4. (a)(4) The respirator filter or cartridge needs to be replaced with the CNP test manifold. The inhalation valve downstream from the manifold either needs to be temporarily removed or propped open.

C. 4. (a)(5) The test subject shall be trained to hold his or her breath for at least 20 seconds.

C. 4. (a)(6) The test subject shall don the test respirator without any assistance from the individual who conducts the CNP fit test.

C. 4. (a)(7) The QNFT protocol shall be followed according to section I. C. 1. of this appendix with an exception for the CNP test exercises.

C. 4. (b) CNP Test Exercises.

C. 4. (b)(1) Normal breathing. In a normal standing position, without talking, the subject shall breathe normally for 1 minute. After the normal breathing exercise, the subject needs to hold head straight ahead and hold his or her breath for 10 seconds during the test measurement.

C. 4. (b)(2) Deep breathing. In a normal standing position, the subject shall breathe slowly and deeply for 1 minute, being careful not to hyperventilate. After the deep breathing exercise, the subject shall hold his or her head straight ahead and hold his or her breath for 10 second s during test measurement.

C. 4. (b)(3) Turning head side to side. Standing in place, the subject shall slowly turn his or her head from side to side between the extreme positions on each side for 1 minute. The head shall be held at each extreme momentarily so the subject can inhale at each side. After the turning head side to side exercise, the subject needs to hold head full left and hold his or her breath for 10 seconds during test measurement. Next, the subject needs to hold head full right and hold his or her breath for 10 seconds during test measurement.

C. 4. (b)(4) Moving head up and down. Standing in place, the subject shall slowly move his or her head up and down for 1 minute. The subject shall be instructed to inhale in the up position (i.e., when looking toward the ceiling). After the moving head up and down exercise, the subject shall hold his or her head full up and hold his or her breath for 10 seconds during test measurement. Next, the subject shall hold his or her head full down and hold his or her breath for 10 seconds during test measurement.

C. 4. (b)(5) Talking. The subject shall talk out loud slowly and loud enough so as to be heard clearly by the test conductor. The subject can read from a prepared text such as the Rainbow Passage, count backward from 100, or recite a memorized poem or song for 1 minute. After the talking exercise, the subject shall hold his or her head straight ahead and hold his or her breath for 10 seconds during the test measurement.

C. 4. (b)(6) Grimace. The test subject shall grimace by smiling or frowning for 15 seconds.

C. 4. (b)(7) Bending Over. The test subject shall bend at the waist as if he or she were to touch his or her toes for 1 minute. Jogging in place shall be substituted for this exercise in those test environments such as shroud-type QNFT units that prohibit bending at the waist. After the bending over exercise, the subject shall hold his or her head straight ahead and hold his or her breath for 10 seconds during the test measurement.

C. 4. (b)(8) Normal Breathing. The test subject shall remove and re-don the respirator within a one-minute period. Then, in a normal standing position, without talking, the subject shall breathe normally for 1 minute. After the normal breathing exercise, the subject shall hold his or her head straight ahead and hold his or her breath for 10 seconds during the test measurement. After the test exercises, the test subject shall be questioned by the test conductor regarding the comfort of the respirator upon completion of the protocol. If it has become unacceptable, another model of a respirator shall be tried.

C. 4. (c) CNP Test Instrument.

C. 4. (c)(1) The test instrument shall have an effective audio warning device when the test subject fails to hold his or her breath during the test. The test shall be terminated whenever the test subject failed to hold his or her breath. The test subject may be refitted and retested.

C. 4. (c)(2) A record of the test shall be kept on file, assuming the fit test was successful. The record must contain the test subject's name; overall fit factor; make, model, style and size of respirator used; and date tested.

Part II. New Fit Test Protocols

C. 4. (c)(2) A. Any person may submit to OSHA an application for approval of a new fit test protocol. If the application meets the following criteria, OSHA will initiate a rulemaking proceeding under section 6(b)(7) of the OSH Act to determine whether to listthe new protocol as an approved protocol in this Appendix A.

C. 4. (c)(2) B. The application must include a detailed description of the proposed new fit test protocol. This application must be supported by either:

C. 4. (c)(2) B. 1. A test report prepared by an independent government research laboratory (e.g., Lawrence Livermore National Laboratory, Los Alamos National Laboratory, the National Institute for Standards and Technology) stating that the laboratory has tested the protocol and had found it to be accurate and reliable; or

C.~4.~(c)(2)~B.~2. An article that has been published in a peer-reviewed industrial hygiene journal describing the protocol and explaining how test data support the protocol's accuracy and reliability.



C. 4. (c)(2) C. If OSHA determines that additional information is required before the Agency commences a rulemaking proceeding under this section, OSHA will so notify the applicant and afford the applicant the opportunity to submit the supplemental information. Initiation of a rulemaking proceeding will be deferred until OSHA has received and evaluated the supplemental information.

#### Appendix B-1 to Sec. 1910.134: User Seal Check Procedures (Mandatory)

The individual who uses a tight-fitting respirator is to perform a user seal check to ensure that an adequate seal is achieved each time the respirator is put on. Either the positive and negative pressure checks listed in this appendix, or the respirator manufacturer's recommended user seal check method shall be used. User seal checks are not substitutes for qualitative or quantitative fit tests.

- B-1 I. Facepiece Positive and/or Negative Pressure Checks
- B-1 I. A. Positive pressure check. Close off the exhalation valve and exhale gently into the facepiece. The face fit is considered satisfactory if a slight positive pressure can be built up inside the facepiece without any evidence of outward leakage of air at the seal. For most respirators this method of leak testing requires the wearer to first remove the exhalation valve cover before closing off the exhalation valve and then carefully replacing it after the test.
- B-1 I. B. Negative pressure check. Close off the inlet opening of the canister or cartridge(s) by covering with the palm of the hand(s) or by replacing the filter seal(s), inhale gently so that the facepiece collapses slightly, and hold the breath for ten seconds. The design of the inlet opening of some cartridges cannot be effectively covered with the palm of the hand. The test can be performed by covering the inlet opening of the cartridge with a thin latex or nitrile glove. If the facepiece remains in its slightly collapsed condition and no inward leakage of air is detected, the tightness of the respirator is considered satisfactory.
  - B-1 II. Manufacturer's Recommended User Seal Check Procedures

The respirator manufacturer's recommended procedures for performing a user seal check may be used instead of the positive and/or negative pressure check procedures provided that the employer demonstrates that the manufacturer's procedures are equally effective.

#### Appendix B-2 to Sec. 1910.134: Respirator Cleaning Procedures (Mandatory)

These procedures are provided for employer use when cleaning respirators. They are general in nature, and the employer as an alternative may use the cleaning recommendations provided by the manufacturer of the respirators used by their employees, provided such procedures are as effective as those listed here in Appendix B-2. Equivalent effectiveness simply means that the procedures used must accomplish the objectives set forth in Appendix B-2, i.e., must ensure that the respirator is properly cleaned and disinfected in a manner that prevents damage to the respirator and does not cause harm to the user.

- **B-2 I. Procedures for Cleaning Respirators**
- B-2 I. A. Remove filters, cartridges, or canisters. Disassemble facepieces by removing speaking diaphragms, demand and pressure-demand valve assemblies, hoses, or any components recommended by the manufacturer. Discard or repair any defective parts.
- B-2 I. B. Wash components in warm (43 deg. C [110 deg. F] maximum) water with a mild detergent or with a cleaner recommended by the manufacturer. A stiff bristle (not wire) brush may be used to facilitate the removal of dirt.
- B-2 I. C. Rinse components thoroughly in clean, warm (43 deg. C [110 deg. F] maximum), preferably running water. Drain.
- B-2 I. D. When the cleaner used does not contain a disinfecting agent, respirator components should be immersed for two minutes in one of the following:
- B-2 I. D. 1. Hypochlorite solution (50 ppm of chlorine) made by adding approximately one milliliter of laundry bleach to one liter of water at 43 deg. C (110 deg. F); or,
- B-2 I. D. 2. Aqueous solution of iodine (50 ppm iodine) made by adding approximately 0.8 milliliters of tincture of iodine (6-8 grams ammonium and/or potassium iodide/100 cc of 45% alcohol) to one liter of water at 43 deg. C (110 deg. F); or.
- B-2 I. D. 3. Other commercially available cleansers of equivalent disinfectant quality when used as directed, if their use is recommended or approved by the respirator manufacturer.
- B-2 I. E. Rinse components thoroughly in clean, warm (43 deg. C [110 deg. F] maximum), preferably running water. Drain. The importance of thorough rinsing cannot be overemphasized. Detergents or disinfectants that dry on facepieces may result in dermatitis. In addition, some disinfectants may cause deterioration of rubber or corrosion of metal parts if not completely removed.
  - B-2 I. F. Components should be hand-dried with a clean lint-free cloth or air-dried.

- B-2 I. G. Reassemble facepiece, replacing filters, cartridges, and canisters where necessary. B-2 I. H. Test the respirator to ensure that all components work properly.

# Appendix C to Sec. 1910.134: OSHA Respirator Medical Evaluation Questionnaire (Mandatory)

To the employer: Answers to questions in Section 1, and to question 9 in Section 2 of Part A, do not require a medical examination.

To the employee:

Can you read (circle one): Yes/No

Your employer must allow you to answer this questionnaire during normal working hours, or at a time and place that is convenient to you. To maintain your confidentiality, your employer or supervisor must not look at or review your answers, and your employer must tell you how to deliver or send this questionnaire to the health care professional who will review it.

Part A. Section 1. (Mandatory) The following information must be provided by every employee who has been selected to use any type of respirator (please print).

1. Today's date:
2. Your name:
3. Your age (to nearest year):
4. Sex (circle one): Male/Female
5. Your height: ft in.
6. Your weight: lbs.
7. Your job title:
8. A phone number where you can be reached by the health care professional who reviews this questionnaire (include the Area Code):
9. The best time to phone you at this number:
10. Has your employer told you how to contact the health care professional who will review this questionnaire (circle one): Yes/No
11. Check the type of respirator you will use (you can check more than one category):  aN, R, or P disposable respirator (filter-mask, non-cartridge type only).  bOther type (for example, half- or full-facepiece type, powered-air purifying, supplied-air, self-contained breathing apparatus).
12. Have you worn a respirator (circle one): Yes/No
If "yes," what type(s):

Part A. Section 2. (Mandatory) Questions 1 through 9 below must be answered by every employee who has been selected to use any type of respirator (please circle "yes" or "no").

- 1. Do you currently smoke tobacco, or have you smoked tobacco in the last month: Yes/No
  - 2. Have you ever had any of the following conditions?
    - a. Seizures (fits): Yes/No
    - b. Diabetes (sugar disease): Yes/No
    - c. Allergic reactions that interfere with your breathing: Yes/No
    - d. Claustrophobia (fear of closed-in places): Yes/No
    - e. Trouble smelling odors: Yes/No

- 3. Have you ever had any of the following pulmonary or lung problems?
  - a. Asbestosis: Yes/No b. Asthma: Yes/No
  - c. Chronic bronchitis: Yes/No
  - d. Emphysema: Yes/No
  - e. Pneumonia: Yes/No f. Tuberculosis: Yes/No
  - g. Silicosis: Yes/No
  - h. Pneumothorax (collapsed lung): Yes/No
  - i. Lung cancer: Yes/No j. Broken ribs: Yes/No
  - k. Any chest injuries or surgeries: Yes/No
  - 1. Any other lung problem that you've been told about: Yes/No
- 4. Do you currently have any of the following symptoms of pulmonary or lung illness?
  - a. Shortness of breath: Yes/No
- b. Shortness of breath when walking fast on level ground or walking up a slight hill or incline: Yes/No
- c. Shortness of breath when walking with other people at an ordinary pace on level ground: Yes/No
  - d. Have to stop for breath when walking at your own pace on level ground: Yes/No
  - e. Shortness of breath when washing or dressing yourself: Yes/No
  - f. Shortness of breath that interferes with your job: Yes/No
  - g. Coughing that produces phlegm (thick sputum): Yes/No
  - h. Coughing that wakes you early in the morning: Yes/No
  - i. Coughing that occurs mostly when you are lying down: Yes/No
  - j. Coughing up blood in the last month: Yes/No
  - k. Wheezing: Yes/No
  - I. Wheezing that interferes with your job: Yes/No
  - m. Chest pain when you breathe deeply: Yes/No
  - n. Any other symptoms that you think may be related to lung problems: Yes/No
  - 5. Have you ever had any of the following cardiovascular or heart problems?
    - a. Heart attack: Yes/No
    - b. Stroke: Yes/No
    - c. Angina: Yes/No
    - d. Heart failure: Yes/No
    - e. Swelling in your legs or feet (not caused by walking): Yes/No
    - f. Heart arrhythmia (heart beating irregularly): Yes/No
    - g. High blood pressure: Yes/No
    - h. Any other heart problem that you've been told about: Yes/No
  - 6. Have you ever had any of the following cardiovascular or heart symptoms?
    - a. Frequent pain or tightness in your chest: Yes/No
    - b. Pain or tightness in your chest during physical activity: Yes/No
    - c. Pain or tightness in your chest that interferes with your job: Yes/No
- d. In the past two years, have you noticed your heart skipping or missing a beat: Yes/No
  - e. Heartburn or indigestion that is not related to eating: Yes/No
- f. Any other symptoms that you think may be related to heart or circulation problems: Yes/No
  - 7. Do you currently take medication for any of the following problems?
    - a. Breathing or lung problems: Yes/No
    - b. Heart trouble: Yes/No
    - c. Blood pressure: Yes/No
    - d. Seizures (fits): Yes/No
- 8. If you've used a respirator, have you ever had any of the following problems? (If you've never used a respirator, check the following space and go to question 9:)
  - a. Eye irritation: Yes/No
  - b. Skin allergies or rashes: Yes/No
  - c. Anxiety: Yes/No
  - d. General weakness or fatigue: Yes/No
  - e. Any other problem that interferes with your use of a respirator: Yes/No
- 9. Would you like to talk to the health care professional who will review this questionnaire about your answers to this questionnaire: Yes/No

Questions 10 to 15 below must be answered by every employee who has been selected to use either a fullfacepiece respirator or a self-contained breathing apparatus (SCBA). For employees who have been selected to use other types of respirators, answering these questions is voluntary.

	10. Have you ever lost vision in either eye (temporarily or permanently): Yes/No 11. Do you currently have any of the following vision problems? a. Wear contact lenses: Yes/No b. Wear glasses: Yes/No c. Color blind: Yes/No
	d. Any other eye or vision problem: Yes/No
	12. Have you ever had an injury to your ears, including a broken ear drum: Yes/No 13. Do you currently have any of the following hearing problems? a. Difficulty hearing: Yes/No
	b. Wear a hearing aid: Yes/No
	c. Any other hearing or ear problem: Yes/No
	14. Have you ever had a back injury: Yes/No
J	15. Do you currently have any of the following musculoskeletal problems?  a. Weakness in any of your arms, hands, legs, or feet: Yes/No  b. Back pain: Yes/No
	c. Difficulty fully moving your arms and legs: Yes/No
	d. Pain or stiffness when you lean forward or backward at the waist: Yes/No
	e. Difficulty fully moving your head up or down: Yes/No
	f. Difficulty fully moving your head side to side: Yes/No
	g. Difficulty bending at your knees: Yes/No
	h. Difficulty squatting to the ground: Yes/No
	i. Climbing a flight of stairs or a ladder carrying more than 25 lbs: Yes/No
	j. Any other muscle or skeletal problem that interferes with using a respirator: Yes/No
at the disa 1	Part B Any of the following questions, and other questions not listed, may be added to the questionnaire cretion of the health care professional who will review the questionnaire.  In your present job, are you working at high altitudes (over 5,000 feet) or in a place that has lower
	nal amounts of oxygen: Yes/No  If "yes,'' do you have feelings of dizziness, shortness of breath, pounding in your chest, or other s when you're working under these conditions: Yes/No
2	. At work or at home, have you ever been exposed to hazardous solvents, hazardous airborne chemicals
e.g., gase	s, tumes, or dust), or have you come into skin contact with hazardous chemicals: Yes/No If "yes,'' name the chemicals if you know them:
de de	
3.	. Have you ever worked with any of the materials, or under any of the conditions, listed below: a. Asbestos: Yes/No b. Silica (e.g., in sandblasting): Yes/No
	c. Tungsten/cobalt (e.g., grinding or welding this material): Yes/No
	d. Beryllium: Yes/No
	e. Aluminum: Yes/No
	f. Coal (for example, mining): Yes/No
	g. Iron: Yes/No
	h. Tin: Yes/No
	i. Dusty environments: Yes/No
	j. Any other hazardous exposures: Yes/No
_	
	If "yes," describe these exposures:
4.	List any second jobs or side businesses you have:
5.	List your previous occupations:
6	List your current and provious habbies

7. Have you been in the military services? Yes/No

If "yes," were you exposed				in Wandkin
It voc 'wore ven evnece	1 IN DININGIPOI NY PH	emical adebić teliner i	n realmano de caminem	I: T#5//NI
II YES. WEIE YOU EXDUSE	i to bioiogical or cir	cinical agents (chinci i	EX LEGISLITIE OF CONTRACTOR	

8. Have you ever worked on a HAZMAT team? Yes/No

9. Other than medications for breathing and lung problems, heart trouble, blood pressure, and seizures mentioned earlier in this questionnaire, are you taking any other medications for any reason (including over-thecounter medications): Yes/No

If "yes," name the medications if you know them:-----

10.	Will you	be	using any	of th	e following	items v	with	your respirator(s)?
-----	----------	----	-----------	-------	-------------	---------	------	---------------------

- a. HEPA Filters: Yes/No
- b. Canisters (for example, gas masks): Yes/No
- c. Cartridges: Yes/No
- 11. How often are you expected to use the respirator(s) (circle "yes" or "no" for all answers that apply to you)?:
  - a. Escape only (no rescue): Yes/No
  - b. Emergency rescue only: Yes/No
  - c. Less than 5 hours per week: Yes/No
  - d. Less than 2 hours per day: Yes/No
  - e. 2 to 4 hours per day: Yes/No
  - f. Over 4 hours per day: Yes/No
  - 12. During the period you are using the respirator(s), is your work effort:
    - a. Light (less than 200 kcal per hour): Yes/No

If "yes," l	iow long does this	period last during t	he average
shift:	hrs	mins.	

Examples of a light work effort are sitting while writing, typing, drafting, or performing light assembly work; or standing while operating a drill press (1-3 lbs.) or controlling machines.

b. Moderate (200 to 350 kcal per hour): Yes/No

If "yes,"	how long does this	period last during	the average
shift:	hrs.	mins.	

Examples of moderate work effort are sitting while nailing or filing; driving a truck or bus in urban traffic; standing while drilling, nailing, performing assembly work, or transferring a moderate load (about 35 lbs.) at trunk level; walking on a level surface about 2 mph or down a 5-degree grade about 3 mph; or pushing a wheelbarrow with a heavy load (about 100 lbs.) on a level surface.

c. Heavy (above 350 kcal per hour): Yes/No

If "yes,"	how long does this	period last during	the average
shift:	hrs.	mins.	

Examples of heavy work are lifting a heavy load (about 50 lbs.) from the floor to your waist or shoulder; working on a loading dock; shoveling; standing while bricklaying or chipping castings; walking up an 8-degree grade about 2 mph; climbing stairs with a heavy load (about 50 lbs.).

13. Will you be wearing protective clothing and/or equipment (other than the respirator) when you're using your respirator: Yes/No

If "yes," describe this protective clothing and/or equipment: 

- 14. Will you be working under hot conditions (temperature exceeding 77 deg. F): Yes/No
- 15. Will you be working under humid conditions: Yes/No
- 16. Describe the work you'll be doing while you're using your respirator(s):

17. Describe any special or hazardous conditions you might encounter when you're using your respirator(s) (for example, confined spaces, life-threatening gases):

18. Provide the following information, if you know it, for each toxic substance that you'll be exposed to when you're using your respirator(s):

	The Asbestos Institute	Phoenix AZ	602-864-6564			
	Name of the first toxic substance:					
	Estimated maximum exposure level	per shift:				
	Duration of exposure per shift	****				
	Name of the second toxic substance:		********************************			
	Estimated maximum exposure level	per shift:				
	Duration of exposure per shift:					
	Name of the third toxic substance:	***************************************				
	Estimated maximum exposure level	per shift:				
	Duration of exposure per shift:	***************************************				
The nam	e of any other toxic substances that y	ou'll be exposed to whi	ile using your respirator:			
10 D	***************************************					
well-bein	The any special responsibilities you'll ng of others (for example, rescue, secu	have while using your rity):	respirator(s) that may affect the safety and			

Appendix D to Sec. 1910.134 (Mandatory) Information for Employees Using Respirators When Not Required Under the Standard

Respirators are an effective method of protection against designated hazards when properly selected and worn. Respirator use is encouraged, even when exposures are below the exposure limit, to provide an additional level of comfort and protection for workers. However, if a respirator is used improperly or not kept clean, the respirator itself can become a hazard to the worker. Sometimes, workers may wear respirators to avoid exposures to hazards, even if the amount of hazardous substance does not exceed the limits set by OSHA standards. If your employer provides respirators for your voluntary use, of if you provide your own respirator, you need to take certain precautions to be sure that the respirator itself does not present a hazard.

You should do the following:

- 1. Read and heed all instructions provided by the manufacturer on use, maintenance, cleaning and care, and warnings regarding the respirators limitations.
- 2. Choose respirators certified for use to protect against the contaminant of concern. NIOSH, the National Institute for Occupational Safety and Health of the U.S. Department of Health and Human Services, certifies respirators. A label or statement of certification should appear on the respirator or respirator packaging. It will tell you what the respirator is designed for and how much it will protect you.
- 3. Do not wear your respirator into atmospheres containing contaminants for which your respirator is not designed to protect against. For example, a respirator designed to filter dust particles will not protect you against gases, vapors, or very small solid particles of fumes or smoke.
  - 4. Keep track of your respirator so that you do not mistakenly use someone else's respirator.









# **EPA NESHAP 40 CFR 61**

.141 Defi	finitions	p. 2
.145 Star	ndard for demolition and rer	novation p. 4
.150 Star	ndard for waste disposal for	manufacturing,
fabricati	ing, demolition, renovation, a	and spraying
operatio	ons	p. 10
.149 Sta	ndard waste disposal for asb	estos mills. p. 10
.151 Sta	indard for inactive waste disp	osal sites for
	s mills and manufacturing an	
operatio	ons	p. 12

### 40 CFR 61.141 Definitions.

All terms that are used in this subpart and are not defined below are given the same meaning as in the Act and in subpart A of this part.

Active waste disposal site means any disposal site other than an inactive site.

Adequately wet means sufficiently mix or penetrate with liquid to prevent the release of particulates. If visible emissions are observed coming from asbestos-containing material, then that material has not been adequately wetted. However, the absence of visible emissions is not sufficient evidence of being adequately wet.

\* Asbestos means the asbestiform varieties of serpentinite (chrysotile), riebeckite (crocidolite), cummingtonite-grunerite, anthophyllite, and actinolite-tremolite.

Asbestos-containing waste materials means mill tailings or any waste that contains commercial asbestos and is generated by a source subject to the provisions of this subpart. This term includes filters from control devices, friable asbestos waste material, and bags or other similar packaging contaminated with commercial asbestos. As applied to demolition and renovation operations, this term also includes regulated asbestos-containing material waste and materials contaminated with asbestos including disposable equipment and clothing.

Asbestos mill means any facility engaged in converting, or in any intermediate step in converting, asbestos ore into commercial asbestos. Outside storage of asbestos material is not considered a part of the asbestos mill.

Asbestos tailings means any solid waste that contains asbestos and is a product of asbestos mining or milling operations.

Asbestos waste from control devices means any waste material that contains asbestos and is collected by a pollution control device.

Category I nonfriable asbestos-containing material (ACM) means asbestos-containing packings, gaskets, resilient floor covering, and asphalt roofing products containing more than 1 percent asbestos as determined using the method specified in appendix E, subpart E, 40 CFR part 763, section 1, Polarized Light Microscopy.

Category II nonfriable ACM means any material, excluding Category I nonfriable ACM, containing more than 1 percent asbestos as determined using the methods specified in appendix E, subpart E, 40 CFR part 763, section 1, Polarized Light Microscopy that, when dry, cannot be crumbled, pulverized, or reduced to powder by hand pressure.

Commercial asbestos means any material containing asbestos that is extracted from ore and has value because of its asbestos content.

Cutting means to penetrate with a sharp-edged instrument and includes sawing, but does not include shearing, slicing, or punching.

Demolition means the wrecking or taking out of any load-supporting structural member of a facility together with any related handling operations or the intentional burning of any facility.

Emergency renovation operation means a renovation operation that was not planned but results from a sudden, unexpected event that, if not immediately attended to, presents a safety or public health hazard, is necessary to protect equipment from damage, or is necessary to avoid imposing an unreasonable financial burden. This term includes operations necessitated by nonroutine failures of equipment.

Fabricating means any processing (e.g., cutting, sawing, drilling) of a manufactured product that contains commercial asbestos, with the exception of processing at temporary sites (field fabricating) for the construction or restoration of facilities. In the case of friction products, fabricating includes bonding, debonding, grinding, sawing, drilling, or other similar operations performed as part of fabricating.

Facility means any institutional, commercial, public, industrial, or residential structure, installation, or building (including any structure, installation, or building containing condominiums or individual dwelling units operated as a residential cooperative, but excluding residential buildings having four or fewer dwelling units); any ship; and any active or inactive waste disposal site. For purposes of this definition, any building, structure, or installation that contains a loft used as a dwelling is not considered a residential structure, installation, or building. Any structure, installation or building that was previously subject to this subpart is not excluded, regardless of its current use or function.

.141 Facility component means any part of a facility including equipment.

Friable asbestos material means any material containing more than 1 percent asbestos as determined using the method specified in appendix E, subpart E, 40 CFR part 763, section 1, Polarized Light Microscopy, that, when dry, can be crumbled, pulverized, or reduced to powder by hand pressure. If the asbestos content is less than 10 percent as determined by a method other than point counting by polarized light microscopy (PLM), verify the asbestos content by point counting using PLM.

Fugitive source means any source of emissions not controlled by an air pollution control device.

Glove bag means a sealed compartment with attached inner gloves used for the handling of asbestos-containing materials. Properly installed and used, glove bags provide a small work area enclosure typically used for small-scale asbestos stripping operations. Information on glove-bag installation, equipment and supplies, and work practices is contained in the Occupational Safety and Health Administration's (OSHA's) final rule on occupational exposure to asbestos (appendix G to 29 CFR 1926.58).

Grinding means to reduce to powder or small fragments and includes mechanical chipping or drilling.

In poor condition means the binding of the material is losing its integrity as indicated by peeling, cracking, or crumbling of the material.

Inactive waste disposal site means any disposal site or portion of it where additional asbestos-containing waste material has not been deposited within the past year.

Installation means any building or structure or any group of buildings or structures at a single demolition or renovation site that are under the control of the same owner or operator (or owner or operator under common control).

Leak-tight means that solids or liquids cannot escape or spill out. It also means dust-tight.

Malfunction means any sudden and unavoidable failure of air pollution control equipment or process equipment or of a process to operate in a normal or usual manner so that emissions of asbestos are increased. Failures of equipment shall not be considered malfunctions if they are caused in any way by poor maintenance, careless operation, or any other preventable upset conditions, equipment breakdown, or process failure.

Manufacturing means the combining of commercial asbestos—or, in the case of woven friction products, the combining of textiles containing commercial asbestos—with any other material(s), including commercial asbestos, and the processing of this combination into a product. Chlorine production is considered a part of manufacturing.

Natural barrier means a natural object that effectively precludes or deters access. Natural barriers include physical obstacles such as cliffs, lakes or other large bodies of water, deep and wide ravines, and mountains. Remoteness by itself is not a natural barrier.

Nonfriable asbestos-containing material means any material containing more than 1 percent asbestos as determined using the method specified in appendix E, subpart E, 40 CFR part 763, section 1, Polarized Light Microscopy, that, when dry, cannot be crumbled, pulverized, or reduced to powder by hand pressure.

Nonscheduled renovation operation means a renovation operation necessitated by the routine failure of equipment, which is expected to occur within a given period based on past operating experience, but for which an exact date cannot be predicted.

Outside air means the air outside buildings and structures, including, but not limited to, the air under a bridge or in an open air ferry dock.

Owner or operator of a demolition or renovation activity means any person who owns, leases, operates, controls, or supervises the facility being demolished or renovated or any person who owns, leases, operates, controls, or supervises the demolition or renovation operation, or both.

Particulate asbestos material means finely divided particles of asbestos or material containing asbestos.

Planned renovation operations means a renovation operation, or a number of such operations, in which some RACM will be removed or stripped within a given period of time and that can be predicted. Individual nonscheduled operations are included if a number of such operations can be predicted to occur during a given period of time based on operating experience.



.141 Regulated asbestos-containing material (RACM) means (a) Friable asbestos material, (b) Category I nonfriable ACM that has become friable, (c) Category I nonfriable ACM that will be or has been subjected to sanding, grinding, cutting, or abrading, or (d) Category II nonfriable ACM that has a high probability of becoming or has become crumbled, pulverized, or reduced to powder by the forces expected to act on the material in the course of demolition or renovation operations regulated by this subpart.

Remove means to take out RACM or facility components that contain or are covered with RACM from any facility.

Renovation means altering a facility or one or more facility components in any way, including the stripping or removal of RACM from a facility component. Operations in which load-supporting structural members are wrecked or taken out are demolitions.

Resilient floor covering means asbestos-containing floor tile, including asphalt and vinyl floor tile, and sheet vinyl floor covering containing more than 1 percent asbestos as determined using polarized light microscopy according to the method specified in appendix E, subpart E, 40 CFR part 763, section 1, Polarized Light Microscopy.

Roadways means surfaces on which vehicles travel. This term includes public and private highways, roads, streets, parking areas, and driveways.

Strip means to take off RACM from any part of a facility or facility components.

Structural member means any load-supporting member of a facility, such as beams and load supporting walls; or any nonload-supporting member, such as ceilings and nonload-supporting walls.

Visible emissions means any emissions, which are visually detectable without the aid of instruments, coming from RACM or asbestos-containing waste material, or from any asbestos milling, manufacturing, or fabricating operation. This does not include condensed, uncombined water vapor.

Waste generator means any owner or operator of a source covered by this subpart whose act or process produces asbestos-containing waste material.

Waste shipment record means the shipping document, required to be originated and signed by the waste generator, used to track and substantiate the disposition of asbestos-containing waste material.

Working day means Monday through Friday and includes holidays that fall on any of the days Monday through Friday.

#### 61.145 Standard for demolition and renovation

- (a) Applicability. To determine which requirements of paragraphs (a), (b), and (c) of this section apply to the owner or operator of a demolition or renovation activity and prior to the commencement of the demolition or renovation, thoroughly inspect the affected facility or part of the facility where the demolition or renovation operation will occur for the presence of asbestos, including Category I and Category II nonfriable ACM. The requirements of paragraphs (b) and (c) of this section apply to each owner or operator of a demolition or renovation activity, including the removal of RACM as follows:
- (a)(1) In a facility being demolished, all the requirements of paragraphs (b) and (c) of this section apply, except as provided in paragraph (a)(3) of this section, if the combined amount of RACM is
- (a)(1)(i) At least 80 linear meters (260 linear feet) on pipes or at least 15 square meters (160 square feet) on other facility components, or
- (a)(1)(ii) At least 1 cubic meter (35 cubic feet) off facility components where the length or area could not be measured previously.
- (a)(2) In a facility being demolished, only the notification requirements of paragraphs (b)(1), (2), (3)(i) and (iv), and (4)(i) through (vii) and (4)(ix) and (xvi) of this section apply, if the combined amount of RACM is
- (a)(2)(i) Less than 80 linear meters (260 linear feet) on pipes and less than 15 square meters (160 square feet) on other facility components, and
- (a)(2)(ii) Less than one cubic meter (35 cubic feet) off facility components where the length or area could not be measured previously or there is no asbestos.

4

- .145 (a)(3) If the facility is being demolished under an order of a State or local government agency, issued because the facility is structurally unsound and in danger of imminent collapse, only the requirements of paragraphs (b)(1), (b)(2), (b)(3)(iii), (b)(4) (except (b)(4)(viii)), (b)(5), and (c)(4) through (c)(9) of this section apply.
- (a)(4) In a facility being renovated, including any individual nonscheduled renovation operation, all the requirements of paragraphs (b) and (c) of this section apply if the combined amount of RACM to be stripped, removed, dislodged, cut, drilled, or similarly disturbed is
- (a)(4)(i) At least 80 linear meters (260 linear feet) on pipes or at least 15 square meters (160 square feet) on other facility components, or
- (a)(4)(ii) At least 1 cubic meter (35 cubic feet) off facility components where the length or area could not be measured previously.
- (a)(4)(iii) To determine whether paragraph (a)(4) of this section applies to planned renovation operations involving individual nonscheduled operations, predict the combined additive amount of RACM to be removed or stripped during a calendar year of January 1 through December 31
- (a)(4)(iv) To determine whether paragraph (a)(4) of this section applies to emergency renovation operations, estimate the combined amount of RACM to be removed or stripped as a result of the sudden, unexpected event that necessitated the renovation.
- (a)(5) Owners or operators of demolition and renovation operations are exempt from the requirements of §§61.05(a), 61.07, and 61.09.
- (b) Notification requirements. Each owner or operator of a demolition or renovation activity to which this section applies shall:
- (b)(1) Provide the Administrator with written notice of intention to demolish or renovate. Delivery of the notice by U.S. Postal Service, commercial delivery service, or hand delivery is acceptable.
- (b)(2) Update notice, as necessary, including when the amount of asbestos affected changes by at least 20 percent.
  - (b)(3) Postmark or deliver the notice as follows:
- (b)(3)(i) At least 10 working days before asbestos stripping or removal work or any other activity begins (such as site preparation that would break up, dislodge or similarly disturb asbestos material), if the operation is described in paragraphs (a) (1) and (4) (except (a)(4)(iii) and (a)(4)(iv)) of this section. If the operation is as described in paragraph (a)(2) of this section, notification is required 10 working days before demolition begins.
- (b)(3)(ii) At least 10 working days before the end of the calendar year preceding the year for which notice is being given for renovations described in paragraph (a)(4)(iii) of this section.
- (b)(3)(iii) As early as possible before, but not later than, the following working day if the operation is a demolition ordered according to paragraph (a)(3) of this section or, if the operation is a renovation described in paragraph (a)(4)(iv) of this section.
- (b)(3)(iv) For asbestos stripping or removal work in a demolition or renovation operation, described in paragraphs (a) (1) and (4) (except (a)(4)(iii) and (a)(4)(iv)) of this section, and for a demolition described in paragraph (a)(2) of this section, that will begin on a date other than the one contained in the original notice, notice of the new start date must be provided to the Administrator as follows:
- (b)(3)(iv)(A) When the asbestos stripping or removal operation or demolition operation covered by this paragraph will begin after the date contained in the notice,
- (b)(3)(iv)(A)(1) Notify the Administrator of the new start date by telephone as soon as possible before the original start date, and
- (b)(3)(iv)(A)(2) Provide the Administrator with a written notice of the new start date as soon as possible before, and no later than, the original start date. Delivery of the updated notice by the U.S. Postal Service, commercial delivery service, or hand delivery is acceptable.
- (b)(3)(iv)(B) When the asbestos stripping or removal operation or demolition operation covered by this paragraph will begin on a date earlier than the original start date,
- (b)(3)(iv)(B)(1) Provide the Administrator with a written notice of the new start date at least 10 working days before asbestos stripping or removal work begins.



.145 (b)(3)(iv)(B)(2) For demolitions covered by paragraph (a)(2) of this section, provide the Administrator written notice of a new start date at least 10 working days before commencement of demolition. Delivery of updated notice by U.S. Postal Service, commercial delivery service, or hand delivery is acceptable.

(b)(3)(iv)(C) In no event shall an operation covered by this paragraph begin on a date other than the date contained in the written notice of the new start date.

(b)(4) Include the following in the notice:

(b)(4)(i) An indication of whether the notice is the original or a revised notification.

(b)(4)(ii) Name, address, and telephone number of both the facility owner and operator and the asbestos removal contractor owner or operator.

(b)(4)(iii) Type of operation: demolition or renovation.

(b)(4)(iv) Description of the facility or affected part of the facility including the size (square meters [square feet] and number of floors), age, and present and prior use of the facility.

(b)(4)(v) Procedure, including analytical methods, employed to detect the presence of RACM and Category I and Category II nonfriable ACM.

(b)(4)(vi) Estimate of the approximate amount of RACM to be removed from the facility in terms of length of pipe in linear meters (linear feet), surface area in square meters (square feet) on other facility components, or volume in cubic meters (cubic feet) if off the facility components. Also, estimate the approximate amount of Category I and Category II nonfriable ACM in the affected part of the facility that will not be removed before demolition.

(b)(4)(vii) Location and street address (including building number or name and floor or room number, if appropriate), city, county, and state, of the facility being demolished or renovated.

(b)(4)(viii) Scheduled starting and completion dates of asbestos removal work (or any other activity, such as site preparation that would break up, dislodge, or similarly disturb asbestos material) in a demolition or renovation; planned renovation operations involving individual nonscheduled operations shall only include the beginning and ending dates of the report period as described in paragraph (a)(4)(iii) of this section.

(b)(4)(ix) Scheduled starting and completion dates of demolition or renovation.

(b)(4)(x) Description of planned demolition or renovation work to be performed and method(s) to be employed, including demolition or renovation techniques to be used and description of affected facility components.

(b)(4)(xi) Description of work practices and engineering controls to be used to comply with the requirements of this subpart, including asbestos removal and waste-handling emission control procedures.

(b)(4)(xii) Name and location of the waste disposal site where the asbestos-containing waste material will be deposited.

(b)(4)(xiii) A certification that at least one person trained as required by paragraph (c)(8) of this section will supervise the stripping and removal described by this notification. This requirement shall become effective 1 year after promulgation of this regulation.

(b)(4)(xiv) For facilities described in paragraph (a)(3) of this section, the name, title, and authority of the State or local government representative who has ordered the demolition, the date that the order was issued, and the date on which the demolition was ordered to begin. A copy of the order shall be attached to the notification.

(b)(4)(xv) For emergency renovations described in paragraph (a)(4)(iv) of this section, the date and hour that the emergency occurred, a description of the sudden, unexpected event, and an explanation of how the event caused an unsafe condition, or would cause equipment damage or an unreasonable financial burden.

(b)(4)(xvi) Description of procedures to be followed in the event that unexpected RACM is found or Category II nonfriable ACM becomes crumbled, pulverized, or reduced to powder.

(xvii) Name, address, and telephone number of the waste transporter.

(b)(5) The information required in paragraph (b)(4) of this section must be reported using a form similar to that shown in Figure 3.

(c) Procedures for asbestos emission control. Each owner or operator of a demolition or renovation activity to whom this paragraph applies, according to paragraph (a) of this section, shall comply with the following procedures:

- .145 (c)(1) Remove all RACM from a facility being demolished or renovated before any activity begins that would break up, dislodge, or similarly disturb the material or preclude access to the material for subsequent removal. RACM need not be removed before demolition if:
  - (c)(1)(i) It is Category I nonfriable ACM that is not in poor condition and is not friable.
- (c)(1)(ii) It is on a facility component that is encased in concrete or other similarly hard material and is adequately wet whenever exposed during demolition; or
- (c)(1)(iii) It was not accessible for testing and was, therefore, not discovered until after demolition began and, as a result of the demolition, the material cannot be safely removed. If not removed for safety reasons, the exposed RACM and any asbestos-contaminated debris must be treated as asbestos-containing waste material and adequately wet at all times until disposed of.
- (c)(1)(iv) They are Category II nonfriable ACM and the probability is low that the materials will become crumbled, pulverized, or reduced to powder during demolition.
- (c)(2) When a facility component that contains, is covered with, or is coated with RACM is being taken out of the facility as a unit or in sections:
  - (c)(2)(i) Adequately wet all RACM exposed during cutting or disjoining operations; and
- (c)(2)(ii) Carefully lower each unit or section to the floor and to ground level, not dropping, throwing, sliding, or otherwise damaging or disturbing the RACM.
- (c)(3) When RACM is stripped from a facility component while it remains in place in the facility, adequately wet the RACM during the stripping operation.
  - (c)(3)(i) In renovation operations, wetting is not required if:
- (c)(3)(i)(A) The owner or operator has obtained prior written approval from the Administrator based on a written application that wetting to comply with this paragraph would unavoidably damage equipment or present a safety hazard; and
  - (c)(3)(i)(B) The owner or operator uses of the following emission control methods:
- (c)(3)(i)(B)(1) A local exhaust ventilation and collection system designed and operated to capture the particulate asbestos material produced by the stripping and removal of the asbestos materials. The system must exhibit no visible emissions to the outside air or be designed and operated in accordance with the requirements in §61.152.
- (c)(3)(i)(B)(2) A glove-bag system designed and operated to contain the particulate asbestos material produced by the stripping of the asbestos materials.
  - (c)(3)(i)(B)(3) Leak-tight wrapping to contain all RACM prior to dismantlement.
- (c)(3)(i)(B)(ii) In renovation operations where wetting would result in equipment damage or a safety hazard, and the methods allowed in paragraph (c)(3)(i) of this section cannot be used, another method may be used after obtaining written approval from the Administrator based upon a determination that it is equivalent to wetting in controlling emissions or to the methods allowed in paragraph (c)(3)(i) of this section.
- (c)(3)(i)(B)(iii) A copy of the Administrator's written approval shall be kept at the worksite and made available for inspection.
- (c)(4) After a facility component covered with, coated with, or containing RACM has been taken out of the facility as a unit or in sections pursuant to paragraph (c)(2) of this section, it shall be stripped or contained in leak-tight wrapping, except as described in paragraph (c)(5) of this section. If stripped, either:
  - (c)(4)(i) Adequately wet the RACM during stripping; or
- (c)(4)(ii) Use a local exhaust ventilation and collection system designed and operated to capture the particulate asbestos material produced by the stripping. The system must exhibit no visible emissions to the outside air or be designed and operated in accordance with the requirements in 861.152.
- (c)(5) For large facility components such as reactor vessels, large tanks, and steam generators, but not beams (which must be handled in accordance with paragraphs (c)(2), (3), and (4) of this section), the RACM is not required to be stripped if the following requirements are met:
- (c)(5)(i) The component is removed, transported, stored, disposed of, or reused without disturbing or damaging the RACM.
  - (c)(5)(ii) The component is encased in a leak-tight wrapping.
- (c)(5)(iii) The leak-tight wrapping is labeled according to §61.149(d)(1)(i), (ii), and (iii) during all loading and unloading operations and during storage.
  - (c)(6) For all RACM, including material that has been removed or stripped:



.145 (c)(6)(i) Adequately wet the material and ensure that it remains wet until collected and contained or treated in preparation for disposal in accordance with §61.150; and

(c)(6)(ii) Carefully lower the material to the ground and floor, not dropping, throwing, sliding, or otherwise damaging or disturbing the material.

(c)(6)(iii) Transport the material to the ground via leak-tight chutes or containers if it has been removed or stripped more than 50 feet above ground level and was not removed as units or in sections.

(c)(6)(iv) RACM contained in leak-tight wrapping that has been removed in accordance with paragraphs (c)(4) and (c)(3)(i)(B)(3) of this section need not be wetted.

· (c)(7) When the temperature at the point of wetting is below 0 °C (32 °F):

(c)(7)(i) The owner or operator need not comply with paragraph (c)(2)(i) and the wetting provisions of paragraph (c)(3) of this section.

(c)(7)(ii) The owner or operator shall remove facility components containing, coated with, or covered with RACM as units or in sections to the maximum extent possible.

(c)(7)(iii) During periods when wetting operations are suspended due to freezing temperatures, the owner or operator must record the temperature in the area containing the facility components at the beginning, middle, and end of each workday and keep daily temperature records available for inspection by the Administrator during normal business hours at the demolition or renovation site. The owner or operator shall retain the temperature records for at least 2 years.

(c)(8) Effective 1 year after promulgation of this regulation, no RACM shall be stripped, removed, or otherwise handled or disturbed at a facility regulated by this section unless at least one on-site representative, such as a foreman or management-level person or other authorized representative, trained in the provisions of this regulation and the means of complying with them, is present. Every 2 years, the trained on-site individual shall receive refresher training in the provisions of this regulation. The required training shall include as a minimum: applicability; notifications; material identification; control procedures for removals including, at least, wetting, local exhaust ventilation, negative pressure enclosures, glove-bag procedures, and High Efficiency Particulate Air (HEPA) filters; waste disposal work practices; reporting and recordkeeping; and asbestos hazards and worker protection. Evidence that the required training has been completed shall be posted and made available for inspection by the Administrator at the demolition or renovation site.

(c)(9) For facilities described in paragraph (a)(3) of this section, adequately wet the portion of the facility that contains RACM during the wrecking operation.

(c)(10) If a facility is demolished by intentional burning, all RACM including Category I and Category II nonfriable ACM must be removed in accordance with the NESHAP before burning.

#### BOILTHOUGH OF DESCRIPTION AND RESOURTING

desetus fanjest f	204338V	•	Into Jaco	796	AUL2 ÉLC &	LIGO P
i. Hek of satisficat	light   Cotropies		C-Canadalania (i			
II. PACILITY ISPIANA	124    dectal	4040. 10444	g samiforther, bi	& ICARL MELL		
****						
£,194	***************************************	11	-C-2 -	8.01		
Kane bala			7011			
REMOVAL CONTRACTOR)						
###179 <b>%</b> 1		***************************************				
kuff:	<i></i>	[11	1201	1171		
Lagrante	****************	· · · · · · · · · · · · · · · · · · ·		111-		************
OTERS SPENATORS	***************************************	**************				
1 244 1 164 4	***************************************	·,,	******	******	<del></del>	
£,£11		10	4541	1:1:	,	
Litetr	***************************************			74.1		
ILL THE OF CREATIO	E   Delica Delle-	Andread Brown St.		MP. Batt : 41.2	1 11	
tv. 16 asstance buttl	#78 g retract		·			
V. PACIFITY COPERIFYTON . Serious resisting case, capper and files or new name i						
t:#1 4mm:						
PESFFR-1		A proposal over promption in the				
4.ep						
Sura Haratura						
******* *****	[ 1 al	Places.		*** /= ,b**	••	
Princes dags ( fruit 444)						
WI. SPORTENIE, INCLUDING ARALTICAL METROD, IF ASSESSAINTS, USED TO EXTRUST THE PARKENCE OF MERICAL ASSESTALS.						
VII. APPROXIMATE AND	ut of	T	Roofel	atri e	1	
•	THE THE THE THE PERSON IN THE					
J. Shedishmi MAN to Me of J. Sarbyers t all Man Se L. Sarbyers 11 MAN Set 1	*****	79.50		CD (1	4517	
1: 100 pary 11 MA 440 0		+	1 (2)	····	1673 ·	30 01
		-	· <del>  </del>	ļ	Spr.	37.01
1711019 4419		ļ	+	<b> </b>	Carry	7
in man utl results &				L		1.7.
TILL ICHIDVED CATES ADDECTOR RESCUE: SEMINISTE MATE CARRIES						
IN. ICHELALED SATES	D CP#9/FEE3454 1/224	CH (MAY MAY IT	+ #####	Europ :		

Continues of James to

Bigure 3. Applification of Damalities and Africation

of the continues of the continu

#### View or download PDF

sofficiation of description description by a servery time process to the participation of the servery time ALL HEMCHIPTIES OF SOME SEASTICES AND EMPLICABLES COMPACTE TO HE LOSD TO PARTET EXCHANGE OF ASSETTE AT THE CHARLETION AND RESOURTING ACTOR. E-47 Cornel Porteri Marce Transporter JI MITT. WASTE DESPUGAL STEE RIV. IF PERMITTION OFFICED BY A COTTONNESS SCIENT, PLANSE SCHOOLIFS THE AMERICA SECON Sense and door of Smelywort children's state DESCRIPTION OF PROCESSES TO BE POLICIONED IN THE EVENT THAT UNLESSED ANTENTOS IS PROCESSED PROCESSES ANTENIAL SECURIES CRAMMADA, PLEVIALED, ON MITOTED TO POWDER. CONTURE THE OF ENTRYDICAL TRAINED IN THE PROVISIONS OF THE SHITCHTON (AS CAN HART IN CONTRACTOR IN HILL CONSIST DIRECTOR DEPOCATION OF METONATION AND EXTENSIST THAT THE REQUISION THRITTON AS MEET ACCOMMISSED BY THIS FLAVOW WILL BE AVAILABLE FOR INTECTION MILETAN SHOWER, MULTIPLY MOTHER, PROMISED AND AFFECT PROMISED SECTION OF A TOWN PROMISED SECTION OF A TOWN PROMISED SECTION OF THE PROM (Signature of Owner/Operator) (Dates (Bigrature of Senet/Operator) (Date)

Figure 1. Ratification of Complition and Personalist

View or download PDF [55 FR 48419, Nov. 20, 1990; 56 FR 1669, Jan. 16, 1991]

#### 61.149 Standard for waste disposal for asbestos mills.

Each owner or operator of any source covered under the provisions of 61.142 shall:

- (a) Deposit all asbestos-containing waste material at a waste disposal site operated in accordance with the provisions of 61.154; and
- (b) Discharge no visible emissions to the outside air from the transfer of control device asbestos waste to the tailings conveyor, or use the methods specified by 61.152 to clean emissions containing particulate asbestos material before they escape to, or are vented to, the outside air. Dispose of the asbestos waste from control devices in accordance with 61.150(a) or paragraph (c) of this section; and
- (c) Discharge no visible emissions to the outside air during the collection, processing, packaging, or on-site transporting of any asbestos-containing waste material, or use one of the disposal methods specified in paragraphs (c) (1) or (2) of this section, as follows:
  - (c)(1) Use a wetting agent as follows:
- (c)(1)(i) Adequately mix all asbestos-containing waste material with a wetting agent recommended by the manufacturer of the agent to effectively wet dust and tailings, before depositing the material at a waste disposal site. Use the agent as recommended for the particular dust by the manufacturer of the agent.
- (c)(1)(ii) Discharge no visible emissions to the outside air from the wetting operation or use the methods specified by 61.152 to clean emissions containing particulate asbestos material before they escape to, or are vented to, the outside air.
- (c)(1)(iii) Wetting may be suspended when the ambient temperature at the waste disposal site is less than -9.5 C (15 F), as determined by an appropriate measurement method with an accuracy of  $\pm$  1 C ( $\pm$  2 F). During periods when wetting operations are suspended, the temperature must be recorded at least at hourly intervals, and records must be retained for at least 2 years in a form suitable for inspection.
- (c)(2) Use an alternative emission control and waste treatment method that has received prior written approval by the Administrator. To obtain approval for an alternative method, a written application must be submitted to the Administrator demonstrating that the following criteria are met:
- (c)(2)(i) The alternative method will control asbestos emissions equivalent to currently required methods.
  - (c)(2)(ii) The suitability of the alternative method for the intended application.
  - (c)(2)(iii) The alternative method will not violate other regulations.
- (c)(2)(iv) The alternative method will not result in increased water pollution, land pollution, or occupational hazards.
  - (d) When waste is transported by vehicle to a disposal site:
- (d)(1) Mark vehicles used to transport asbestos-containing waste material during the loading and unloading of the waste so that the signs are visible. The markings must:
  - (d)(1)(i) Be displayed in such a manner and location that a person can easily read the legend.
- (d)(1)(ii) Conform to the requirements for 51 cm x 36 cm (20 in x 14 in) upright format signs specified in 29 CFR 1910.145(d)(4) and this paragraph; and
- (d)(1)(iii) Display the following legend in the lower panel with letter sizes and styles of a visibility at least equal to those specified in this paragraph.

Legend

# DANGER ASBESTOS DUST HAZARD CANCER AND LUNG DISEASE HAZARD AUTHORIZED PERSONNEL ONLY

Notation 2.5 cm (1 inch) Sans Serif, Gothic or Block 1.9 cm (3/4 inch) Sans Serif, Gothic or Block 14 Point Gothic Spacing between any two lines must be a least equal to the height of the upper of the two lines.

- .149 (d)(2) For off-site disposal, provide a copy of the waste shipment record, described in paragraph (e)(1) of this section, to the disposal site owner or operator at the same time as the asbestos-containing waste material is delivered to the disposal site.
  - (e) For all asbestos-containing waste material transported off the facility site:
- (e)(1) Maintain asbestos waste shipment records, using a form similar to that shown in Figure 4, and include the following information:
  - (e)(1)(i) The name, address, and telephone number of the waste generator.
- (e)(1)(ii) The name and address of the local, State, or EPA Regional agency responsible for administering the asbestos NESHAP program.
- (e)(1)(iii) The quantity of the asbestos-containing waste material in cubic meters (cubic yards).
  - (e)(1)(iv) The name and telephone number of the disposal site operator.
  - (e)(1)(v) The name and physical site location of the disposal site.
  - (e)(1)(vi) The date transported.
  - (e)(1)(vii) The name, address, and telephone number of the transporter(s).
- (e)(1)(viii) A certification that the contents of this consignment are fully and accurately described by proper shipping name and are classified, packed, marked, and labeled, and are in all respects in proper condition for transport by highway according to applicable international and government regulations.
- (e)(2) For waste shipments where a copy of the waste shipment record, signed by the owner or operator of the designated disposal site, is not received by the waste generator within 35 days of the date the waste was accepted by the initial transporter, contact the transporter and/or the owner or operator of the designated disposal site to determine the status of the waste shipment.
- (e)(3) Report in writing to the local, State, or EPA Regional office responsible for administering the asbestos NESHAP program for the waste generator if a copy of the waste shipment record, signed by the owner or operator of the designated waste disposal site, is not received by the waste generator within 45 days of the date the waste was accepted by the initial transporter. Include in the report the following information:
- (e)(3)(i) A copy of the waste shipment record for which a confirmation of delivery was not received, and
- (e)(3)(ii) A cover letter signed by the waste generator explaining the efforts taken to locate the asbestos waste shipment and the results of those efforts.
- (e)(4) Retain a copy of all waste shipment records, including a copy of the waste shipment record signed by the owner or operator of the designated waste disposal site, for at least 2 years.
- (f) Furnish upon request, and make available for inspection by the Administrator, all records required under this section.

## 61.150 Standard for waste disposal for manufacturing, fabricating, demolition, renovation, and spraying operations.

Each owner or operator of any source covered under the provisions of §§61.144, 61.145, 61.146, and 61.147 shall comply with the following provisions:

- (a) Discharge no visible emissions to the outside air during the collection, processing (including incineration), packaging, or transporting of any asbestos-containing waste material generated by the source, or use one of the emission control and waste treatment methods specified in paragraphs (a) (1) through (4) of this section.
  - (a)(1) Adequately wet asbestos-containing waste material as follows:
- (a)(1)(i) Mix control device asbestos waste to form a slurry; adequately wet other asbestos-containing waste material; and
- (a)(1)(ii) Discharge no visible emissions to the outside air from collection, mixing, wetting, and handling operations, or use the methods specified by §61.152 to clean emissions containing particulate asbestos material before they escape to, or are vented to, the outside air; and
- (a)(1)(iii) After wetting, seal all asbestos-containing waste material in leak-tight containers while wet; or, for materials that will not fit into containers without additional breaking, put materials into leak-tight wrapping; and
- (a)(1)(iv) Label the containers or wrapped materials specified in paragraph (a)(1)(iii) of this section using warning labels specified by Occupational Safety and Health Standards of the Department of Labor, Occupational Safety and Health Administration (OSHA) under 29 CFR 1910.1001(j)(4) or 1926.1101(k)(8). The labels shall be printed in letters of sufficient size and contrast so as to be readily visible and legible.
- (a)(1)(v) For asbestos-containing waste material to be transported off the facility site, label containers or wrapped materials with the name of the waste generator and the location at which the waste was generated.
  - (a)(2) Process asbestos-containing waste material into nonfriable forms as follows:
  - (a)(2)(i) Form all asbestos-containing waste material into nonfriable pellets or other shapes;
- (a)(2)(ii) Discharge no visible emissions to the outside air from collection and processing operations, including incineration, or use the method specified by §61.152 to clean emissions containing particulate asbestos material before they escape to, or are vented to, the outside air.
- (a)(3) For facilities demolished where the RACM is not removed prior to demolition according to §§61.145(c)(1) (i), (ii), (iii), and (iv) or for facilities demolished according to §61.145(c)(9), adequately wet asbestos-containing waste material at all times after demolition and keep wet during handling and loading for transport to a disposal site. Asbestos-containing waste materials covered by this paragraph do not have to be sealed in leak-tight containers or wrapping but may be transported and disposed of in bulk.
- (a)(4) Use an alternative emission control and waste treatment method that has received prior approval by the Administrator according to the procedure described in §61.149(c)(2).
  - (a)(5) As applied to demolition and renovation, the requirements of paragraph
- (a)(5)(a) of this section do not apply to Category I nonfriable ACM waste and Category II nonfriable ACM waste that did not become crumbled, pulverized, or reduced to powder.
- (b) All asbestos-containing waste material shall be deposited as soon as is practical by the waste generator at:
  - (b)(1) A waste disposal site operated in accordance with the provisions of §61.154, or
- (b)(2) An EPA-approved site that converts RACM and asbestos-containing waste material into non-asbestos (asbestos-free) material according to the provisions of §61.155.
- (b)(3) The requirements of paragraph (b) of this section do not apply to Category I nonfriable ACM that is not RACM.
- (c) Mark vehicles used to transport asbestos-containing waste material during the loading and unloading of waste so that the signs are visible. The markings must conform to the requirements of §§61.149(d)(1) (i), (ii), and (iii).
  - (d) For all asbestos-containing waste material transported off the facility site:
- (d)(1) Maintain waste shipment records, using a form similar to that shown in Figure 4, and include the following information:
  - (d)(1)(i) The name, address, and telephone number of the waste generator.

- .150 (d)(1)(ii) The name and address of the local, State, or EPA Regional office responsible for administering the asbestos NESHAP program.
  - (d)(1)(iii) The approximate quantity in cubic meters (cubic yards).
  - (d)(1)(iv) The name and telephone number of the disposal site operator.
  - (d)(1)(v) The name and physical site location of the disposal site.
  - (d)(1)(vi) The date transported.
  - (d)(1)(vii) The name, address, and telephone number of the transporter(s).
- (d)(1)(viii) A certification that the contents of this consignment are fully and accurately described by proper shipping name and are classified, packed, marked, and labeled, and are in all respects in proper condition for transport by highway according to applicable international and government regulations.
- (d)(2) Provide a copy of the waste shipment record, described in paragraph (d)(1) of this section, to the disposal site owners or operators at the same time as the asbestos-containing waste material is delivered to the disposal site.
- (d)(3) For waste shipments where a copy of the waste shipment record, signed by the owner or operator of the designated disposal site, is not received by the waste generator within 35 days of the date the waste was accepted by the initial transporter, contact the transporter and/or the owner or operator of the designated disposal site to determine the status of the waste shipment.
- (d)(4) Report in writing to the local, State, or EPA Regional office responsible for administering the asbestos NESHAP program for the waste generator if a copy of the waste shipment record, signed by the owner or operator of the designated waste disposal site, is not received by the waste generator within 45 days of the date the waste was accepted by the initial transporter. Include in the report the following information:
- (d)(4)(i) A copy of the waste shipment record for which a confirmation of delivery was not received, and
- (d)(4)(ii) A cover letter signed by the waste generator explaining the efforts taken to locate the asbestos waste shipment and the results of those efforts.
- (d)(5) Retain a copy of all waste shipment records, including a copy of the waste shipment record signed by the owner or operator of the designated waste disposal site, for at least 2 years.
- (e) Furnish upon request, and make available for inspection by the Administrator, all records required under this section.
- [55 FR 48429, Nov. 20, 1990; 56 FR 1669, Jan. 16, 1991, as amended at 68 FR 54793, Sept. 18, 2003]



## 61.151 Standard for inactive waste disposal sites for asbestos mills and manufacturing and fabricating operations.

Each owner or operator of any inactive waste disposal site that was operated by sources covered under §61.142, 61.144, or 61.147 and received deposits of asbestos-containing waste material generated by the sources, shall:

- (a) Comply with one of the following:
- (a)(1) Either discharge no visible emissions to the outside air from an inactive waste disposal site subject to this paragraph; or
- (a)(2) Cover the asbestos-containing waste material with at least 15 centimeters (6 inches) of compacted non-asbestos-containing material, and grow and maintain a cover of vegetation on the area adequate to prevent exposure of the asbestos-containing waste material. In desert areas where vegetation would be difficult to maintain, at least 8 additional centimeters (3 inches) of well-graded, non-asbestos crushed rock may be placed on top of the final cover instead of vegetation and maintained to prevent emissions; or
- (a)(3) Cover the asbestos-containing waste material with at least 60 centimeters (2 feet) of compacted non-asbestos-containing material, and maintain it to prevent exposure of the asbestos-containing waste; or
- (a)(4) For inactive waste disposal sites for asbestos tailings, a resinous or petroleum-based dust suppression agent that effectively binds dust to control surface air emissions may be used instead of the methods in paragraphs (a) (1), (2), and (3) of this section. Use the agent in the manner and frequency recommended for the particular asbestos tailings by the manufacturer of the dust suppression agent to achieve and maintain dust control. Obtain prior written approval of the Administrator to use other equally effective dust suppression agents. For purposes of this paragraph, any used, spent, or other waste oil is not considered a dust suppression agent.
- (b) Unless a natural barrier adequately deters access by the general public, install and maintain warning signs and fencing as follows, or comply with paragraph (a)(2) or (a)(3) of this section.
- (b)(1) Display warning signs at all entrances and at intervals of 100 m (328 ft) or less along the property line of the site or along the perimeter of the sections of the site where asbestoscontaining waste material was deposited. The warning signs must:
- $(\overline{b})(1)(i)$  Be posted in such a manner and location that a person can easily read the legend; and
- (b)(1)(ii) Conform to the requirements for 51 cm×36 cm (20&inch;×14&inch;) upright format signs specified in 29 CFR 1910.145(d)(4) and this paragraph; and
- (b)(1)(iii) Display the following legend in the lower panel with letter sizes and styles of a visibility at least equal to those specified in this paragraph.

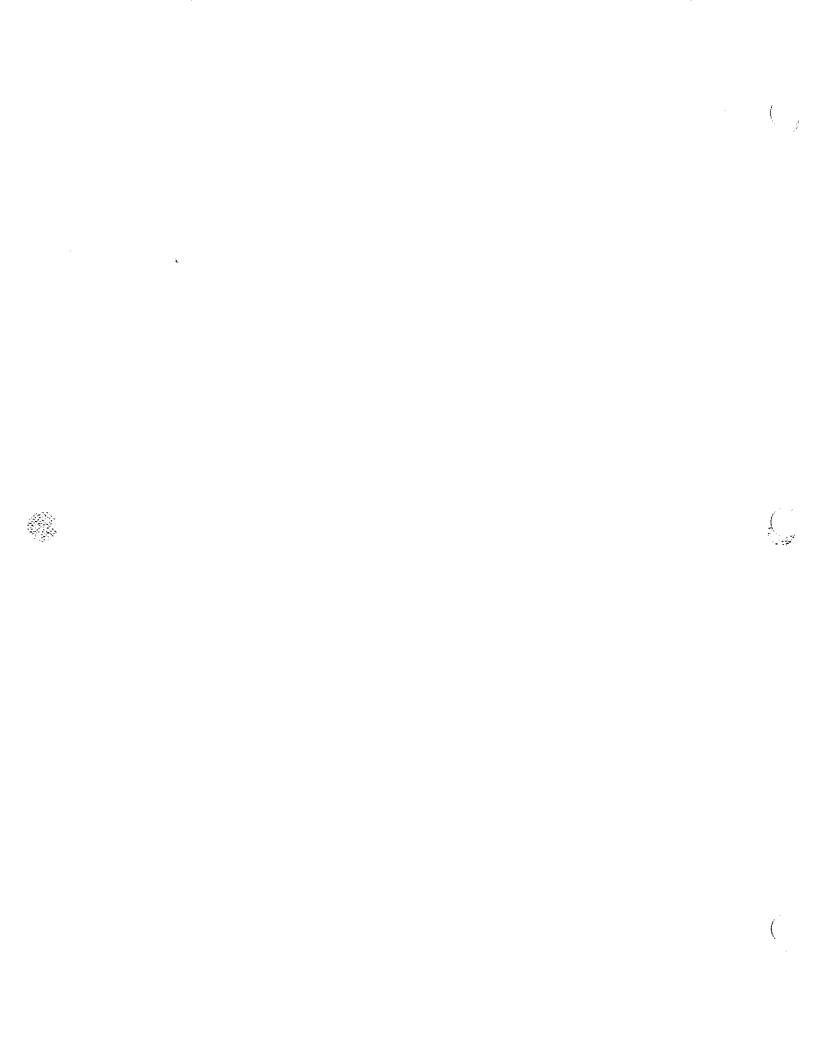
Legend	Notation
Asbestos Waste Disposal Site	
Do Not Create Dust	
Breathing Asbestos is Hazardou	Gothic or Block s to Your 14 Point Gothic.
Health.	

Spacing between any two lines must be at least equal to the height of the upper of the two lines.

- (b)(2) Fence the perimeter of the site in a manner adequate to deter access by the general public.
- (b)(3) When requesting a determination on whether a natural barrier adequately deters public access, supply information enabling the Administrator to determine whether a fence or a natural barrier adequately deters access by the general public.

- .151 (c) The owner or operator may use an alternative control method that has received prior approval of the Administrator rather than comply with the requirements of paragraph (a) or (b) of this section.
- (d) Notify the Administrator in writing at least 45 days prior to excavating or otherwise disturbing any asbestos-containing waste material that has been deposited at a waste disposal site under this section, and follow the procedures specified in the notification. If the excavation will begin on a date other than the one contained in the original notice, notice of the new start date must be provided to the Administrator at least 10 working days before excavation begins and in no event shall excavation begin earlier than the date specified in the original notification. Include the following information in the notice:
  - (d)(1) Scheduled starting and completion dates.
  - (d)(2) Reason for disturbing the waste.
- (d)(3) Procedures to be used to control emissions during the excavation, storage, transport, and ultimate disposal of the excavated asbestos-containing waste material. If deemed necessary, the Administrator may require changes in the emission control procedures to be used.
  - (d)(4) Location of any temporary storage site and the final disposal site.
- (e) Within 60 days of a site becoming inactive and after the effective date of this subpart, record, in accordance with State law, a notation on the deed to the facility property and on any other instrument that would normally be examined during a title search; this notation will in perpetuity notify any potential purchaser of the property that:
  - (e)(1) The land has been used for the disposal of asbestos-containing waste material;
- (e)(2) The survey plot and record of the location and quantity of asbestos-containing waste disposed of within the disposal site required in §61.154(f) have been filed with the Administrator; and
- (e)(3) The site is subject to 40 CFR part 61, subpart M. [49 FR 13661, Apr. 5, 1984, as amended at 53 FR 36972, Sept. 23, 1988. Redesignated and amended at 55 FR 48429, Nov. 20, 1990]









# EPA AHERA 40 CFR 763



#### 40 CFR 763 AHERA

- .80 SCOPE AND PURPOSE p. 2
- .83 DEFINITIONS p. 2
- .84 GENERAL LOCAL EDUCATION AGENCY RESPONSIBILITIES p.
- .85 INSPECTION AND REINSPECTIONS p. 5
- .86 SAMPLING p. 6
- .87 ANALYSIS p. 6
- .88 ASSESSMENT p. 7
- .90 RESPONSE ACTIONS p. 7
- .91 OPERATIONS AND MAINTENANCE p. 10
- .92 TRAINING AND PERIODIC SURVEILLANCE p. 11
- .93 MANAGEMENT PLANS p. 11
- .94 RECORDKEEPING p. 14
- .95 WARNING LABELS p. 14
- .97 COMPLIANCE AND ENFORCEMENT p. 15
- .98 WAIVER; DELEGATION TO STATE p. 15
- .99 EXCLUSIONS p. 17

#### 763.80 SCOPE AND PURPOSE.

(a) This rule requires local education agencies to identify friable and nonfriable

asbestos-containing material (ACM) in public and private elementary and secondary schools by visually inspecting school buildings for such materials, sampling such materials if they are not assumed to be ACM, and having samples analyzed by appropriate techniques referred to in this rule. The rule requires local education agencies to submit management plans to the Governor of their State by October 12, 1988, begin to implement the plans by July 9, 1989, and complete implementation of the plans in a timely fashion. In addition, local education agencies are required to use persons who have been accredited to conduct inspections, reinspections, develop management plans, or perform response actions. The rule also includes recordkeeping requirements. Local education agencies may contractually delegate their duties under this rule, but they remain responsible for the proper performance of those duties. Local education agencies are encouraged to consult with EPA Regional Asbestos Coordinators, or if applicable, a States lead agency designated by the State Governor, for assistance in complying with this rule.

(b) Local education agencies must provide for the transportation and disposal of asbestos in accordance with EPA's "Asbestos Waste Management Guidance." For convenience, applicable sections of this guidance are reprinted as Appendix D of this subpart. There are regulations in place, however, that affect transportation and disposal of asbestos waste generated by this rule. The transportation of asbestos waste is covered by the Department of Transportation (49 CFR Part 173, subpart J) and disposal is covered by the National Emissions Standards for Hazardous Air Pollutants (NESHAP) (40 CFR Part 61, subpart M).

#### 763.83 DEFINITIONS. For purposes of this subpart:

Act means the Toxic Substances Control Act (TSCA), 15 U.S.C. 2601, et seq.

Accessible when referring to ACM means that the material is subject to disturbance by school building occupants or custodial or maintenance personnel in the course of their normal activities.

Accredited or accreditation when referring to a person or laboratory means that such person or laboratory is accredited in accordance with section 206 of Title II of the Act.

Air erosion means the passage of air over friable ACBM which may result in the release of asbestos fibers.

Asbestos means the asbestiform varieties of: Chrysotile (serpentine); crocidolite (riebeckite); amosite (cummingtonitegrunerite); anthophyllite; tremolite; and actinolite.

Asbestos-containing material (ACM) when referring to school buildings means any material or product which contains more than 1 percent asbestos.

Asbestos-containing building material (ACBM) means surfacing ACM, thermal system insulation ACM, or miscellaneous ACM that is found in or on interior structural members or other parts of a school building.

Asbestos debris means pieces of ACBM that can be identified by color, texture, or composition, or means dust, if the dust is determined by an accredited inspector to be ACM.

Damaged friable miscellaneous ACM means friable miscellaneous ACM which has deteriorated or sustained physical injury such that the internal structure (cohesion) of the material is inadequate or, if applicable, which has delaminated such that its bond to the substrate (adhesion) is inadequate or which for

any other reason lacks fiber cohesion or adhesion qualities. Such damage or deterioration may be illustrated by the separation of ACM into layers; separation of ACM from the substrate; flaking, blistering, or crumbling of the ACM surface; water damage; significant or repeated water stains, scrapes, gouges, mars or other signs of physical injury on the ACM. Asbestos debris originating from the ACBM in question may also indicate damage.

83 Damaged friable surfacing ACM means friable surfacing ACM which has deteriorated or sustained physical injury such that the internal structure (cohesion) of the material is inadequate or which has delaminated such that its bond to the substrate (adhesion) is inadequate, or which, for any other reason, lacks fiber cohesion or adhesion qualities. Such damage or deterioration may be illustrated by the separation of ACM into layers; separation of ACM from the substrate; flaking, blistering, or crumbling of the ACM surface; water damage; significant or repeated water stains, scrapes, gouges, mars or other signs of physical injury on the ACM. Asbestos debris originating from the ACBM in question may also indicate damage.

Damaged or significantly damaged thermal system insulation ACM means thermal systems insulation ACM on pipes, boilers, tanks, ducts, and other thermal system insulation equipment where the insulation has lost its structural integrity, or its covering, in whole or in part, is crushed, water-stained, gouged, punctured, missing, or not intact such that it is not able to contain fibers. Damage may be further illustrated by occasional punctures, gouges or other signs of physical injury to ACM; occasional water damage on the protective coverings/jackets; or exposed ACM ends or joints. Asbestos debris originating from the ACBM in question may also indicate damage.

Encapsulation means the treatment of ACBM with a material that surrounds or embeds asbestos fibers in an adhesive matrix to prevent the release of fibers, as the encapsulant creates a membrane over the surface (bridging encapsulant) or penetrates the material and binds its components together (penetrating encapsulant).

Enclosure means an airtight, impermeable, permanent barrier around ACBM to prevent the release of asbestos fibers into the air.

Fiber release episode means any uncontrolled or unintentional disturbance of ACBM resulting in visible emission.

Friable when referring to material in a school building means that the material, when dry, may be crumbled, pulverized, or reduced to powder by hand pressure, and includes previously nonfriable material after such previously nonfriable material becomes damaged to the extent that when dry it may be crumbled, pulverized, or reduced to powder by hand pressure.

Functional space means a room, group of rooms, or homogeneous area (including crawl spaces or the space between a dropped ceiling and the floor or roof deck above), such as classroom(s), a cafeteria, gymnasium, hallway(s), designated by a person accredited to prepare management plans, design abatement projects, or conduct response actions.

High-efficiency particulate air (HEPA) refers to a filtering system capable of trapping and retaining at least 99.97 percent of all monodispersed particles 0.3  $\mu$ m in diameter or larger.

Homogeneous area means an area of surfacing material, thermal system insulation material, or miscellaneous material that is uniform in color and texture.

Local education agency means:

- (1) Any local educational agency as defined in section 198 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 3381).
  - (2) The owner of any nonpublic, nonprofit elementary, or secondary school building.
- (3) The governing authority of any school operated under the defense dependents education system provided for under the Defense Dependents Education Act of 1978 (20 U.S.C. 921, et seq.).

Miscellaneous ACM means miscellaneous material that is ACM in a school building.

Miscellaneous material means interior building material on structural components, structural members or fixtures, such as floor and ceiling tiles, and does not include surfacing material or thermal system insulation.

Nonfriable means material in a school building which when dry may not be crumbled, pulverized, or reduced to powder by hand pressure.

Operations and maintenance program means a program of work practices to maintain friable ACBM in good condition, ensure clean up of asbestos fibers previously released, and prevent further release by minimizing and controlling friable ACBM disturbance or damage.

Potential damage means circumstances in which:

- (1) Friable ACBM is in an area regularly used by building occupants, including maintenance personnel, in the course of their normal activities.
- (2) There are indications that there is a reasonable likelihood that the material or its covering will become damaged, deteriorated, or delaminated due to factors such as changes in building use, changes in operations and maintenance practices, changes in occupancy, or recurrent damage.

Potential significant damage means circumstances in which:

- .83 (1) Friable ACBM is in an area regularly used by building occupants, including maintenance personnel, in the course of their normal activities.
- (2) There are indications that there is a reasonable likelihood that the material or its covering will become significantly damaged, deteriorated, or delaminated due to factors such as changes in building use, changes in operations and maintenance practices, changes in occupancy, or recurrent damage.
- (3) The material is subject to major or continuing disturbance, due to factors including, but not limited to, accessibility or, under certain circumstances, vibration or air erosion.

Preventive measures means actions taken to reduce disturbance of ACBM or otherwise eliminate the reasonable likelihood of the materials becoming damaged or significantly damaged.

Removal means the taking out or the stripping of substantially all ACBM from a damaged area, a functional space, or a homogeneous area in a school building.

Repair means returning damaged ACBM to an undamaged condition or to an intact state so as to prevent fiber release.

Response action means a method, including removal, encapsulation, enclosure, repair, operations and maintenance, that protects human health and the environment from friable ACBM.

Routine maintenance area means an area, such as a boiler room or mechanical room, that is not normally frequented by students and in which maintenance employees or contract workers regularly conduct maintenance activities.

School means any elementary or secondary school as defined in section 198 of the Elementary and Secondary Education Act of 1965 (20 U.S.C. 2854).

School building means:

- (1) Any structure suitable for use as a classroom, including a school facility such as a laboratory, library, school eating facility, or facility used for the preparation of food.
- (2) Any gymnasium or other facility which is specially designed for athletic or recreational activities for an academic course in physical education.
- (3) Any other facility used for the instruction or housing of students or for the administration of educational or research programs.
- (4) Any maintenance, storage, or utility facility, including any hallway, essential to the operation of any facility described in this definition of "school building" under paragraphs (1), (2), or (3).
  - (5) Any portico or covered exterior hallway or walkway.
  - (6) Any exterior portion of a mechanical system used to condition interior space.

Significantly damaged friable miscellaneous ACM means damaged friable miscellaneous ACM where the damage is extensive and severe.

Significantly damaged friable surfacing ACM means damaged friable surfacing ACM in a functional space where the damage is extensive and severe.

State means a State, the District of Columbia, the Commonwealth of Puerto Rico, Guam, American Samoa, the Northern Marianas, the Trust Territory of the Pacific Islands, and the Virgin Islands.

Surfacing ACM means surfacing material that is ACM.

Surfacing material means material in a school building that is sprayed on, troweled on, or otherwise applied to surfaces, such as acoustical plaster on ceilings and fireproofing materials on structural members, or other materials on surfaces for acoustical, fireproofing, or other purposes.

Thermal system insulation means material in a school building applied to pipes, fittings, boilers, breeching, tanks, ducts, or other interior structural components to prevent heat loss or gain, or water condensation, or for other purposes.

Thermal system insulation ACM means thermal system insulation that is ACM.

Vibration means the periodic motion of friable ACBM which may result in the release of asbestos fibers.

# 763.84 GENERAL LOCAL EDUCATION AGENCY RESPONSIBILITIES.

Each local education agency shall:

- (a) Ensure that the activities of any persons who perform inspections, reinspections, and periodic surveillance, develop and update management plans, and develop and implement response actions, including operations and maintenance, are carried out in accordance with subpart E of this part.
- (b) Ensure that all custodial and maintenance employees are properly trained as required by this subpart E and other applicable Federal and/or State regulations (e.g., the Occupational Safety and Health Administration asbestos standard for construction, the EPA worker protection rule, or applicable State regulations).
- (c) Ensure that workers and building occupants, or their legal guardians, are informed at least once each school year about inspections, response actions, and post-response action activities, including periodic reinspection and surveillance activities that are planned or in progress.

- .84 (d) Ensure that short-term workers (e.g., telephone repair workers, utility workers, or exterminators) who may come in contact with asbestos in a school are provided information regarding the locations of ACBM and suspected ACBM assumed to be ACM.
  - (e) Ensure that warning labels are posted in accordance with 763.95.
- (f) Ensure that management plans are available for inspection and notification of such availability has been provided as specified in the management plan under 763.93(g).
  - (g)(1) Designate a person to ensure that requirements under this section are properly implemented.
- (g)(2) Ensure that the designated person receives adequate training to perform duties assigned under this section. Such training shall provide, as necessary, basic knowledge of:
  - (g)(2)(i) Health effects of asbestos.
  - (g)(2)(ii) Detection, identification, and assessment of ACM.
  - (g)(2)(iii) Options for controlling ACBM.
  - (g)(2)(iv) Asbestos management programs.
- (g)(2)(v) Relevant Federal and State regulations concerning asbestos, including those in this subpart E and those of the Occupational Safety and Health Administration, U.S. Department of Labor, the U.S. Department of Transportation and the U.S. Environmental Protection Agency.
- (h) Consider whether any conflict of interest may arise from the interrelationship among accredited personnel and whether that should influence the selection of accredited personnel to perform activities under this subpart.

# 763.85 INSPECTION AND REINSPECTIONS.

#### (a) Inspection.

- (a)(1) Except as provided in paragraph (a)(2) of this section, before October 12, 1988, local education agencies shall inspect each school building that they lease, own, or otherwise use as a school building to identify all locations of friable and nonfriable ACBM.
- (a)(2) Any building leased or acquired on or after October 12, 1988, that is to be used as a school building shall be inspected as described under paragraphs (a) (3) and (4) of this section prior to use as a school building. In the event that emergency use of an uninspected building as a school building is necessitated, such buildings shall be inspected within 30 days after commencement of such use.
  - (a)(3) Each inspection shall be made by an accredited inspector.
- (a)(4) For each area of a school building, except as excluded under 763.99, each person performing an inspection shall:
  - (a)(4)(i) Visually inspect the area to identify the locations of all suspected ACBM.
  - (a)(4)(ii) Touch all suspected ACBM to determine whether they are friable.
- (a)(4)(iii) Identify all homogeneous areas of friable suspected ACBM and all homogeneous areas of nonfriable suspected ACBM.
- (a)(4(iv) Assume that some or all of the homogeneous areas are ACM, and, for each homogeneous area that is not assumed to be ACM, collect and submit for analysis bulk samples under 763.86 and 763.87.
- (a)(4)(v) Assess, under 763.88, friable material in areas where samples are collected, friable material in areas that are assumed to be ACBM, and friable ACBM identified during a previous inspection. TSI
- (a)(4)(vi) Record the following and submit to the person designated under 763.84 a copy of such record for inclusion in the management plan within 30 days of the inspection:
- (a)(4)(vi)(A) An inspection report with the date of the inspection signed by each accredited person making the inspection, State of accreditation, and if applicable, his or her accreditation number. Fed.
- (a)(4)(vi)(B) An inventory of the locations of the homogeneous areas where samples are collected, exact location where each bulk sample is collected, dates that samples are collected, homogeneous areas where friable suspected ACBM is assumed to be ACM, and homogeneous areas where nonfriable suspected ACBM is assumed to be ACM.
- (a)(4)(vi)(C) A description of the manner used to determine sampling locations, the name and signature of each accredited inspector who collected the samples, State of accreditation, and, if applicable, his or her accreditation number.
- (a)(4)(vi)(D) A list of whether the homogeneous areas identified under paragraph (a)(4)(vi)(B) of this section, are surfacing material, thermal system insulation, or miscellaneous material.
- (a)(4)(vi)(E) Assessments made of friable material, the name and signature of each accredited inspector making the assessment, State of accreditation, and if applicable, his or her accreditation number.

#### (b)Reinspection

- (b)(1) At least once every 3 years after a management plan is in effect, each local education agency shall conduct a reinspection of all friable and nonfriable known or assumed ACBM in each school building that they lease, own, or otherwise use as a school building.
  - (b)(2) Each inspection shall be made by an accredited inspector.

- .85 (b)(3) For each area of a school building, each person performing a reinspection shall: (b)(3)(i) Visually reinspect, and reassess, under 763.88, the condition of all friable known or assumed ACBM.
- (b)(3)(ii) Visually inspect material that was previously considered nonfriable ACBM and touch the material to determine whether it has become friable since the last inspection or reinspection.
- (b)(3)(iii) Identify any homogeneous areas with material that has become friable since the last inspection or reinspection.
- (b)(3)(iv) For each homogeneous area of newly friable material that is already assumed to be ACBM, bulk samples may be collected and submitted for analysis in accordance with 763.86 and 763.87.
- (b)(3)(v) Assess, under 763.88, the condition of the newly friable material in areas where samples are collected, and newly friable materials in areas that are assumed to be ACBM.
- (b)(3)(vi) Reassess, under 763.88, the condition of friable known or assumed ACBM previously identified.
- (b)(3)(vii) Record the following and submit to the person designated under 763.84 a copy of such record for inclusion in the management plan within 30 days of the reinspection:
- (b)(3)(vii)(A) The date of the reinspection, the name and signature of the person making the reinspection, State of accreditation, and if applicable, his or her accreditation number, and any changes in the condition of known or assumed ACBM.
- (b)(3)(vii)(B) The exact locations where samples are collected during the reinspection, a description of the manner used to determine sampling locations, the name and signature of each accredited inspector who collected the samples, State of accreditation, and, if applicable, his or her accreditation number.
- (b)(3)(vii)(C) Any assessments or reassessments made of friable material, the name and signature of the accredited inspector making the assessments, State of accreditation, and if applicable, his or her accreditation number.
- (c) General. Thermal system insulation that has retained its structural integrity and that has an undamaged protective jacket or wrap that prevents fiber release shall be treated as nonfriable and therefore is subject only to periodic surveillance and preventive measures as necessary.

#### 763.86 SAMPLING.

- (a) Surfacing material. An accredited inspector shall collect, in a statistically random manner that is representative of the homogeneous area, bulk samples from each homogeneous area of friable surfacing material that is not assumed to be ACM, and shall collect the samples as follows:
- (a)(1) At least three bulk samples shall be collected from each homogeneous area that is 1,000 ft<sup>2</sup> or less, except as provided in 763.87(c)(2).
- (a)(2) At least five bulk samples shall be collected from each homogeneous area that is greater than  $1,000 \text{ ft}^2$  but less than or equal to  $5,000 \text{ ft}^2$ , except as provided in 763.87(c)(2).
- (a)(3) At least seven bulk samples shall be collected from each homogeneous area that is greater than  $5,000 \text{ ft}^2$ , except as provided in 763.87(c)(2). (b)Thermal system insulation.
- (b)(1) Except as provided in paragraphs (b) (2) through (4) of this section and 763.87(c), an accredited inspector shall collect, in a randomly distributed manner, at least three bulk samples from each homogeneous area of thermal system insulation that is not assumed to be ACM.
- (b)(2) Collect at least one bulk sample from each homogeneous area of patched thermal system insulation that is not assumed to be ACM if the patched section is less than 6 linear or square feet.
- (b)(3) In a manner sufficient to determine whether the material is ACM or not ACM, collect bulk samples from each insulated mechanical system that is not assumed to be ACM where cement or plaster is used on fittings such as tees, elbows, or valves, except as provided under 763.87(c)(2).
- (b)(4) Bulk samples are not required to be collected from any homogeneous area where the accredited inspector has determined that the thermal system insulation is fiberglass, foam glass, rubber, or other non-ACBM.
- (c) Miscellaneous material. In a manner sufficient to determine whether material is ACM or not ACM, an accredited inspector shall collect bulk samples from each homogeneous area of friable miscellaneous material that is not assumed to be ACM.
- (d) Nonfriable suspected ACBM. If any homogeneous area of nonfriable suspected ACBM is not assumed to be ACM, then an accredited inspector shall collect, in a manner sufficient to determine whether the material is ACM or not ACM, bulk samples from the homogeneous area of nonfriable suspected ACBM that is not assumed to be ACM.

#### 763.87 ANALYSIS.

(a) Local education agencies shall have bulk samples, collected under 763.86 and submitted for analysis, analyzed for asbestos using laboratories accredited by the National Bureau of Standards (NBS).

Local education agencies shall use laboratories which have received interim accreditation for polarized light microscopy (PLM) analysis under the EPA Interim Asbestos Bulk Sample Analysis Quality Assurance Program until the NBS PLM laboratory accreditation program for PLM is operational. NIST >NVLAP

- (b) Bulk samples shall not be composited for analysis and shall be analyzed for asbestos content by PLM, using the "Interim Method for the Determination of Asbestos in Bulk Insulation Samples" found at Appendix A to subpart F in 40 CFR Part 763.
- (c)(1) A homogeneous area is considered not to contain ACM only if the results of all samples required to be collected from the area show asbestos in amounts of 1 percent or less.
- (c)(2) A homogeneous area shall be determined to contain ACM based on a finding that the results of at least one sample collected from that area shows that asbestos is present in an amount greater than 1 percent.
- (d) The name and address of each laboratory performing an analysis, the date of analysis, and the name and signature of the person performing the analysis shall be submitted to the person designated under 763.84 for inclusion into the management plan within 30 days of the analysis.

# 763.88 ASSESSMENT.

- (a)(1) For each inspection and reinspection conducted under 763.85 (a) and (c) and previous inspections specified under 763.99, the local education agency shall have an accredited inspector provide a written assessment of all friable known or assumed ACBM in the school building. & TSI
- (a)(2) Each accredited inspector providing a written assessment shall sign and date the assessment, provide his or her State of accreditation, and if applicable, accreditation number, and submit a copy of the assessment to the person designated under 763.84 for inclusion in the management plan within 30 days of the assessment.
- (b) The inspector shall classify and give reasons in the written assessment for classifying the ACBM and suspected ACBM assumed to be ACM in the school building into one of the following categories:
  - (b)(1) Damaged or significantly damaged thermal system insulation ACM.
  - (b)(2) Damaged friable surfacing ACM.
  - (b)(3) Significantly damaged friable surfacing ACM.
  - (b)(4) Damaged or significantly damaged friable miscellaneous ACM.
  - (b)(5) ACBM with potential for damage.
  - (b)(6) ACBM with potential for significant damage.
  - (b)(7) Any remaining friable ACBM or friable suspected ACBM.
  - (c) Assessment may include the following considerations:
- (c)(1) Location and the amount of the material, both in total quantity and as a percentage of the functional space.
  - (c)(2) Condition of the material, specifying:
- (c)(2)(i) Type of damage or significant damage (e.g., flaking, blistering, water damage, or other signs of physical damage).
- (c)(2)(ii) Severity of damage (e.g., major flaking, severely torn jackets, as opposed to occasional flaking, minor tears to jackets).
  - (c)(2)(iii) Extent or spread of damage over large areas or large percentages of the homogeneous area.
  - (c)(3) Whether the material is accessible.
  - (c)(4) The materials potential for disturbance.
- (c)(5) Known or suspected causes of damage or significant damage (e.g., air erosion, vandalism, vibration, water).
- (c)(6) Preventive measures which might eliminate the reasonable likelihood of undamaged ACM from becoming significantly damaged.
- (d) The local education agency shall select a person accredited to develop management plans to review the results of each inspection, reinspection, and assessment for the school building and to conduct any other necessary activities in order to recommend in writing to the local education agency appropriate response actions. The accredited person shall sign and date the recommendation, provide his or her State of accreditation, and, if applicable, provide his or her accreditation number, and submit a copy of the recommendation to the person designated under 763.84 for inclusion in the management plan.

#### 763.90 RESPONSE ACTIONS.

(a) The local education agency shall select and implement in a timely manner the appropriate response actions in this section consistent with the assessment conducted in 763.88. The response actions selected shall be sufficient to protect human health and the environment. The local education agency may then select, from the response actions which protect human health and the environment, that action which is

the least burdensome method. Nothing in this section shall be construed to prohibit removal of ACBM from a school building at any time, should removal be the preferred response action of the local education agency.

.90 RESPONSE ACTIONS.(cont)

- (b) If damaged or significantly damaged thermal system insulation ACM is present in a building, the local education agency shall:
  - (b)(1) At least repair the damaged area.
- (b)(2) Remove the damaged material if it is not feasible, due to technological factors, to repair the damage.
- (b)(3) Maintain all thermal system insulation ACM and its covering in an intact state and undamaged condition.
- (c)(1) If damaged friable surfacing ACM or damaged friable miscellaneous ACM is present in a building, the local education agency shall select from among the following response actions: encapsulation, enclosure, removal, or repair of the damaged material.
- (c)(2) In selecting the response action from among those which meet the definitional standards in 763.83, the local education agency shall determine which of these response actions protects human health and the environment. For purposes of determining which of these response actions are the least burdensome, the local education agency <u>may then</u> consider local circumstances, including occupancy and use patterns within the school building, and its economic concerns, including short- and long-term costs.
- (d) If significantly damaged friable surfacing ACM or significantly damaged friable miscellaneous ACM is present in a building the local education agency shall:
- (d)(1) Immediately isolate the functional space and restrict access, unless isolation is not necessary to protect human health and the environment.
- (d)(2) Remove the material in the functional space or, depending upon whether enclosure or encapsulation would be sufficient to protect human health and the environment, enclose or encapsulate.
- (e) If any friable surfacing ACM, thermal system insulation ACM, or friable miscellaneous ACM that has potential for damage is present in a building, the local education agency shall at least implement an operations and maintenance (O&M) program, as described under 763.91.
- (f) If any friable surfacing ACM, thermal system insulation ACM, or friable miscellaneous ACM that has potential for significant damage is present in a building, the local education agency shall:
  - (f)(1) Implement an O&M program, as described under 763.91.
- (f)(2) Institute preventive measures appropriate to eliminate the reasonable likelihood that the ACM or its covering will become significantly damaged, deteriorated, or delaminated.
- (f)(3) Remove the material as soon as possible if appropriate preventive measures cannot be effectively implemented, or unless other response actions are determined to protect human health and the environment. Immediately isolate the area and restrict access if necessary to avoid an imminent and substantial endangerment to human health or the environment.
- (g) Response actions including removal, encapsulation, enclosure, or repair, other than small-scale, short-duration repairs, shall be designed and conducted by persons accredited to design and conduct response actions.
- (h) The requirements of this subpart E in no way supersede the worker protection and work practice requirements under 29 CFR 1926.58 .1101 (Occupational Safety and Health Administration (OSHA) asbestos worker protection standards for construction), 40 CFR Part 763, subpart G (EPA asbestos worker protection standards for public employees), and 40 CFR Part 61, subpart M (National Emission Standards for Hazardous Air Pollutants Asbestos).
  - (i)Completion of response actions.
- (i)(1) At the conclusion of any action to remove, encapsulate, or enclose ACBM or material assumed to be ACBM, a person designated by the local education agency shall visually inspect each functional space where such action was conducted to determine whether the action has been properly completed.
- (i)(2)(i) A person designated by the local education agency shall collect air samples using aggressive sampling as described in Appendix A to this subpart E to monitor air for clearance after each removal, encapsulation, and enclosure project involving ACBM, except for projects that are of small-scale, short-duration.
- (i)(2)(ii) Local education agencies shall have air samples collected under this section analyzed for asbestos using laboratories accredited by the National Bureau of Standards to conduct such analysis using transmission electron microscopy (TEM) or, under circumstances permitted in this section, laboratories enrolled in the American Industrial Hygiene Association Proficiency Analytical Testing Program for phase contrast microscopy (PCM).
- (i)(2)(iii) Until the National Bureau of Standards TEM laboratory accreditation program is operational, local educational agencies shall use laboratories that use the protocol described in Appendix A to subpart E of this part.

#### .90 RESPONSE ACTIONS.(cont)

- (i)(3) Except as provided in paragraphs (i) (4), (5), (6), or (7) of this section, an action to remove, encapsulate, or enclose ACBM shall be considered complete when the average concentration of asbestos of five air samples collected within the affected functional space and analyzed by the TEM method in Appendix A of this subpart E, is not statistically significantly different, as determined by the Z-test calculation found in Appendix A of this subpart E, from the average asbestos concentration of five air samples collected at the same time outside the affected functional space and analyzed in the same manner, and the average asbestos concentration of the three field blanks described in Appendix A of this subpart E is below the filter background level, as defined in Appendix A of this subpart E, of 70 structures per square millimeter (70 s/mm <sup>3</sup>).
- (i)(4) An action may also be considered complete if the volume of air drawn for each of the five samples collected within the affected functional space is equal to or greater than 1,199 L of air for a 25 mm filter or equal to or greater than 2,799 L of air for a 37 mm filter, and the average concentration of asbestos as analyzed by the TEM method in Appendix A of this subpart E, for the five air samples does not exceed the filter background level, as defined in Appendix A, of 70 structures per square millimeter (70 s/mm²). If the average concentration of asbestos of the five air samples within the affected functional space exceeds 70 s/mm², or if the volume of air in each of the samples is less than 1, 199 L of air for a 25 mm filter or less than 2,799 L of air for a 37 mm filter, the action shall be considered complete only when the requirements of paragraph (i) (3), (5), (6), or (7) of this section are met.
- (i)(5) At any time, a local education agency may analyze air monitoring samples collected for clearance purposes by phase contrast microscopy (PCM) to confirm completion of removal, encapsulation, or enclosure of ACBM that is greater than small-scale, short-duration and less than or equal to 160 square feet or 260 linear feet. The action shall be considered complete when the results of samples collected in the affected functional space and analyzed by phase contrast microscopy using the National Institute for Occupational Safety and Health (NIOSH) Method 7400 entitled "Fibers" published in the NIOSH Manual of Analytical Methods, 3<sup>rd</sup> Edition, Second Supplement, August 1987, show that the concentration of fibers for each of the five samples is less than or equal to a limit of quantitation for PCM (0.01 fibers per cubic centimeter (0.01 f/cm³) of air). The method is available for public inspection at the Office of the FEDERAL REGISTER, 11th and L St., NW., Room 8401, Washington, DC, 20408, and the EPA OPPTS Reading Room, Rm. G004 Northeast Mall, 401 M St., SW., Washington, DC 20460. This incorporation by reference was approved by the Director of the FEDERAL REGISTER in accordance with 5 U.S.C. 552(a) and 1 CFR Part 51. The method is incorporated as it exists on the effective date of this rule, and a notice of any change to the method will be published in the FEDERAL REGISTER.
- (i)(6) Until October 7, 1989, a local education agency may analyze air monitoring samples collected for clearance purposes by PCM to confirm completion of removal, encapsulation, or enclosure of ACBM that is less than or equal to 3,000 square feet or 1,000 linear feet. The action shall be considered complete when the results of samples collected in the affected functional space and analyzed by PCM using the NIOSH Method 7400 entitled "Fibers" published in the NIOSH Manual of Analytical Methods, 3rd Edition, Second Supplement, August 1987, show that the concentration of fibers for each of the five samples is less than or equal to a limit quantitation for PCM (0.01 fibers per cubic centimeter, 0.01 f/cm³). The method is available for public inspection at the Office of the FEDERAL REGISTER, 11th and L St., NW., Room 8401, Washington, DC 20408, and in the EPA OPPTS Reading Room, Rm. G004 Northeast Mall, 401 M St., SW., Washington, DC 20460. This incorporation by reference was approved by the Director of the FEDERAL REGISTER in accordance with 5 U.S.C. 552(a) and 1 CFR Part 51. The method is incorporated as it exists on the effective date of this rule and a notice of any change to the method will be published in the FEDERAL REGISTER.
- (i)(7) From October 8, 1989, to October 7, 1990, a local education agency may analyze air monitoring samples collected for clearance purposes by PCM to confirm completion of removal, encapsulation, or enclosure of ACBM that is less than or equal to 1,500 square feet or 500 linear feet. The action shall be considered complete when the results of samples collected in the affected functional space and analyzed by PCM using the NIOSH Method 7400 entitled "Fibers" published in the NIOSH Manual of Analytical Methods, 3rd Edition, Second Supplement, August 1987, show that the concentration of fibers for each of the five samples is less than or equal to a limit of quantitation for PCM (0.01 fibers per cubic centimeter, 0.01 f/cm³). The method is available for public inspection at the Office of the FEDERAL REGISTER, 11th and L St., NW., Room 8401, Washington, DC 20408, and in the EPA OPPTS Reading Room, Rm. G004 Northeast Mall, 401 M St., SW., Washington, DC 20460. This incorporation by reference was approved by the Director of the FEDERAL REGISTER in accordance with 5 U.S.C. 552(a) and 1 CFR Part 51. The method is incorporated as it exists on the effective date of this rule and a notice of any change to the method will be published in the FEDERAL REGISTER.
- (i)(8) To determine the amount of ACBM affected under paragraphs (i) (5), (6), and (7) of this section, the local education agency shall add the total square or linear footage of ACBM within the

containment barriers used to isolate the functional space for the action to remove, encapsulate, or enclose the ACBM. Contiguous portions of material subject to such action conducted concurrently or at approximately the same time within the same school building shall not be separated to qualify under paragraphs (i) (5), (6), or (7) of this section. [52 FR 41846, Oct. 30, 1987, as amended at 53 FR 12525, Apr. 15, 1988]

#### 763.91 OPERATIONS AND MAINTENANCE.

- (a) Applicability. The local education agency shall implement an operations, maintenance, and repair (O&M) program under this section whenever any friable ACBM is present or assumed to be present in a building that it leases, owns, or otherwise uses as a school building. Any material identified as nonfriable ACBM or nonfriable assumed ACBM must be treated as friable ACBM for purposes of this section when the material is about to become friable as a result of activities performed in the school building.
- (b) Worker protection. The protection provided by EPA at 40 CFR 763.121 for worker protection during asbestos abatement projects is extended to employees of local education agencies who perform operations, maintenance, and repair (O&M) activities involving ACM and who are not covered by the OSHA asbestos construction standard at 29 CFR 1926.58 or an asbestos worker approved by OSHA under section 19 of the Occupational Safety and Health Act. Local education agencies may consult Appendix B of this subpart if their employees are performing operations, maintenance, and repair activities that are of smallscale, short-duration.
- (c)Cleaning
- (c)(1) Initial cleaning. Unless the building has been cleaned using equivalent methods within the previous 6 months, all areas of a school building where friable ACBM, damaged or significantly damaged thermal system insulation ACM, or friable suspected ACBM assumed to be ACM are present shall be cleaned at least once after the completion of the inspection required by 763.85(a) and before the initiation of any response action, other than O&M activities or repair, according to the following procedures:
  - (c)(1)(i) HEPA-vacuum or steam-clean all carpets.
  - (c)(1)(ii) HEPA-vacuum or wet-clean all other floors and all other horizontal surfaces.
  - (c)(1)(iii) Dispose of all debris, filters, mopheads, and cloths in sealed, leak-tight containers.
- (c)(2) Additional cleaning. The accredited management planner shall make a written recommendation to the local education agency whether additional cleaning is needed, and if so, the methods and frequency of such cleaning.
- (d) Operations and maintenance activities. The local education agency shall ensure that the procedures described below to protect building occupants shall be followed for any operations and maintenance activities disturbing friable ACBM:
- (d)(1) Restrict entry into the area by persons other than those necessary to perform the maintenance project, either by physically isolating the area or by scheduling.
  - (d)(2) Post signs to prevent entry by unauthorized persons.
- (d)(3) Shut off or temporarily modify the air-handling system and restrict other sources of air movement. Cover air grills.
- (d)(4) Use work practices or other controls, such as, wet methods, protective clothing, HEPAvacuums, mini-enclosures, glove bags, as necessary to inhibit the spread of any released fibers.
  - (d)(5) Clean all fixtures or other components in the immediate work area.
  - (d)(6) Place the asbestos debris and other cleaning materials in a sealed, leak-tight container.
- (e) Maintenance activities other than small-scale, short-duration. The response action for any maintenance activities disturbing friable ACBM, other than small-scale, short-duration maintenance activities, shall be designed by persons accredited to design response actions and conducted by persons accredited to conduct response actions.
  - (f) Fiber release episodes
- (f)(1) Minor fiber release episode. The local education agency shall ensure that the procedures described below are followed in the event of a minor fiber release episode (i.e., the falling or dislodging of 3 square or linear feet or less of friable ACBM):
  - (f)(1)(i) Thoroughly saturate the debris using wet methods.
  - (f)(1)(ii) Clean the area, as described in paragraph (e) of this section.
  - (f)(1)(iii) Place the asbestos debris in a sealed, leak-tight container. OSHA (g)(1)(iii)
- (f)(1)(iv) Repair the area of damaged ACM with materials such as asbestos-free spackling, plaster, cement, or insulation, or seal with latex paint or an encapsulant, or immediately have the appropriate response action implemented as required by 763.90.
- (2) Major fiber release episode. The local education agency shall ensure that the procedures described below are followed in the event of a major fiber release episode (i.e., the falling or dislodging of more than 3 square or linear feet of friable ACBM):

- (2)(i) Restrict entry into the area and post signs to prevent entry into the area by persons other than those necessary to perform the response action.
- (2)(ii) Shut off or temporarily modify the air-handling system to prevent the distribution of fibers to other areas in the building.
- (2)(iii) The response action for any major fiber release episode must be designed by persons accredited to design response actions and conducted by persons accredited to conduct response actions.

# 763.92 TRAINING AND PERIODIC SURVEILLANCE. (a)Training.

- (a)(1) The local education agency shall ensure, prior to the implementation of the O&M provisions of the management plan, that all members of its maintenance and custodial staff (custodians, electricians, heating/air conditioning engineers, plumbers, etc.) who may work in a building that contains ACBM receive awareness training of at least 2 hours, whether or not they are required to work with ACBM. New custodial and maintenance employees shall be trained within 60 days after commencement of employment. Training shall include, but not be limited to:
  - (a)(1)(i) Information regarding asbestos and its various uses and forms.
  - (a)(1)(ii) Information on the health effects associated with asbestos exposure.
  - (a)(1)(iii) Locations of ACBM identified throughout each school building in which they work.
  - (a)(1)(iv) Recognition of damage, deterioration, and delamination of ACBM.
- (a)(1)(v) Name and telephone number of the person designated to carry out general local education agency responsibilities under 763.84 and the availability and location of the management plan.
- (a)(2) The local education agency shall ensure that all members of its maintenance and custodial staff who conduct any activities that will result in the disturbance of ACBM shall receive training described in paragraph (a)(1) of this section and 14 hours of additional training. Additional training shall include, but not be limited to:
  - (a)(2)(i) Descriptions of the proper methods of handling ACBM.
- (a)(2)(ii) Information on the use of respiratory protection as contained in the EPA/NIOSH Guide to Respiratory Protection for the Asbestos Abatement Industry, September 1986 (EPA 560/OPPTS-86-001), available from Environmental Assistance Division (TS-799), Office of Pollution Prevention and Toxics, Environmental Protection Agency, Rm. E-543, 401 M St. SW., Washington, DC 20460, and other personal protection measures.
- (a)(2)(iii) The provisions of this section and 763.91, Appendices A, B, C, D of this subpart E of this part, EPA regulations contained in 40 CFR Part 763, subpart G, and in 40 CFR Part 61, subpart M, and OSHA regulations contained in 29 CFR 1926.58.
- $(\bar{a})(2)(iv)$  Hands-on training in the use of respiratory protection, other personal protection measures, and good work practices.
- (a)(3) Local education agency maintenance and custodial staff who have attended EPA-approved asbestos training or received equivalent training for O&M and periodic surveillance activities involving asbestos shall be considered trained for the purposes of this section.
  - (b) Periodic surveillance.
- (b)(1) At least once every 6 months after a management plan is in effect, each local education agency shall conduct periodic surveillance in each building that it leases, owns, or otherwise uses as a school building that contains ACBM or is assumed to contain ACBM.
  - (b)(2) Each person performing periodic surveillance shall:
- (b)(2)(i) Visually inspect all areas that are identified in the management plan as ACBM or assumed ACBM.
- (b)(2)(ii) Record the date of the surveillance, his or her name, and any changes in the condition of the materials.
- (b)(2)(iii) Submit to the person designated to carry out general local education agency responsibilities under 763.84 a copy of such record for inclusion in the management plan.

# 763.93 MANAGEMENT PLANS.

- (a)(1) On or before October 12, 1988, each local education agency shall develop an asbestos management plan for each school, including all buildings that they lease, own, or otherwise use as school buildings, and submit the plan to an Agency designated by the Governor of the State in which the local education agency is located. The plan may be submitted in stages that cover a portion of the school buildings under the authority of the local education agency.
- (a)(2) If a building to be used as part of a school is leased or otherwise acquired after October 12, 1988, the local education agency shall include the new building in the management plan for the school prior to its use as a school building. The revised portions of the management plan shall be submitted to the Agency designated by the Governor.

#### 763.93 MANAGEMENT PLANS (cont)

- (a)(3) If a local education agency begins to use a building as a school after October 12, 1988, the local education agency shall submit a management plan for the school to the Agency designated by the Governor prior to its use as a school.
- (b) On or before October 17, 1987, the Governor of each State shall notify local education agencies in the State regarding where to submit their management plans. States may establish administrative procedures for reviewing management plans. If the Governor does not disapprove a management plan within 90 days after receipt of the plan, the local education agency shall implement the plan.
- (c) Each local education agency must begin implementation of its management plan on or before July 9, 1989, and complete implementation in a timely fashion.
- (d) Each local education agency shall maintain and update its management plan to keep it current with ongoing operations and maintenance, periodic surveillance, inspection, reinspection, and response action activities. All provisions required to be included in the management plan under this section shall be retained as part of the management plan, as well as any information that has been revised to bring the plan up-to-date.
- (e) The management plan shall be developed by an accredited management planner and shall include:
- (e)(1) A list of the name and address of each school building and whether the school building contains friable ACBM, nonfriable ACBM, and friable and nonfriable suspected ACBM assumed to be ACM.
  - (e)(2) For each inspection conducted before the December 14, 1987:
  - (e)(2)(i) The date of the inspection.
- (e)(2)(ii) A blueprint, diagram, or written description of each school building that identifies clearly each location and approximate square or linear footage of any homogeneous or sampling area where material was sampled for ACM, and, if possible, the exact locations where bulk samples were collected, and the dates of collection.
- (e)(2)(iii) A copy of the analyses of any bulk samples, dates of analyses, and a copy of any other laboratory reports pertaining to the analyses.
- (e)(2)(iv) A description of any response actions or preventive measures taken to reduce asbestos exposure, including if possible, the names and addresses of all contractors involved, start and completion dates of the work, and results of any air samples analyzed during and upon completion of the work.
- (e)(2)(v) A description of assessments, required to be made under 763.88, of material that was identified before December 14, 1987, as friable ACBM or friable suspected ACBM assumed to be ACM, and the name and signature, State of accreditation, and if applicable, accreditation number of each accredited person making the assessments.
  - (e)(3) For each inspection and reinspection conducted under 763.85: AHERA
- (e)(3)(i) The date of the inspection or reinspection and the name and signature, State of accreditation and, if applicable, the accreditation number of each accredited inspector performing the inspection or reinspection.
- (e)(3)(ii) A blueprint, diagram, or written description of each school building that identifies clearly each location and approximate square or linear footage of homogeneous areas where material was sampled for ACM, the exact location where each bulk sample was collected, date of collection, homogeneous areas where friable suspected ACBM is assumed to be ACM, and where nonfriable suspected ACBM is assumed to be ACM.
- (e)(3)(iii) A description of the manner used to determine sampling locations, and the name and signature of each accredited inspector collecting samples, the State of accreditation, and if applicable, his or her accreditation number.
- (e)(3)(iv) A copy of the analyses of any bulk samples collected and analyzed, the name and address of any laboratory that analyzed bulk samples, a statement that the laboratory meets the applicable requirements of 763.87(a) the date of analysis, and the name and signature of the person performing the analysis.
- (e)(3)(v) A description of assessments, required to be made under 763.88, of all ACBM and suspected ACBM assumed to be ACM, and the name, signature, State of accreditation, and if applicable, accreditation number of each accredited person making the assessments.
- (e)(4) The name, address, and telephone number of the person designated under 763.84 to ensure that the duties of the local education agency are carried out, and the course name, and dates and hours of training taken by that person to carry out the duties.
- (e)(5) The recommendations made to the local education agency regarding response actions, under 763.88(d), the name, signature, State of accreditation of each person making the recommendations, and if applicable, his or her accreditation number.
- (e)(6) A detailed description of preventive measures and response actions to be taken, including methods to be used, for any friable ACBM, the locations where such measures and action will be taken,

reasons for selecting the response action or preventive measure, and a schedule for beginning and completing each preventive measure and response action.

# 763.93 MANAGEMENT PLANS (cont)

- (e)(7) With respect to the person or persons who inspected for ACBM and who will design or carry out response actions, except for operations and maintenance, with respect to the ACBM, one of the following statements:
- (e)(7)(i) If the State has adopted a contractor accreditation program under section 206(b) of Title II of the Act, a statement that the person(s) is accredited under such plan.
- (e)(7)(ii) A statement that the local education agency used (or will use) persons who have been accredited by another State which has adopted a contractor accreditation plan under section 206(b) of Title II of the Act or is accredited by an EPA-approved course under section 206(c) of Title II of the Act.
- (e)(8) A detailed description in the form of a blueprint, diagram, or in writing of any ACBM or suspected ACBM assumed to be ACM which remains in the school once response actions are undertaken pursuant to 763.90. This description shall be updated as response actions are completed.
- (e)(9) A plan for reinspection under 763.85, a plan for operations and maintenance activities under 763.91, and a plan for periodic surveillance under 763.92, a description of the recommendation made by the management planner regarding additional cleaning under 763.91(c)(2) as part of an operations and maintenance program, and the response of the local education agency to that recommendation.
- (e)(10) A description of steps taken to inform workers and building occupants, or their legal guardians, about inspections, reinspections, response actions, and post-response action activities, including periodic reinspection and surveillance activities that are planned or in progress.
- (e)(11) An evaluation of the resources needed to complete response actions successfully and carry out reinspection, operations and maintenance activities, periodic surveillance and training.
- (e)(12) With respect to each consultant who contributed to the management plan, the name of the consultant and one of the following statements:
- (e)(12)(i) If the State has adopted a contractor accreditation plan under section 206(b) of Title II of the Act, a statement that the consultant is accredited under such plan.
- (e)(12)(ii) A statement that the contractor is accredited by another State which has adopted a contractor accreditation plan under section 206(b) of Title II of the Act, or is accredited by an EPA-approved course developed under section 206(c) of Title II of the Act.
- (f) A local education agency may require each management plan to contain a statement signed by an accredited management plan developer that such person has prepared or assisted in the preparation of such plan or has reviewed such plan, and that such plan is in compliance with this subpart E. Such statement may not be signed by a person who, in addition to preparing or assisting in preparing the management plan, also implements (or will implement) the management plan. QA/QC (3<sup>rd</sup> party)
- (g)(1) Upon submission of a management plan to the Governor for review, a local education agency shall keep a copy of the plan in its administrative office. The management plans shall be available, without cost or restriction, for inspection by representatives of EPA and the State, the public, including teachers, other school personnel and their representatives, and parents. The local education agency may charge a reasonable cost to make copies of management plans. (5 days)
- (g)(2) Each local education agency shall maintain in its administrative office a complete, updated copy of a management plan for each school under its administrative control or direction. The management plans shall be available, during normal business hours, without cost or restriction, for inspection by representatives of EPA and the State, the public, including teachers, other school personnel and their representatives, and parents. The local education agency may charge a reasonable cost to make copies of management plans.
- (g)(3) Each school shall maintain in its administrative office a complete, updated copy of the management plan for that school. Management plans shall be available for inspection, without cost or restriction, to workers before work begins in any area of a school building. The school shall make management plans available for inspection to representatives of EPA and the State, the public, including parents, teachers, and other school personnel and their representatives within 5 working days after receiving a request for inspection. The school may charge a reasonable cost to make copies of the management plan.
- (g)(4) Upon submission of its management plan to the Governor and at least once each school year, the local education agency shall notify in writing parent, teacher, and employee organizations of the availability of management plans and shall include in the management plan a description of the steps taken to notify such organizations, and a dated copy of the notification. In the absence of any such organizations for parents, teachers, or employees, the local education agency shall provide written notice to that relevant group of the availability of management plans and shall include in the management plan a description of the steps taken to notify such groups, and a dated copy of the notification.
- (h) Records required under 763.94 shall be made by local education agencies and maintained as part of the management plan.



(h)(i) Each management plan must contain a true and correct statement, signed by the individual designated by the local education agency under 763.84, which certifies that the general, local education agency responsibilities, as stipulated by 763.84, have been met or will be met.

### 763.94 RECORDKEEPING.

- (a) Records required under this section shall be maintained in a centralized location in the administrative office of both the school and the local education agency as part of the management plan. For each homogeneous area where all ACBM has been removed, the local education agency shall ensure that such records are retained for 3 years after the next reinspection required under 763.85(b)(1), or for an equivalent period. Life of the building.
- (b) For each preventive measure and response action taken for friable and nonfriable ACBM and friable and nonfriable suspected ACBM assumed to be ACM, the local education agency shall provide:
- (b)(1) A detailed written description of the measure or action, including methods used, the location where the measure or action was taken, reasons for selecting the measure or action, start and completion dates of the work, names and addresses of all contractors involved, and if applicable, their State of accreditation, and accreditation numbers, and if ACBM is removed, the name and location of storage or disposal site of the ACM. Contractor
- (b)(2) The name and signature of any person collecting any air sample required to be collected at the completion of certain response actions specified by 763.90(i), the locations where samples were collected, date of collection, the name and address of the laboratory analyzing the samples, the date of analysis, the results of the analysis, the method of analysis, the name and signature of the person performing the analysis, and a statement that the laboratory meets the applicable requirements of 763.90(i)(2)(ii). Consultant
- .94 (c) For each person required to be trained under 763.92(a) (1) and (2), the local education agency shall provide the persons name and job title, the date that training was completed by that person, the location of the training, and the number of hours completed in such training.
- (d) For each time that periodic surveillance under 763.92(b) is performed, the local education agency shall record the name of each person performing the surveillance, the date of the surveillance, and any changes in the conditions of the materials.
- (e) For each time that cleaning under 763.91(c) is performed, the local education agency shall record the name of each person performing the cleaning, the date of such cleaning, the locations cleaned, and the methods used to perform such cleaning.
- (f) For each time that operations and maintenance activities under 763.91(d) are performed, the local education agency shall record the name of each person performing the activity, the start and completion dates of the activity, the locations where such activity occurred, a description of the activity including preventive measures used, and if ACBM is removed, the name and location of storage or disposal site of the ACM.
- (g) For each time that major asbestos activity under 763.91(e) is performed, the local education agency shall provide the name and signature, State of accreditation, and if applicable, the accreditation number of each person performing the activity, the start and completion dates of the activity, the locations where such activity occurred, a description of the activity including preventive measures used, and if ACBM is removed, the name and location of storage or disposal site of the ACM.
- (h) For each fiber release episode under 763.91(f), the local education agency shall provide the date and location of the episode, the method of repair, preventive measures or response action taken, the name of each person performing the work, and if ACBM is removed, the name and location of storage or disposal site of the ACM.

(Approved by the Office of Management and Budget under control number 2070-0091)

#### 763.95 WARNING LABELS.

- (a) The local education agency shall attach a warning label immediately adjacent to any friable and nonfriable ACBM and suspected ACBM assumed to be ACM located in routine maintenance areas (such as boiler rooms) at each school building. This shall include:
  - (a)(1) Friable ACBM that was responded to by a means other than removal.
  - (a)(2) ACBM for which no response action was carried out.
- (b) All labels shall be prominently displayed in readily visible locations and shall remain posted until the ACBM that is labeled is removed.
- (c) The warning label shall read, in print which is readily visible because of large size or bright color, as follows:

CAUTION: ASBESTOS. HAZARDOUS. DO NOT DISTURB WITHOUT PROPER RAINING AND EQUIPMENT.

#### 763.97 COMPLIANCE AND ENFORCEMENT.

- (a) Compliance with Title II of the Act. (1) Section 207(a) of Title II of the Act (15 U.S.C. 2647) makes it unlawful for any local education agency to:
- (a)(i) Fail to conduct inspections pursuant to section 203(b) of Title II of the Act, including failure to follow procedures and failure to use accredited personnel and laboratories.
- (a)(ii) Knowingly submit false information to the Governor regarding any inspection pursuant to regulations under section 203(i) of Title II of the Act.
- (a)(iii) Fail to develop a management plan pursuant to regulations under section 203(i) of Title II of the Act. (2) Section 207(a) of Title II of the Act (15 U.S.C. 2647) also provides that any local education agency which violates any provision of section 207 shall be liable for a civil penalty of not more than \$5,000 for each day during which the violation continues. For the purposes of this subpart, a "violation" means a failure to comply with respect to a single school building.

  (b) Compliance with Title I of the Act.
- (b)(1) Section 15(1)(D) of Title I of the Act (15 U.S.C. 2614) makes it unlawful for any person to fail or refuse to comply with any requirement of Title II or any rule promulgated or order issued under Title II. Therefore, any person who violates any requirement of this subpart is in violation of section 15 of Title I of the Act.
- (b)(2) Section 15(3) of Title I of the Act (15 U.S.C. 2614) makes it unlawful for any person to fail or refuse to establish or maintain records, submit reports, notices or other information, or permit access to or copying of records, as required by this Act or a rule thereunder.
- (b)(3) Section 15(4) (15 U.S.C. 2614) of Title I of the Act makes it unlawful for any person to fail or refuse to permit entry or inspection as required by section 11 of Title I of the Act.
- (b)(4) Section 16(a) of Title I of the Act (15 U.S.C. 2615) provides that any person who violates any provision of section 15 of Title I of the Act shall be liable to the United States for a civil penalty in an amount not to exceed \$25,000 for each such violation. Each day such a violation continues shall, for purposes of this paragraph, constitute a separate violation of section 15. A local education agency is not liable for any civil penalty under Title I of the Act for failing or refusing to comply with any rule promulgated or order issued under Title II of the Act.
- (c) Criminal penalties. If any violation committed by any person (including a local education agency) is knowing or willful, criminal penalties may be assessed under section 16(b) of Title I of the Act.
- (d) Injunctive relief. The Agency may obtain injunctive relief under section 208(b) of Title II of the Act to respond to a hazard which poses an imminent and substantial endangerment to human health or the environment or section 17 (15 U.S.C. 2616) of Title I of the Act to restrain any violation of section 15 of Title I of the Act or to compel the taking of any action required by or under Title I of the Act.
- (e) Citizen complaints. Any citizen who wishes to file a complaint pursuant to section 207(d) of Title II of the Act should direct the complaint to the Governor of the State or the EPA Asbestos Ombudsman, 401 M Street, SW., Washington, DC 20460. The citizen complaint should be in writing and identified as a citizen complaint pursuant to section 207(d) of Title II of TSCA. The EPA Asbestos Ombudsman or the Governor shall investigate and respond to the complaint within a reasonable period of time if the allegations provide a reasonable basis to believe that a violation of the Act has occurred.
- (f) Inspections. EPA may conduct inspections and review management plans under section 11 of Title I of the Act (15 U.S.C. 2610) to ensure compliance.

# 763.98 WAIVER; DELEGATION TO STATE.

#### (a)General.

- (a)(1) Upon request from a State Governor and after notice and comment and an opportunity for a public hearing in accordance with paragraphs (b) and (c) of this section, EPA may waive some or all of the requirements of this subpart E if the State has established and is implementing or intends to implement a program of asbestos inspection and management that contains requirements that are at least as stringent as the requirements of this subpart E.
- (a)(2) A waiver from any requirement of this subpart E shall apply only to the specific provision for which a waiver has been granted under this section. All requirements of this subpart E shall apply until a waiver is granted under this section.
- (b) Request. Each request by a Governor to waive any requirement of this subpart E shall be sent with three complete copies of the request to the Regional Administrator for the EPA Region in which the State is located and shall include:
- (b)(1) A copy of the State provisions or proposed provisions relating to its program of asbestos inspection and management in schools for which the request is made.



# 763.98 WAIVER; DELEGATION TO STATE (cont)

- (b)(2)(i) The name of the State agency that is or will be responsible for administering and enforcing the requirements for which a waiver is requested, the names and job titles of responsible officials in that agency, and phone numbers where the officials can be contacted.
- (b)(2)(ii) In the event that more than one agency is or will be responsible for administering and enforcing the requirements for which a waiver is requested, a description of the functions to be performed by each agency, how the program will be coordinated by the lead agency to ensure consistency and effective administration in the asbestos inspection and management program within the State, the names and job titles of responsible officials in the agencies, and phone numbers where the officials can be contacted. The lead agency will serve as the central contact point for the EPA.
- (b)(3) Detailed reasons, supporting papers, and the rationale for concluding that the States asbestos inspection and management program provisions for which the request is made are at least as stringent as the requirements of this subpart E.
- (b)(4) A discussion of any special situations, problems, and needs pertaining to the waiver request accompanied by an explanation of how the State intends to handle them.
- (b)(5) A statement of the resources that the State intends to devote to the administration and enforcement of the provisions relating to the waiver request.
- (b)(6) Copies of any specific or enabling State laws (enacted and pending enactment) and regulations (promulgated and pending promulgation) relating to the request, including provisions for assessing criminal and/or civil penalties.
- (b)(7) Assurance from the Governor, the Attorney General, or the legal counsel of the lead agency that the lead agency or other cooperating agencies have the legal authority necessary to carry out the requirements relating to the request.
  - (c) General notice hearing.
- (c)(1) Within 30 days after receipt of a request for a waiver, EPA will determine the completeness of the request. If EPA does not request further information within the 30-day period, the request will be deemed complete.
- (c)(2) Within 30 days after EPA determines that a request is complete, EPA will issue for publication in the FEDERAL REGISTER a notice that announces receipt of the request, describes the information submitted under paragraph (b) of this section, and solicits written comment from interested members of the public. Comments must be submitted within 60 days.
- (c)(3) If, during the comment period, EPA receives a written objection to a Governors request and a request for a public hearing detailing specific objections to the granting of a waiver, EPA will schedule a public hearing to be held in the affected State after the close of the comment period and will announce the public hearing date in the FEDERAL REGISTER before the date of the hearing. Each comment shall include the name and address of the person submitting the comment.
  - (d) Criteria. EPA may waive some or all of the requirements of subpart E of this part if:
- (d)(1) The States lead agency and other cooperating agencies have the legal authority necessary to carry out the provisions of asbestos inspection and management in schools relating to the waiver request.
- (d)(2) The States program of asbestos inspection and management in schools relating to the waiver request and implementation of the program are or will be at least as stringent as the requirements of this subpart E.
- (d)(3) The State has an enforcement mechanism to allow it to implement the program described in the waiver request.
- (d)(4) The lead agency and any cooperating agencies have or will have qualified personnel to carry out the provisions relating to the waiver request.
- (d)(5) The State will devote adequate resources to the administration and enforcement of the asbestos inspection and management provisions relating to the waiver request.
- (d)(6) When specified by EPA, the State gives satisfactory assurances that necessary steps, including specific actions it proposes to take and a time schedule for their accomplishment, will be taken within a reasonable time to conform with applicable criteria under paragraphs (d) (2) through (4) of this section.
- (e) Decision. EPA will issue for publication in the FEDERAL REGISTER a notice announcing its decision to grant or deny, in whole or in part, a Governors request for a waiver from some or all of the requirements of this subpart E within 30 days after the close of the comment period or within 30 days following a public hearing, whichever is applicable. The notice will include the Agencys reasons and rationale for granting or denying the Governors request. The 30-day period may be extended if mutually agreed upon by EPA and the State.
- (f) Modifications. When any substantial change is made in the administration or enforcement of a State program for which a waiver was granted under this section, a responsible official in the lead agency shall submit such changes to EPA.

#### 763.98 WAIVER; DELEGATION TO STATE (cont)

- (g) Reports. The lead agency in each State that has been granted a waiver by EPA from any requirement of subpart E of this part shall submit a report to the Regional Administrator for the Region in which the State is located at least once every 12 months to include the following information:
- (g)(1) A summary of the States implementation and enforcement activities during the last reporting period relating to provisions waived under this section, including enforcement actions taken.
- (g)(2) Any changes in the administration or enforcement of the State program implemented during the last reporting period.
- (g)(3) Other reports as may be required by EPA to carry out effective oversight of any requirement of this subpart E that was waived under this section.
- (h) Oversight. EPA may periodically evaluate the adequacy of a States implementation and enforcement of and resources devoted to carrying out requirements relating to the waiver. This evaluation may include; but is not limited to, site visits to local education agencies without prior notice to the State.

(h)(i) Informal conference.

- (h)(i)(1) EPA may request that an informal conference be held between appropriate State and EPA officials when EPA has reason to believe that a State has failed to:
  - (h)(i)(1)(i) Substantially comply with the terms of any provision that was waived under this section.
- (h)(i)(1)(ii) Meet the criteria under paragraph (d) of this section, including the failure to carry out enforcement activities or act on violations of the State program.

(h)(i)(2) EPA will:

- (h)(i)(2)(i) Specify to the State those aspects of the States program believed to be inadequate.
- (h)(i)(2)(ii) Specify to the State the facts that underlie the belief of inadequacy.
- (h)(i)(3) If EPA finds, on the basis of information submitted by the State at the conference, that deficiencies did not exist or were corrected by the State, no further action is required.
- (h)(i)(2)(4) Where EPA finds that deficiencies in the State program exist, a plan to correct the deficiencies shall be negotiated between the State and EPA. The plan shall detail the deficiencies found in the State program, specify the steps the State has taken or will take to remedy the deficiencies, and establish a schedule for each remedial action to be initiated.
- (j)(1) If the State fails to meet with EPA or fails to correct deficiencies raised at the informal conference, EPA will deliver to the Governor of the State and a responsible official in the lead agency a written notice of its intent to rescind, in whole or part, the waiver.
- (j)(2) EPA will issue for publication in the FEDERAL REGISTER a notice that announces the rescission of the waiver, describes those aspects of the States program determined to be inadequate, and specifies the facts that underlie the findings of inadequacy.

#### 763.99 EXCLUSIONS.

- (a) A local education agency shall not be required to perform an inspection under 763.85(a) in any sampling area as defined in 40 CFR 763.103 or homogeneous area of a school building where:
- (a)(1) An accredited inspector has determined that, based on sampling records, friable ACBM was identified in that homogeneous or sampling area during an inspection conducted before December 14, 1987. The inspector shall sign and date a statement to that effect with his or her State of accreditation and if applicable, accreditation number and, within 30 days after such determination, submit a copy of the statement to the person designated under 763.84 for inclusion in the management plan. However, an accredited inspector shall assess the friable ACBM under 763.88.
- (a)(2) An accredited inspector has determined that, based on sampling records, nonfriable ACBM was identified in that homogeneous or sampling area during an inspection conducted before December 14, 1987. The inspector shall sign and date a statement to that effect with his or her State of accreditation and if applicable, accreditation number and, within 30 days after such determination, submit a copy of the statement to the person designated under 763.84 for inclusion in the management plan. However, an accredited inspector shall identify whether material that was nonfriable has become friable since that previous inspection and shall assess the newly-friable ACBM under 763.88.
- (a)(3) Based on sampling records and inspection records, an accredited inspector has determined that no ACBM is present in the homogeneous or sampling area and the records show that the area was sampled, before December 14, 1987 in substantial compliance with 763.85(a), which for purposes of this section means in a random manner and with a sufficient number of samples to reasonably ensure that the area is not ACBM.
- (a)(3)(i) The accredited inspector shall sign and date a statement, with his or her State of accreditation and if applicable, accreditation number that the homogeneous or sampling area determined not to be ACBM was sampled in substantial compliance with 763.85(a).



# 763.99 EXCLUSIONS (cont)

- (a)(3)(ii) Within 30 days after the inspector's determination, the local education agency shall submit a copy of the inspector's statement to the EPA Regional Office and shall include the statement in the management plan for that school.
- (a)(4) The lead agency responsible for asbestos inspection in a State that has been granted a waiver from 763.85(a) has determined that, based on sampling records and inspection records, no ACBM is present in the homogeneous or sampling area and the records show that the area was sampled before December 14, 1987, in substantial compliance with 763.85(a). Such determination shall be included in the management plan for that school.
- (a)(5) An accredited inspector has determined that, based on records of an inspection conducted before December 14, 1987, suspected ACBM identified in that homogeneous or sampling area is assumed to be ACM. The inspector shall sign and date a statement to that effect, with his or her State of accreditation and if applicable, accreditation number and, within 30 days of such determination, submit a copy of the statement to the person designated under 763.84 for inclusion in the management plan. However, an accredited inspector shall identify whether material that was nonfriable suspected ACBM assumed to be ACM has become friable since the previous inspection and shall assess the newly friable material and previously identified friable suspected ACBM assumed to be ACM under 763.88.
- (a)(6) Based on inspection records and contractor and clearance records, an accredited inspector has determined that no ACBM is present in the homogeneous or sampling area where asbestos removal operations have been conducted before December 14, 1987, and shall sign and date a statement to that effect and include his or her State of accreditation and, if applicable, accreditation number. The local education agency shall submit a copy of the statement to the EPA Regional Office and shall include the statement in the management plan for that school.
- (a)(7) An architect or project engineer responsible for the construction of a new school building built after October 12, 1988, or an accredited inspector signs a statement that no ACBM was specified as a building material in any construction document for the building, or, to the best of his or her knowledge, no ACBM was used as a building material in the building. The local education agency shall submit a copy of the signed statement of the architect, project engineer, or accredited inspector to the EPA Regional Office and shall include the statement in the management plan for that school.
- (b) The exclusion, under paragraphs (a) (1) through (4) of this section, from conducting the inspection under 763.85(a) shall apply only to homogeneous or sampling areas of a school building that were inspected and sampled before October 17, 1987. The local education agency shall conduct an inspection under 763.85(a) of all areas inspected before October 17, 1987, that were not sampled or were not assumed to be ACM.
- (c) If ACBM is subsequently found in a homogeneous or sampling area of a local education agency that had been identified as receiving an exclusion by an accredited inspector under paragraphs (a) (3), (4), (5) of this section, or an architect, project engineer or accredited inspector under paragraph (a)(7) of this section, the local education agency shall have 180 days following the date of identification of ACBM to comply with this subpart E.